

# Global Fits to Neutrino Properties

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## OUTLINE

1. “Standard” three-neutrino oscillations [PRD 63 (2001) 033005]
  - *The solar neutrino problem* [PRD 67 (2003) 013011]
  - *The atmospheric neutrino problem* [EPJC 26 (2003) 417]
  - *Reactor and Accelerator experiments* [PRD 67 (2003) 093003]
  - *Global analysis in a three-neutrino scenario* [in preparation]
  
2. What about LSND? [PRD 64 (2001) 093001], [PLB 518 (2001) 252]
  - *Four-neutrino models* [PRD 65 (2003) 093004]
  - *Problems of (2+2) and (3+1) models* [NPB 643 (2002) 321]
  - *CPT and other alternative solutions* [in preparation]
  
3. Bounding New Physics with neutrino data
  - *Non-standard neutrino-matter interactions* [PRD 65 (2002) 013010]
  - *Matter density fluctuations in the Sun* [APJ 588 (2003) L55]

## Two-neutrino oscillations: SOLAR data

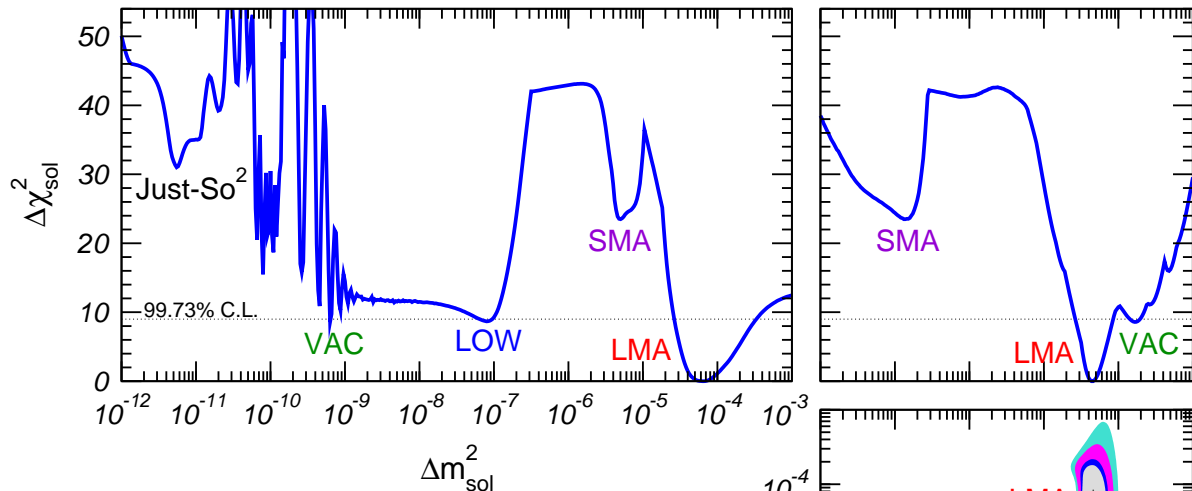
- Equation of motion: **2** parameters:

$$i \frac{d\vec{v}}{dt} = \mathbf{H} \vec{v}; \quad \mathbf{H} = \mathbf{O} \cdot \mathbf{H}_0^d \cdot \mathbf{O}^\dagger + \mathbf{V};$$

$$\mathbf{H}_0^d = \frac{1}{2E_\nu} \mathbf{diag} (0, \Delta m^2), \quad \mathbf{O} = \begin{pmatrix} \cos \theta & \sin \theta \\ -\sin \theta & \cos \theta \end{pmatrix}, \quad \vec{v} = \begin{pmatrix} \nu_e \\ \nu_a \end{pmatrix};$$

$$\mathbf{V} = \pm \mathbf{diag} (V_e, 0), \quad V_e = \sqrt{2} G_F N_e;$$

- the sign + (−) in the expression of  $\mathbf{V}$  refers to neutrinos (antineutrinos).

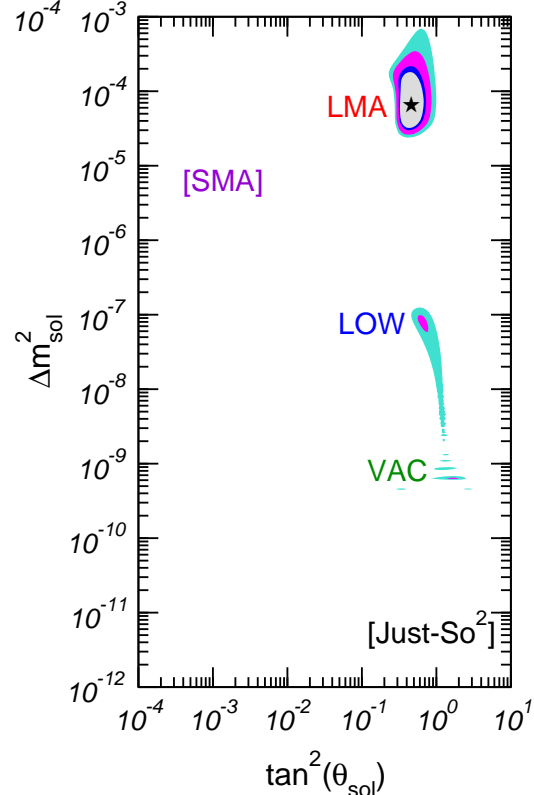


- exp. data:  $3_{\text{rates}} + 44_{\text{SK}} + 34_{\text{SNO}} = \mathbf{81}$ ;

- parameters: **2** ( $\Delta m^2$ ,  $\theta$ );

- $3\sigma$ : 
$$\begin{cases} \tan^2 \theta_{\text{SOL}} \in [0.26, 0.86], \\ \Delta m_{\text{SOL}}^2 \in [2.6, 33] \times 10^{-5} \text{ eV}^2. \end{cases}$$

Region	$\tan^2 \theta_{\text{SOL}}$	$\Delta m_{\text{SOL}}^2 [\text{eV}^2]$	$\chi_{\text{SOL}}^2$	GOF
LMA	0.46	$6.6 \times 10^{-5}$	65.8	86%
LOW	0.66	$7.9 \times 10^{-8}$	74.4	62%
VAC	1.7	$6.3 \times 10^{-10}$	74.4	63%
SMA	$1.4 \times 10^{-3}$	$5.0 \times 10^{-6}$	89.3	20%
Just-So <sup>2</sup>	1.0	$5.5 \times 10^{-12}$	96.8	8%



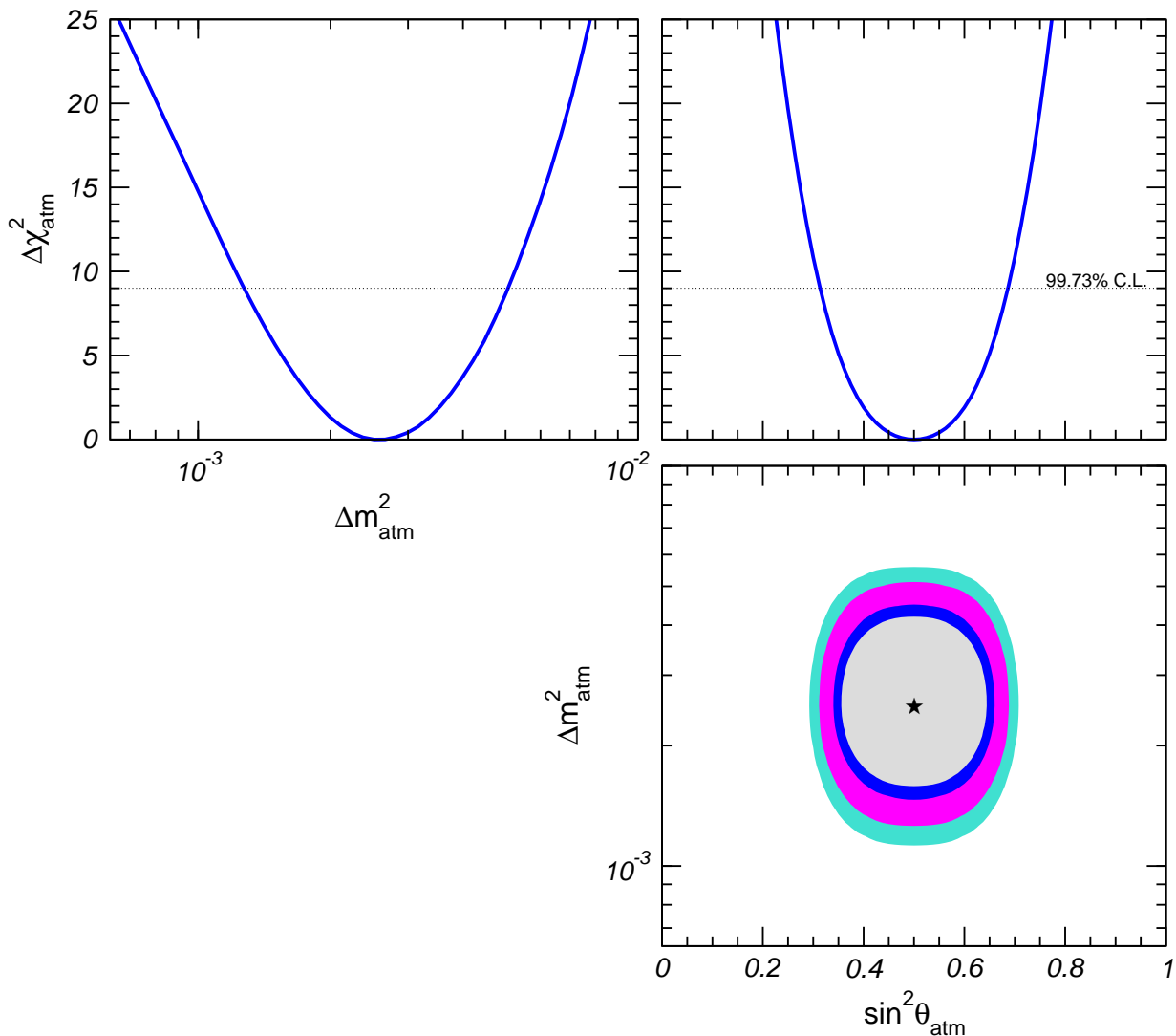
## Two-neutrino oscillations: ATMOSPHERIC data

- Equation of motion: **2** parameters:

$$i \frac{d\vec{\nu}}{dt} = \mathbf{H} \vec{\nu}; \quad \mathbf{H} = \mathbf{O} \cdot \mathbf{H}_0^d \cdot \mathbf{O}^\dagger;$$

$$\mathbf{H}_0^d = \frac{1}{2E_\nu} \mathbf{diag} (0, \Delta m^2), \quad \mathbf{O} = \begin{pmatrix} \cos \theta & \sin \theta \\ -\sin \theta & \cos \theta \end{pmatrix}, \quad \vec{\nu} = \begin{pmatrix} \nu_\mu \\ \nu_\tau \end{pmatrix};$$

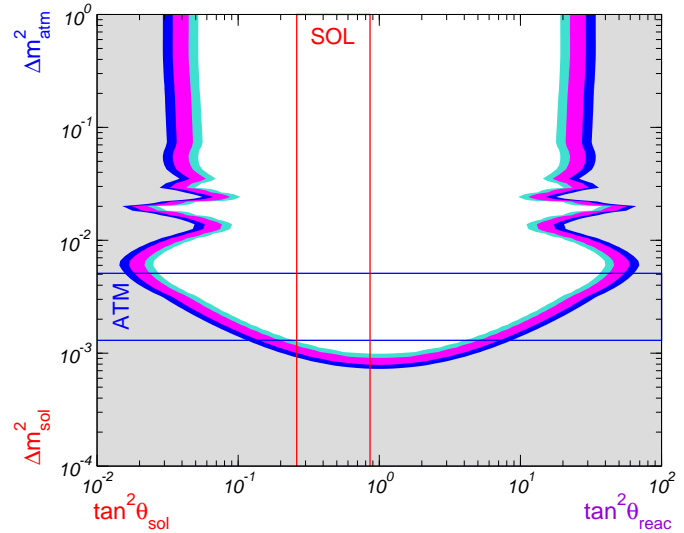
- NO** matter effects  $\Rightarrow$  pure vacuum oscillations;
- exp. data:  $20_{\text{SK-Sub}} + 20_{\text{SK-Multi}} + 5_{\text{SK-stop}} + 10_{\text{SK-thru}} + 10_{\text{Macro}} = \mathbf{65}$ ;
- best fit:  $\Delta m^2 = 2.5 \times 10^{-3} \text{ eV}^2$ ,  $\sin^2 \theta = 0.50$ ,  $\chi^2 = 40.2$ ;
- $3\sigma$  ranges:  $\begin{cases} \sin^2 \theta_{\text{ATM}} \in [0.31, 0.69], \\ \Delta m_{\text{ATM}}^2 \in [1.3, 5.1] \times 10^{-3} \text{ eV}^2. \end{cases}$



# Other neutrino experiments

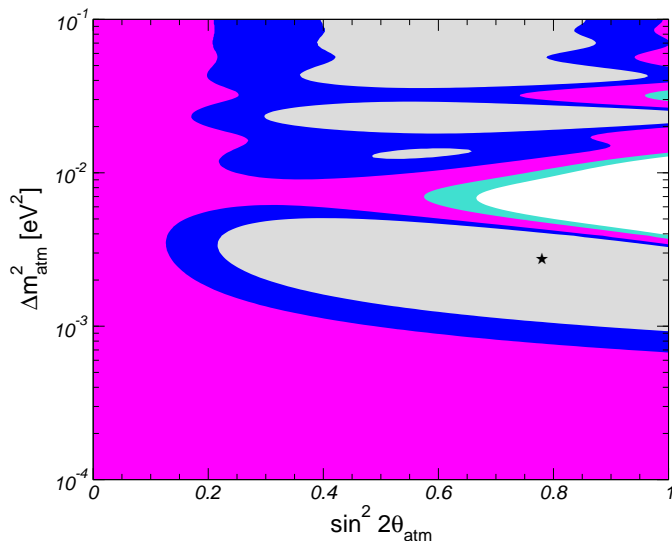
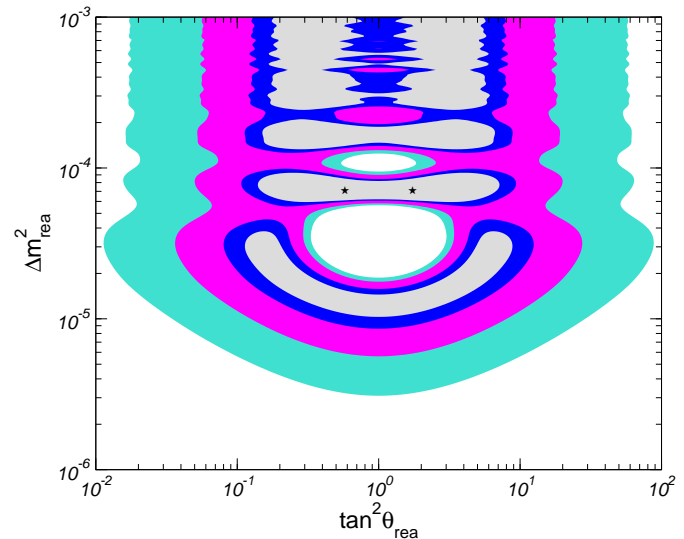
## Chooz & Palo Verde (reactor)

- Bound from *non-observation* of  $\bar{\nu}_e$  disappearance (length:  $\lesssim 1$  km);
- from solar data:  $0.26 \leq \tan^2 \theta_{\text{SOL}} \leq 0.86 \Rightarrow$  **upper bound** on  $\Delta m_{\text{SOL}}^2$ ;
- from atmospheric data:  $\Delta m_{\text{ATM}}^2 \gtrsim 10^{-3} \text{ eV}^2 \Rightarrow$  **upper bound** on  $\theta_{\text{REA}}$ .



## KamLAND (reactor)

- First *evidence* of  $\bar{\nu}_e$  disappearance (average length:  $\approx 180$  km);
- consistency with solar data: *only LMA region*;
- sensitivity to  $\theta_{\text{REA}}$ : weaker than Chooz.

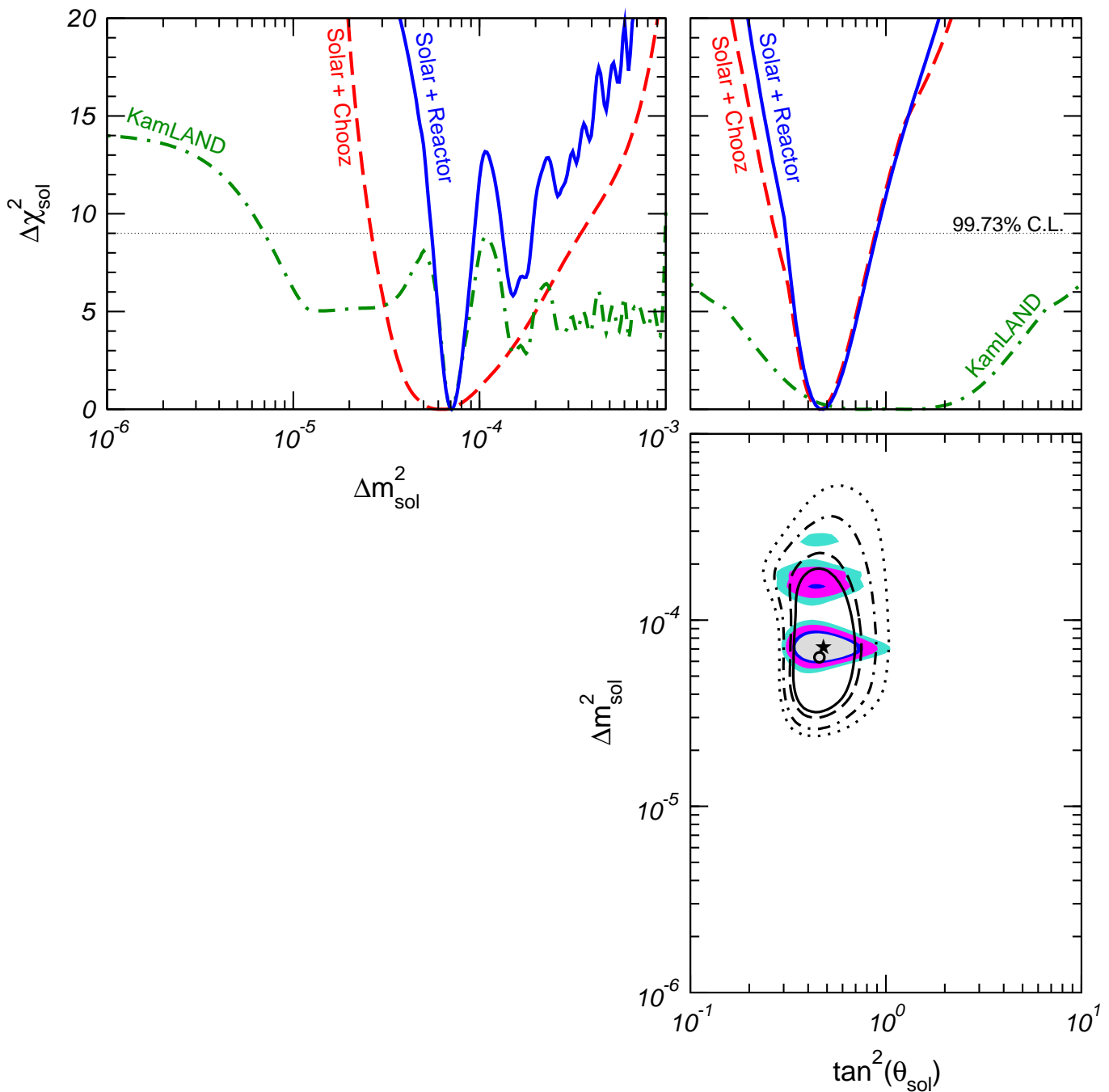


## K2K (accelerator)

- *evidence* of  $\nu_\mu$  disappearance (length:  $\approx 250$  km);
- single-ring  $\mu$ -like events: **44** expected, **29** observed;
- $\Rightarrow$  *fully consistent* with atmospheric data if oscillations are assumed.

# Combined analysis of Solar + Chooz + KamLAND data

- KamLAND selects the LMA solution to the solar neutrino problem;
- solar data break the degeneracy  $\theta \rightarrow (\pi - \theta)$  of reactor experiments;
- $3\sigma$  ranges:  $\begin{cases} \tan^2 \theta_{\text{SOL}} \in [0.31, 0.90], \\ \Delta m_{\text{SOL}}^2 \in [5.5, 9.4] \times 10^{-5} \text{ eV}^2 \cup [1.3, 1.9] \times 10^{-4} \text{ eV}^2; \end{cases}$
- bound on  $\theta_{\text{SOL}}$  mostly unaffected, bound on  $\Delta m_{\text{SOL}}^2$  strongly improved;
- best fit point position dominated by solar data.



## Combining Solar with Atmospheric data

- Solar/KamLAND data and Atmospheric data require *two different* mass-squared differences;
- a complete three-neutrino description is needed to accommodate all the experimental results;
- Equation of motion: **5** parameters (neglecting CP violating effects):

$$i \frac{d\vec{v}}{dt} = \mathbf{H}\vec{v}; \quad \mathbf{H} = \mathbf{O} \cdot \mathbf{H}_0^d \cdot \mathbf{O}^\dagger + \mathbf{V};$$

$$\mathbf{O} = \begin{pmatrix} c_{12}c_{13} & s_{12}c_{13} & s_{13} \\ -s_{12}c_{23} - c_{12}s_{13}s_{23} & c_{12}c_{23} - s_{12}s_{13}s_{23} & c_{13}s_{23} \\ s_{12}s_{23} - c_{12}s_{13}c_{23} & -c_{12}s_{23} - s_{12}s_{13}c_{23} & c_{13}c_{23} \end{pmatrix}, \quad \vec{v} = \begin{pmatrix} \nu_e \\ \nu_\mu \\ \nu_\tau \end{pmatrix};$$

$$\mathbf{H}_0^d = \frac{1}{2E_\nu} \mathbf{diag} \left( -\Delta m_{21}^2, 0, \Delta m_{32}^2 \right);$$

$$\mathbf{V} = \mathbf{diag} \left( \pm \sqrt{2} G_F N_e, 0, 0 \right);$$

- solar parameters  $(\Delta m_{\text{SOL}}^2, \theta_{\text{SOL}})$  are identified with  $(\Delta m_{21}^2, \theta_{12})$ ;
- atmospheric parameters  $(\Delta m_{\text{ATM}}^2, \theta_{\text{ATM}})$  are identified with  $(\Delta m_{32}^2, \theta_{23})$ ;
- the reactor parameter  $\theta_{\text{REA}}$  is identified with  $\theta_{13}$ .

### The hierarchy approximation

- From SOL and ATM data, we have  $\Delta m_{21}^2 \ll \Delta m_{32}^2$ ;

#### Atmospheric analysis

- approximation:  $\Delta m_{21}^2 \approx 0$ ;
- $\theta_{12}$  cancels out from equations;

⇒ only **3** parameters:  $\Delta m_{32}^2, \theta_{23}, \theta_{13}$ .

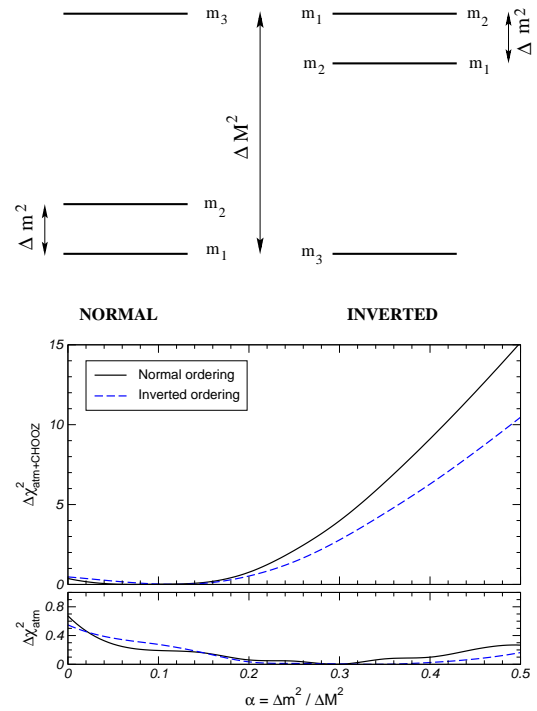
#### Solar analysis

- approximation:  $\Delta m_{32}^2 \approx \infty$ ;
- $\theta_{23}$  cancels out from  $P_{ee}$ ;

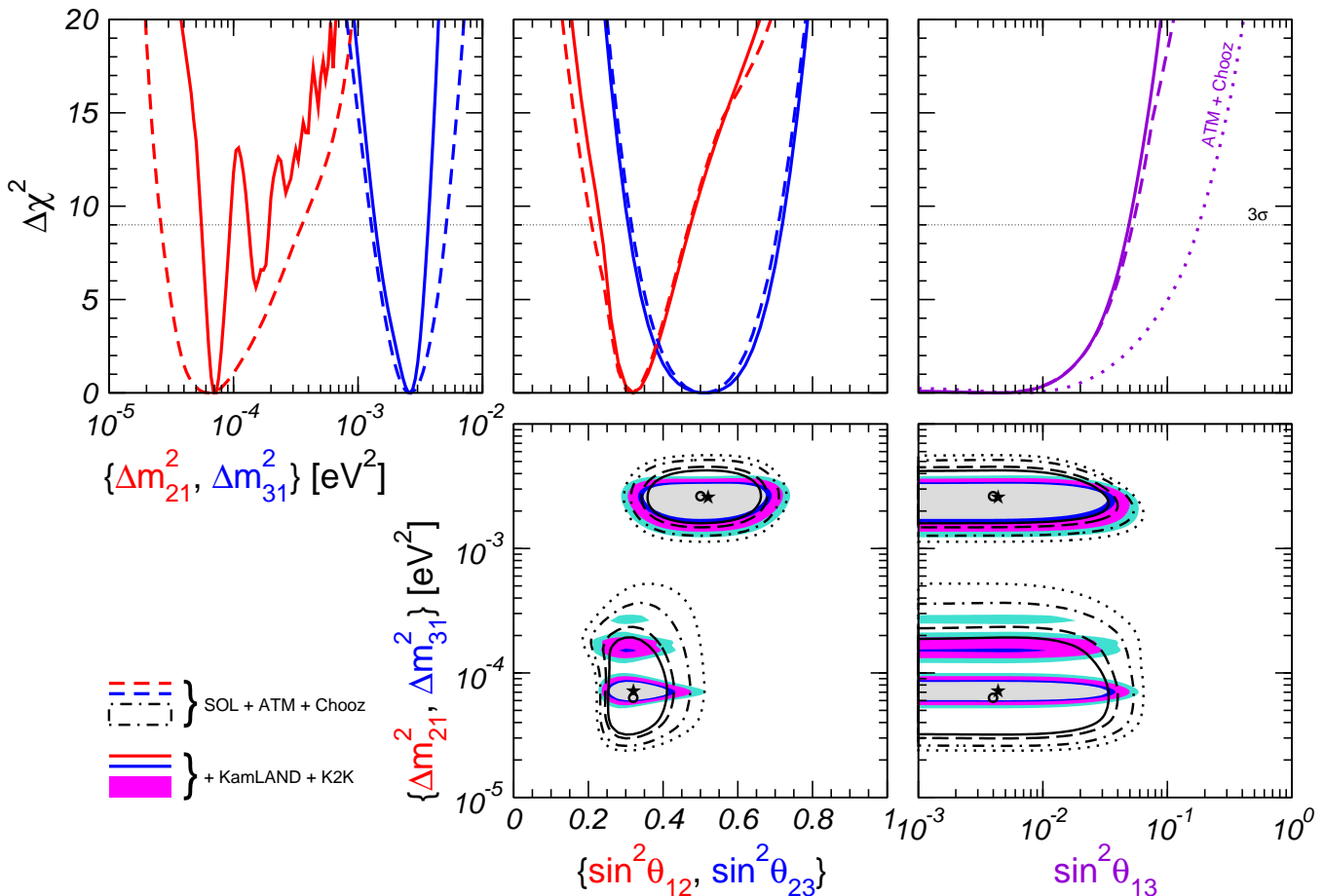
⇒ only **3** parameters:  $\Delta m_{21}^2, \theta_{12}, \theta_{13}$ .

# Beyond the one-mass scale approximation

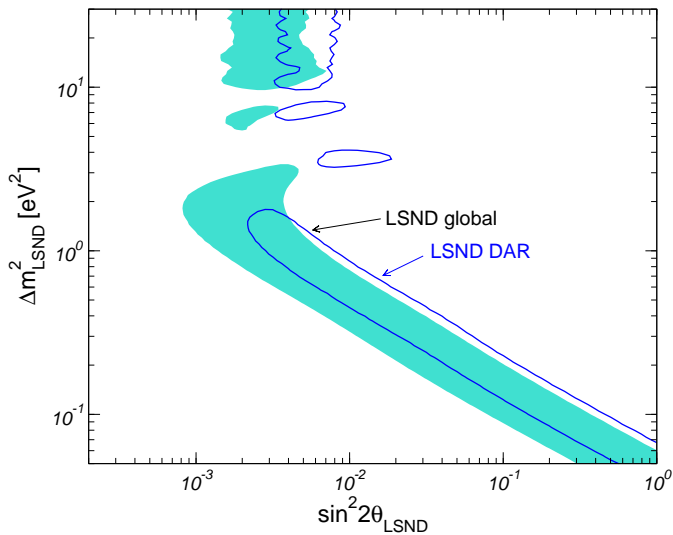
- Mass spectra: **normal** and **inverted**;
  - hierarchy parameter:  $\alpha = \Delta m^2 / \Delta M^2$ ;
  - present ATM data do not allow to discriminate between normal and inverted hierarchy;
  - strongest constraint on  $\alpha$  from Chooz;
  - no visible effect of finite  $\Delta m^2$  values on the ATM data analysis;
- ⇒ the one-mass scale approximation is reliable.



## Combined analysis (SOL + ATM + Reactor + K2K)



# Beyond the “standard” three-neutrino oscillations

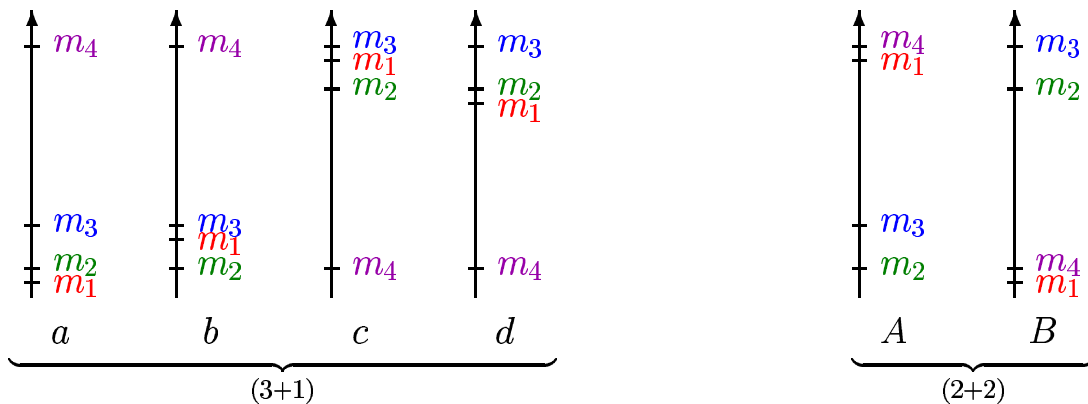


## The LSND result

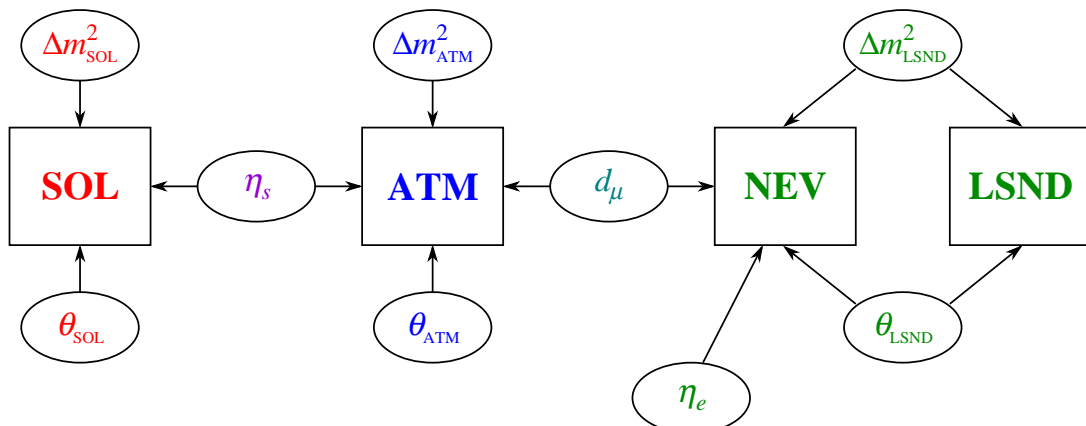
- *evidence* of  $\bar{\nu}_\mu \rightarrow \bar{\nu}_e$  conversion (length:  $\lesssim 100$  m);
  - neutrino oscillation interpretation: requires  $\Delta m^2 \gtrsim 0.1 \text{ eV}^2$ ;
- $\Rightarrow$  *incompatible* with solar and atmospheric data in a 3 $\nu$  scenario.

## The simplest solution: adding a neutrino

- the new neutrino must be *sterile* (from LEP measure of Z width);
- Approximation:  $\Delta m^2_{\text{SOL}} \ll \Delta m^2_{\text{ATM}} \ll \Delta m^2_{\text{LSND}} \Rightarrow$  6 different mass schemes:



- different set of experimental data *partially decouple*:

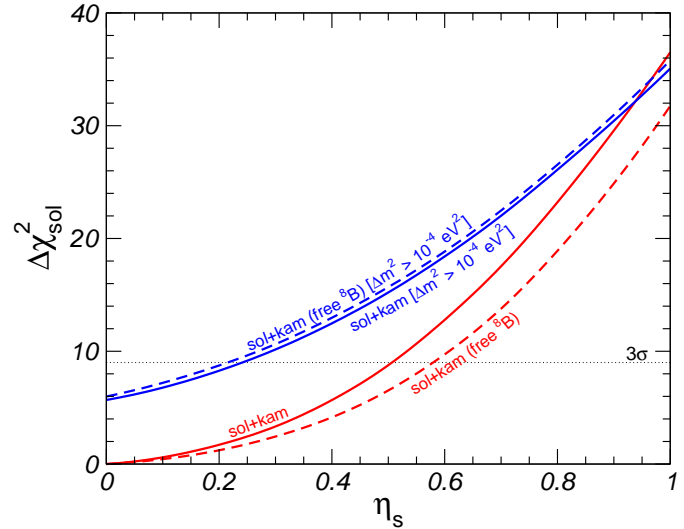




# Bounds on sterile neutrino

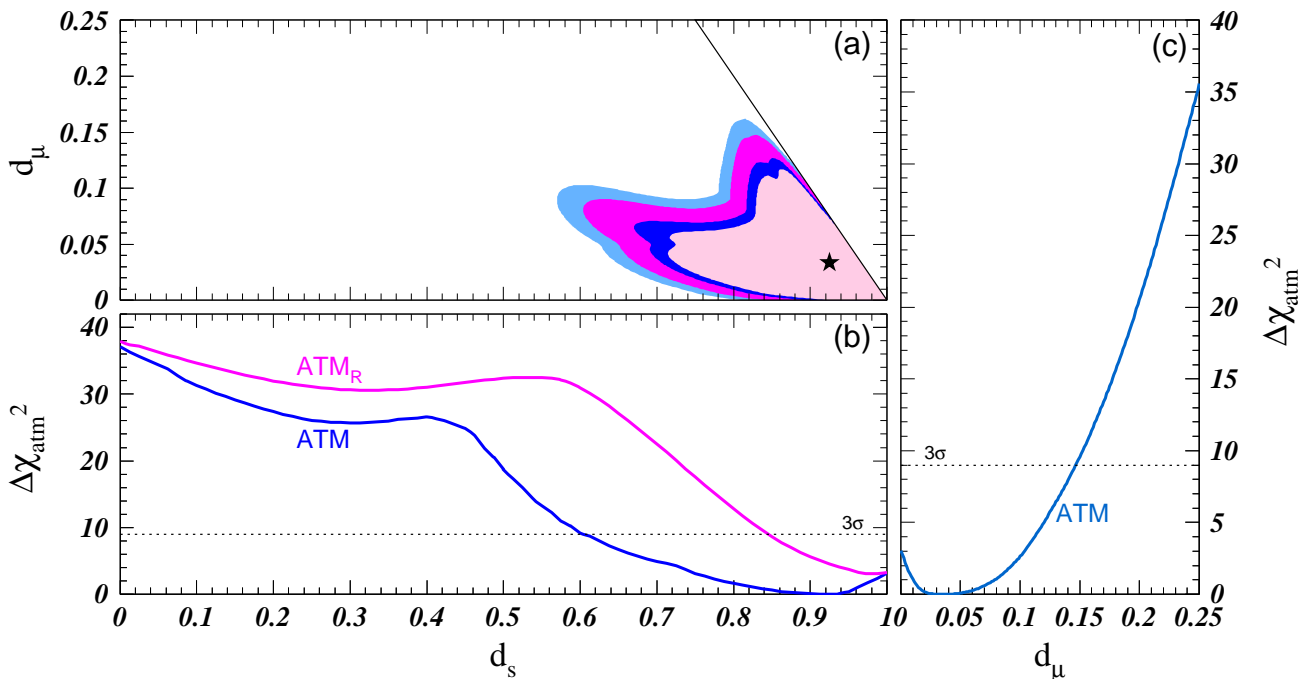
## Solar analysis

- fraction of  $\nu_s$  in solar oscillations:  $\eta_s \equiv |U_{s1}|^2 + |U_{s\odot}|^2$ ;
- **3** parameters:  $\Delta m_{\text{SOL}}^2$ ,  $\theta_{\text{SOL}}$ ,  $\eta_s$ .
- ⇒ Preferred value:  $\eta_s = 0$ ;
- ⇒  $3\sigma$ :  $\begin{cases} \eta_s \leq 0.51 \text{ (boron-fixed),} \\ \eta_s \leq 0.58 \text{ (boron-free);} \end{cases}$
- determination of best-fit  $\Delta m_{\text{SOL}}^2$  and  $\theta_{\text{SOL}}$  is unaffected.



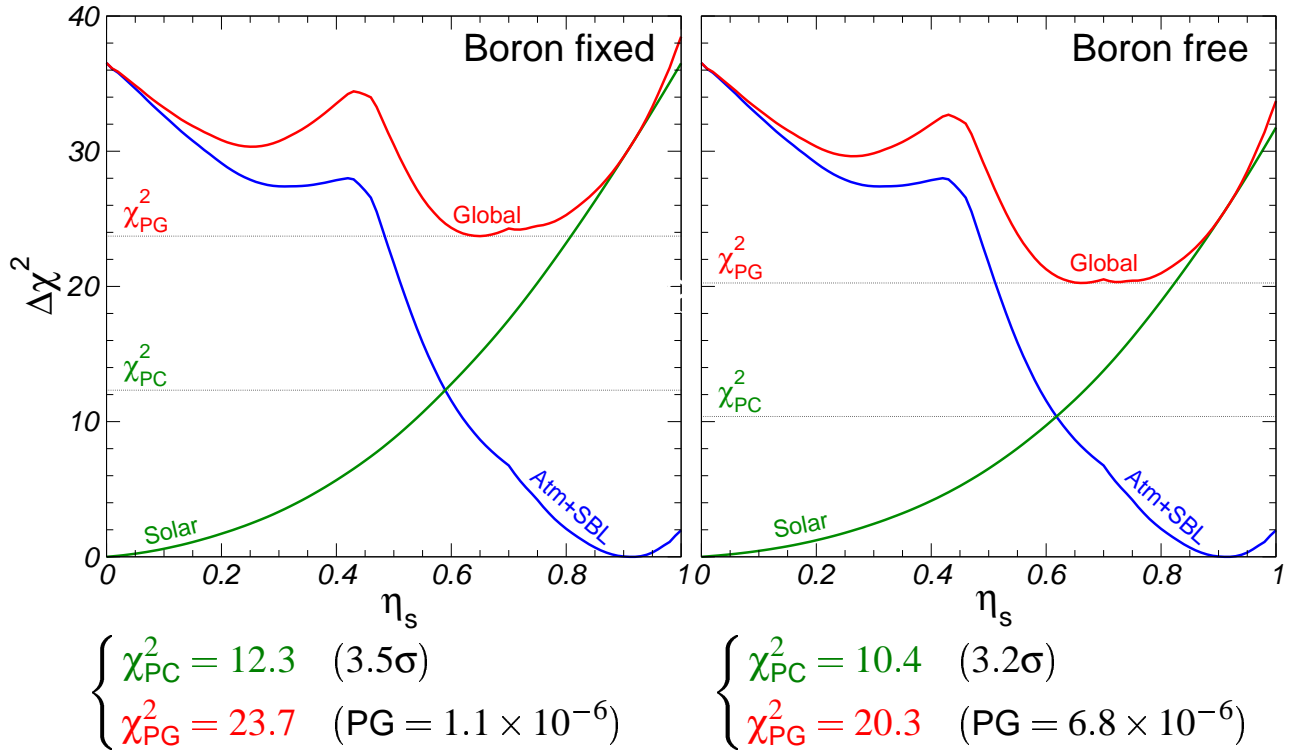
## Atmospheric analysis

- Fraction of  $\nu_\mu$  in atmospheric oscillations:  $1 - d_\mu \equiv |U_{\mu 2}|^2 + |U_{\mu 3}|^2$ ;
- fraction of  $\nu_s$  in atmospheric oscillations:  $1 - d_s \equiv |U_{s2}|^2 + |U_{s3}|^2$ ;
- **4** parameters:  $\Delta m_{\text{ATM}}^2$ ,  $\theta_{\text{ATM}}$ ,  $d_s$ ,  $d_\mu$ .
- ⇒  $3\sigma$  bounds:  $d_s \geq 0.61$  and  $d_\mu \leq 0.15$ .



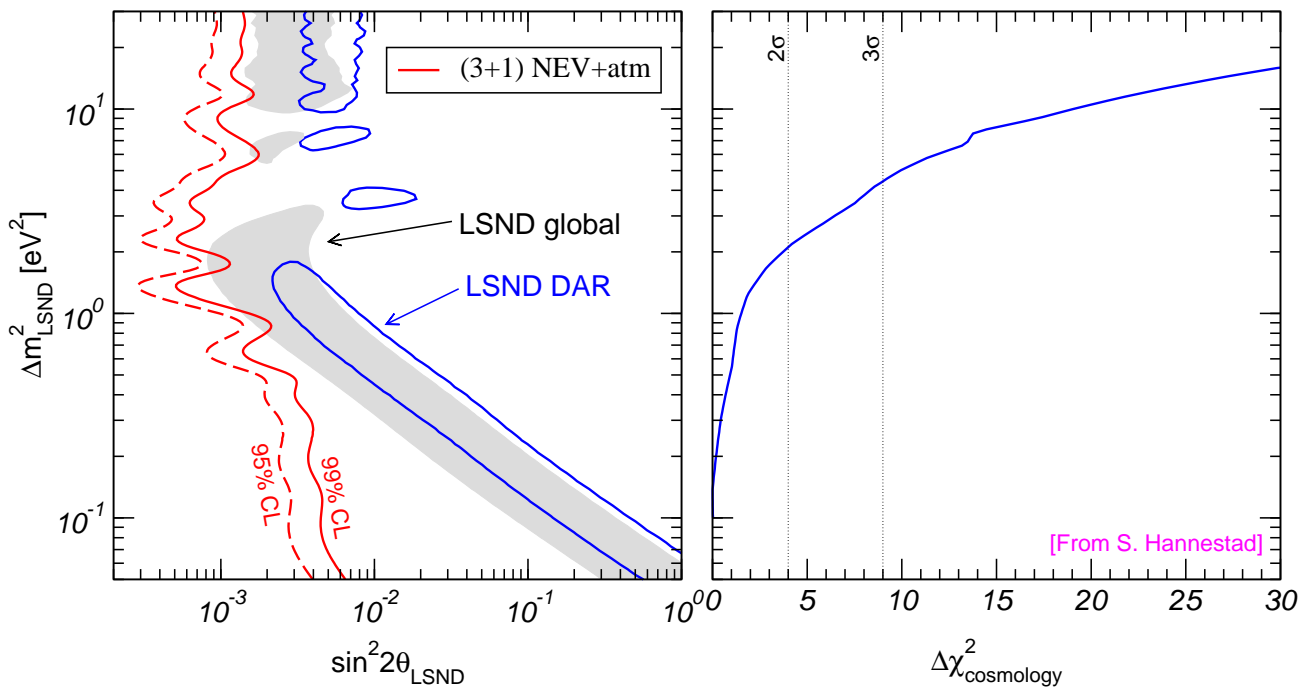
# Status of four-neutrino oscillations

## (2+2): ruled out by solar and atmospheric data



[For a rigorous definition of PG, see [hep-ph/0304176](https://arxiv.org/abs/hep-ph/0304176)]

## (3+1): strongly disfavored by SBL data



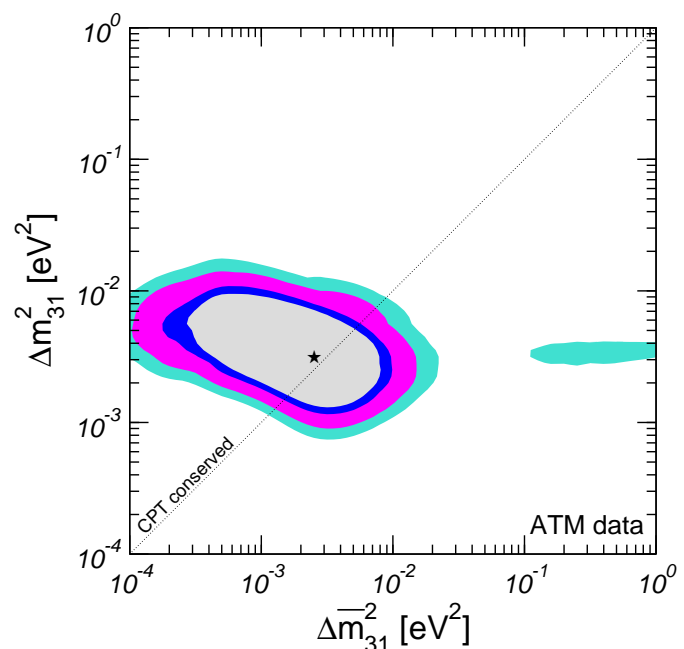
# Interpretation of the LSND result

## Status of the sterile neutrino solutions

- Both **solar** and **atm** data reject oscillations into a sterile neutrino;
  - **(2+2)** models fail to reconcile **solar** and **atmospheric** data;
  - **(3+1)** models fail to reconcile **LSND** with other **SBL** experiments;
  - the oscillation hypothesis **does NOT provide** a good solution;
- ⇒ if MiniBooNE confirms LSND, then different mechanisms have to be investigated.

## Possible alternatives

- It was noted [Päs, Song, Weiler, hep-ph/0209373] that some of the approximations used in the calculations of (2+2) models may be too restrictive. However, so far an extensive fit has not been performed.
- Also, in a recent paper [Sorel, Conrad, Shaevitz, hep-ph/0305255] a model with **two** sterile neutrinos was considered, and found to fit the data considerably better than (3+1) models.
- A recent analysis of SK **contained** events found that the LSND problem can be explained by assuming CPT violation [Barenboim, Borissov, Lykken, hep-ph/0212116]. However, when also **upgoing- $\mu$**  data are included the quality of the fit gets worse [Strumia, hep-ph/0201134v4; Gonzalez-Garcia, MM, Schwetz, in preparation].



# Non-standard neutrino-matter interactions

## Analysis of atmospheric data

- Simplifying assumption:  $\nu_e$  decoupled  $\Rightarrow$  2v oscillations ( $\nu_\mu \leftrightarrow \nu_\tau$ );
- Equation of motion: **4** parameters:

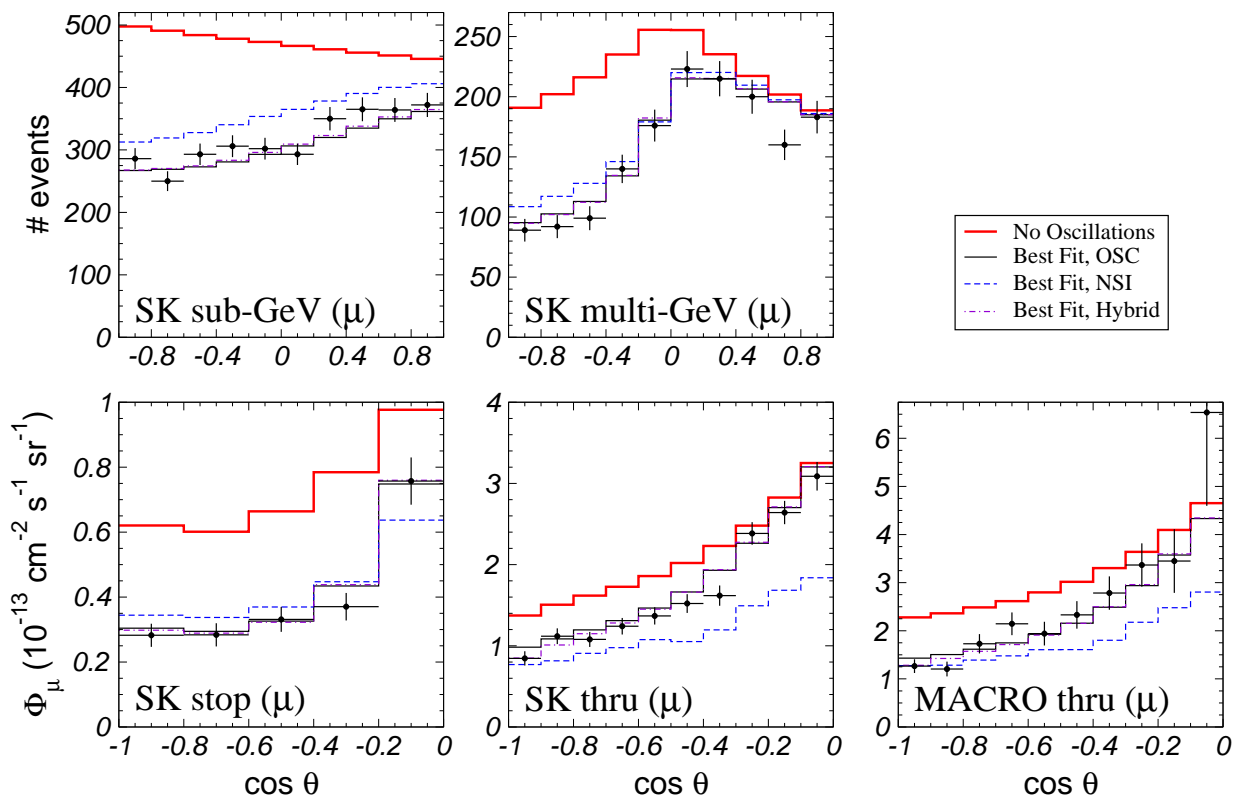
$$i \frac{d\vec{v}}{dt} = \mathbf{H} \vec{v}; \quad \mathbf{H} = \mathbf{O} \cdot \mathbf{H}_0^d \cdot \mathbf{O}^\dagger + \mathbf{V}; \quad \mathbf{V} = \pm \sqrt{2} G_F N_f(r) \begin{pmatrix} 0 & \varepsilon \\ \varepsilon & \varepsilon' \end{pmatrix};$$

$$\mathbf{H}_0^d = \frac{1}{2E_\nu} \mathbf{diag} (0, \Delta m^2), \quad \mathbf{O} = \begin{pmatrix} \cos \theta & \sin \theta \\ -\sin \theta & \cos \theta \end{pmatrix}, \quad \vec{v} = \begin{pmatrix} \nu_\mu \\ \nu_\tau \end{pmatrix};$$

- the sign + (-) in the expression of  $\mathbf{V}$  refers to neutrinos (antineutrinos).

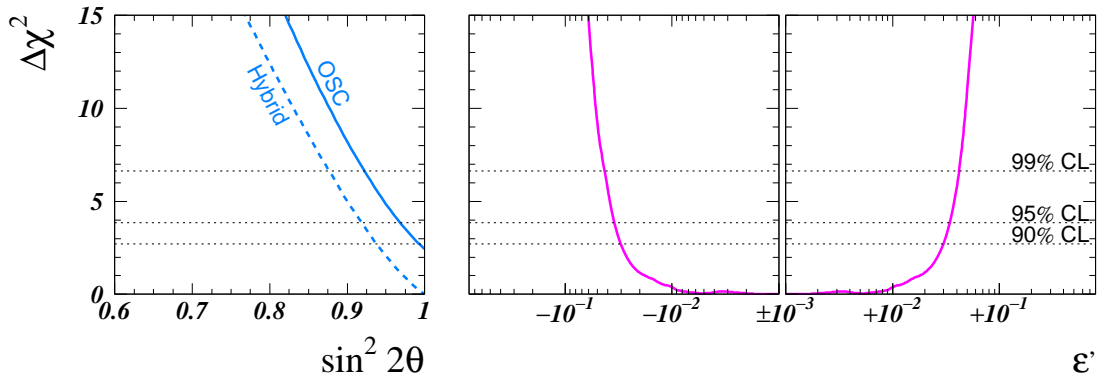
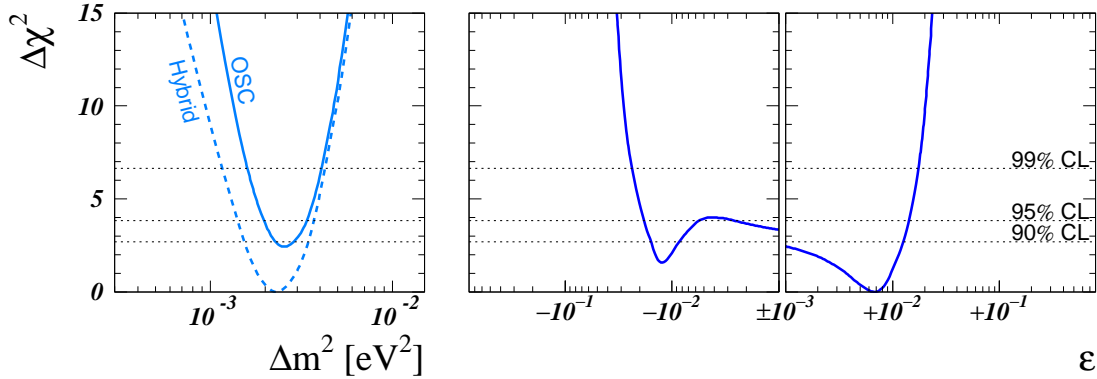
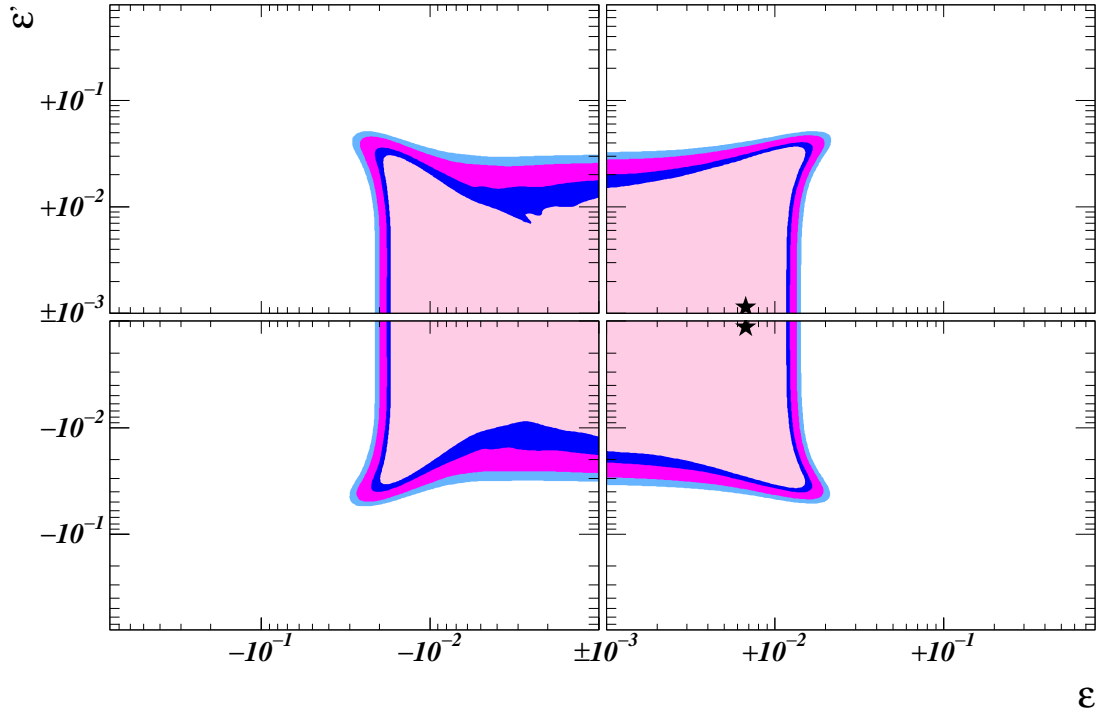
## Atmospheric data: zenith distribution

- **Pure oscillations** provide a good explanation of ATM data;
  - **Pure NSI** fail to reconcile *contained* and *upgoing- $\mu$*  events;
- $\Rightarrow$  in an hybrid NSI+OSC scenario, ATM data bound the NSI component.



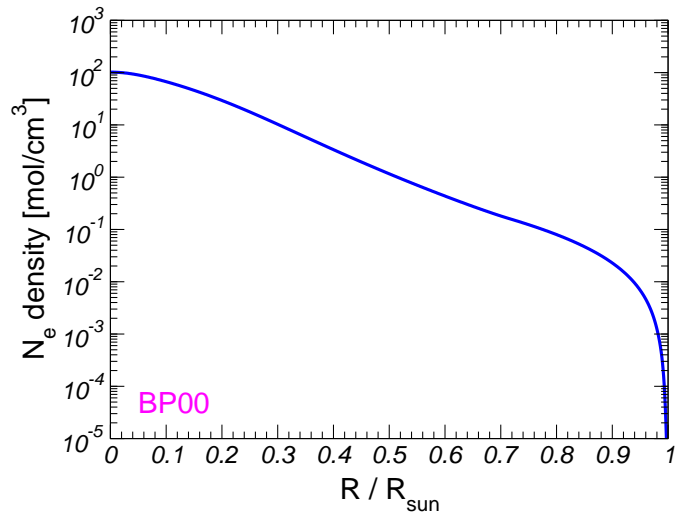
## Bound on NSI from atmospheric data

- $\Delta m^2$  and  $\theta$  are not affected by the presence of a NSI component;
- general result (99.73% CL):  $-0.03 \leq \varepsilon \leq 0.02$  and  $|\varepsilon'| \leq 0.05$ .

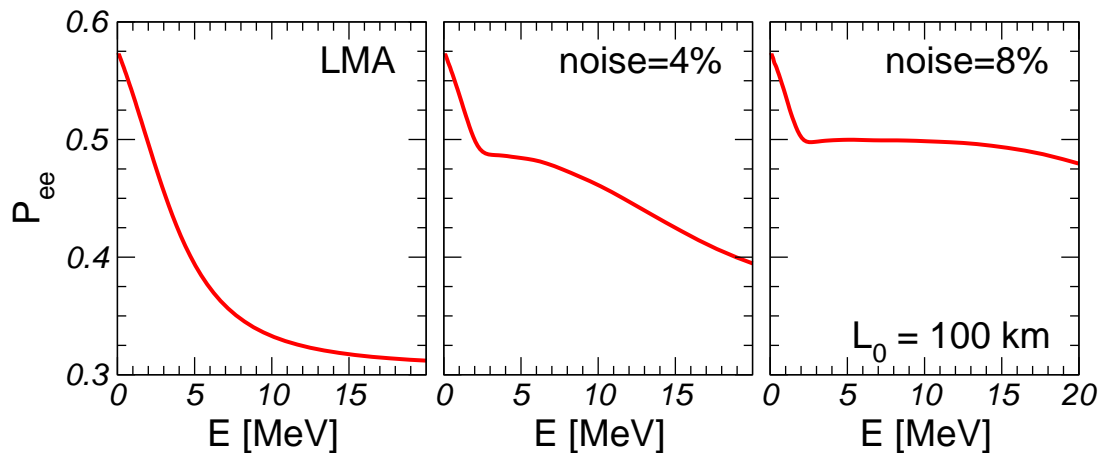


# Solar density fluctuations and neutrino oscillations

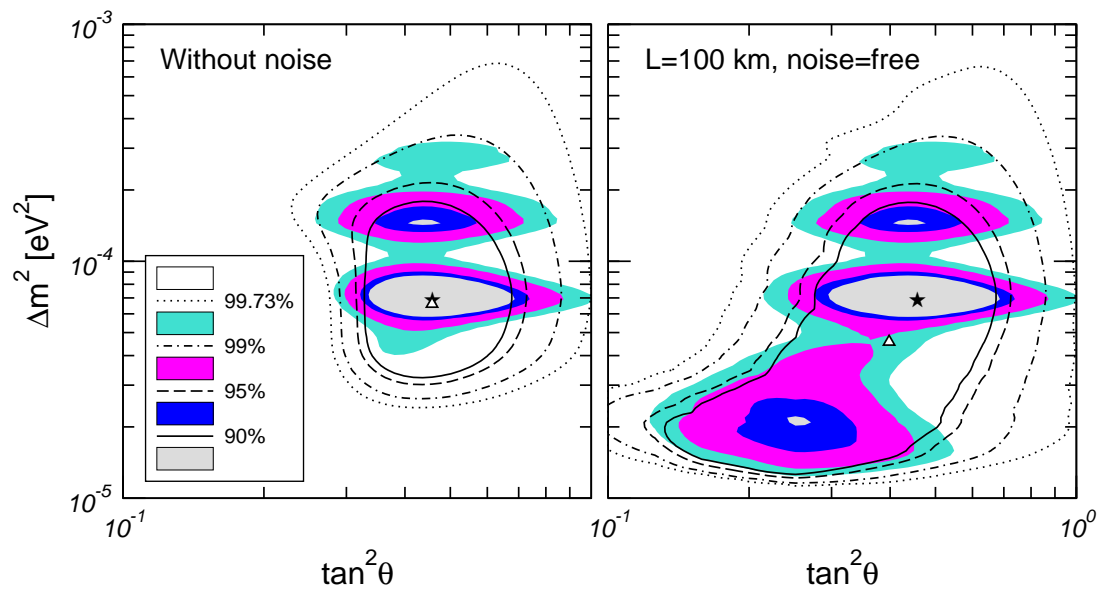
- Helioseismology severely constrains matter density fluctuations in the Sun at scales  $\gg 1000$  km;
- at smaller scales, large density fluctuations are permitted;
- such fluctuations can considerably modify the pattern of neutrino oscillations.



- 4 parameters: *oscillation* ( $\Delta m^2$ ,  $\theta$ ) and *noise* ( $L_0$ ,  $\xi$ );

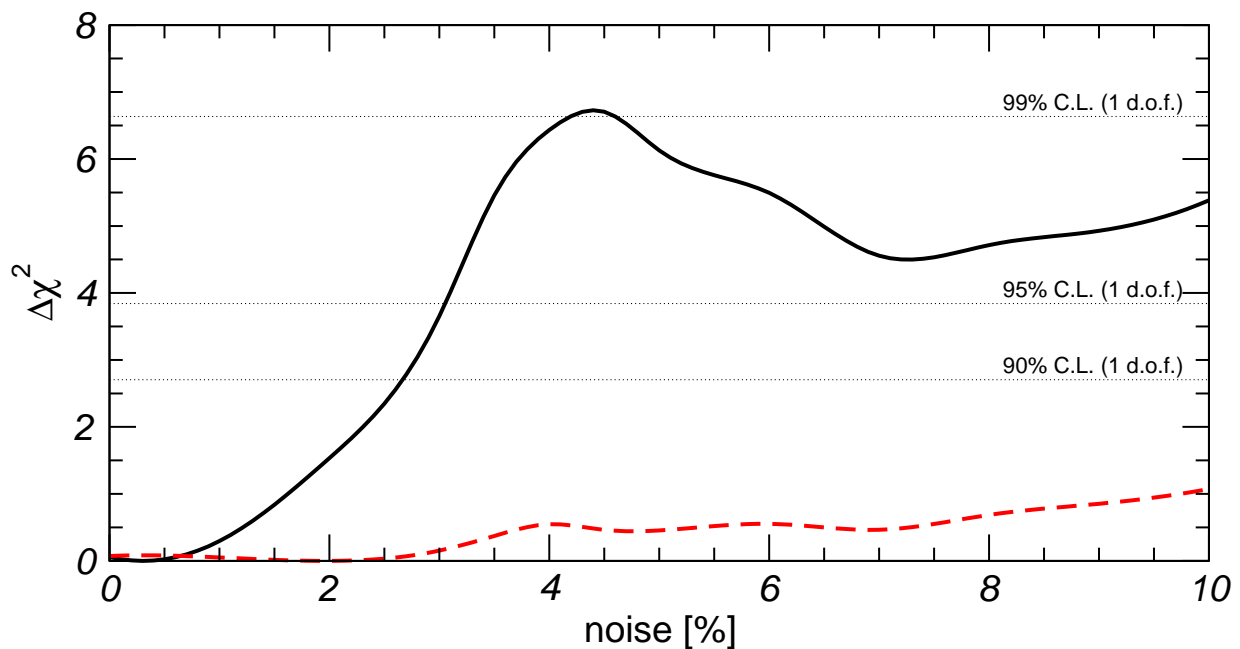
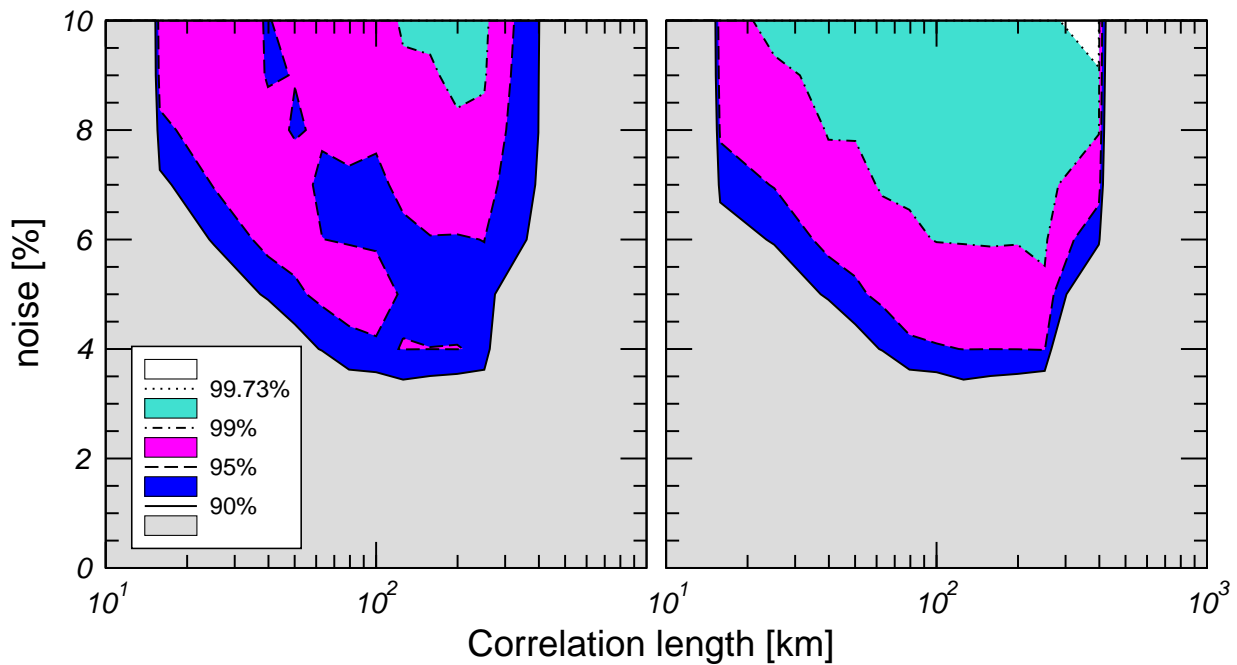


- Impact of *noise* on the determination of *oscillation* parameters:



## Solar neutrino data and density fluctuations

- Precise measurements of the neutrino flux coming from the Sun can provide important informations on the presence of matter density fluctuations on scales  $\approx 100$  km;
- a detailed knowledge of neutrino oscillation parameters is required  $\Rightarrow$  future KamLAND data will be essential.



## Conclusions

- We have presented analyses of neutrino data in the context:
  - of  $2\nu$  oscillations;
  - of  $3\nu$  oscillations, combining solar, atmospheric, reactor, K2K data;
  - of  $4\nu$  oscillations, including also the LSND result;
  - of models involving non-standard phenomena.

### Three-neutrino results

- Solar, Atmospheric, reactor data are perfectly compatible;
- the only solar solution after KamLAND data is LMA, with  $\Delta m_{\text{SOL}}^2 \approx 7 \times 10^{-5} \text{ eV}^2$  and *large but non-maximal* mixing;
- atmospheric & K2K data favor  $\Delta m_{\text{ATM}}^2 \approx 3 \times 10^{-3} \text{ eV}^2$  and maximal mixing;
- reactor data (Chooz) indicate  $\theta_{\text{REA}} \approx 0$ .

### Four-neutrino results

- Both **(3+1)** and **(2+2)** oscillation models fail to explain the LSND result;
  - **CPT violation** is also not a viable solution;
- ⇒ if MiniBooNE confirms LSND, more exotic mechanisms have to be investigated.

### Other non-standard mechanisms

- Non-standard neutrino-matter interactions are severely bounded by atmospheric data;
- Neutrino data will be a powerful tool to study matter density fluctuations in the Sun, once the oscillation parameters have been measured.