

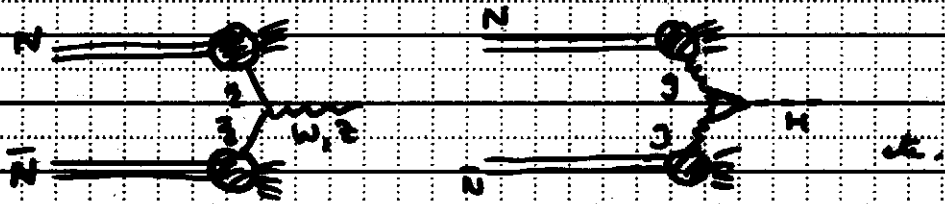
R.D. Bell  
No. 1000  
Monterey

## Parton Flavor Decomposition.

- $D_{15} = \psi - D_{15}$ .
- Flavor decomposition
- Global fits
- Errors
- Polarized Flavors.

Why do we need precise determinations of pdfs?

- pdfs  $\rightarrow$   $\alpha_s$  necessary input to hadron collider xsec



eg errors on W xsec at LHC  $\sim 5\%$

due mainly to  $\alpha_s + 2, 3$  distn uncertainties

Need reliable pdfs to predict xsec for new processes

" " " to control QCD logs.

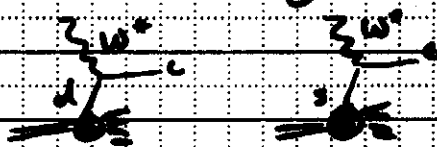
Also need error estimates on these pdfs

(cf HERA high  $Q^2$ , CDF high  $p_T$  jets)

- CKM matrix elements  $V_{ud} = V_{cs}$

$$\left. \begin{array}{l} |\Delta V_{ud}| = 1.7\% \\ |\Delta V_{cs}| = 20\% \end{array} \right\} \text{now } \left. \begin{array}{l} 3\% \\ 2\% \end{array} \right\} \text{after imposing } \left. \begin{array}{l} O(1\%) \text{ of } \alpha_s \\ \text{unitarity } O(\text{few}\%) \end{array} \right\} \text{etc}$$

Measure of  $v$ -fav (in  $v$ -DB) by tagging charm in final state



Need accurate estimates of  $d(x), \bar{d}(x), s(x), \bar{s}(x)$ .

### Polarization expts

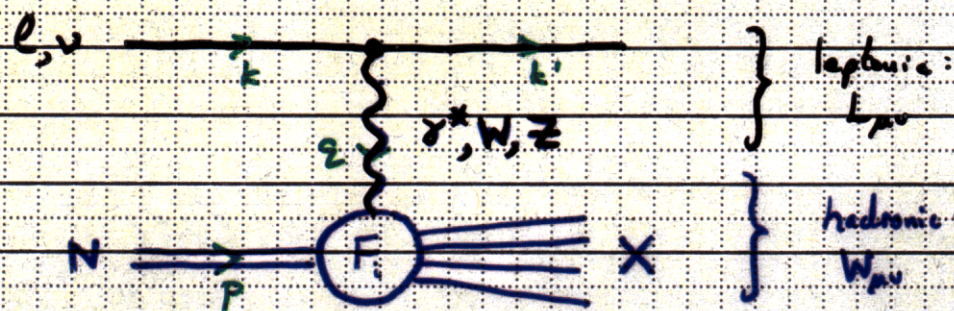
- new insight into pQCD

eg 'spin crisis': axial anomaly

- may be needed some day to determine chiral structure of interactions beyond SM.

J.M. Virey

(unpolarized) DIS x-sections.



$$Q^2 = -q^2 = -(k - k')^2 \quad W^2 = (q + p)^2 = Q^2 \left( \frac{1-y}{x} \right)$$

$$x = Q^2 / (2p \cdot q) \quad y = p \cdot q / p \cdot k$$

$L_{\mu\nu}$  calculable (neutral current or charge current)

$W_{\mu\nu}$  not calculable: decompose into "structure functions"  $F_i$ .

$$W_{\mu\nu} = \left( -g_{\mu\nu} + \frac{q_\mu q_\nu}{q^2} \right) F_1(x, Q^2) + \left( p_\mu - \frac{p \cdot q}{q^2} q_\mu \right) \left( p_\nu - \frac{p \cdot q}{q^2} q_\nu \right) \frac{1}{p \cdot q} F_2(x, Q^2) - \frac{i}{p \cdot q} \epsilon_{\mu\nu\alpha\beta} p^\alpha q^\beta F_3(x, Q^2)$$

} parity conserving  
C-even  
parity violating  
G.O.D.

Then

$$\frac{d^2 \sigma^{eN}}{dx dy} \sim xy^2 F_1(x, Q^2) + (1-y) F_2(x, Q^2)$$

$$\frac{d^2 \sigma^{\nu N}}{dx dy} \sim xy^2 F_1^\nu(x, Q^2) + (1-y) F_2^\nu(x, Q^2) - xy(1-\frac{y}{2}) F_3^\nu(x, Q^2)$$

$$\frac{d^2 \sigma^{\bar{\nu} N}}{dx dy} \sim xy^2 F_1^{\bar{\nu}}(x, Q^2) + (1-y) F_2^{\bar{\nu}}(x, Q^2) + xy(1-\frac{y}{2}) F_3^{\bar{\nu}}(x, Q^2)$$

Can disentangle 8 independent structure fns for every target.

# pQCD evolution

"Altarelli-Parisi eqns."

All pdfs  $q_i, \bar{q}_i, g$  depend on  $x$  and  $Q^2$   
 Once pdf is fixed at one scale  $Q_0^2$ , dependence on  $Q^2 \neq Q_0^2$  is predicted by pQCD.

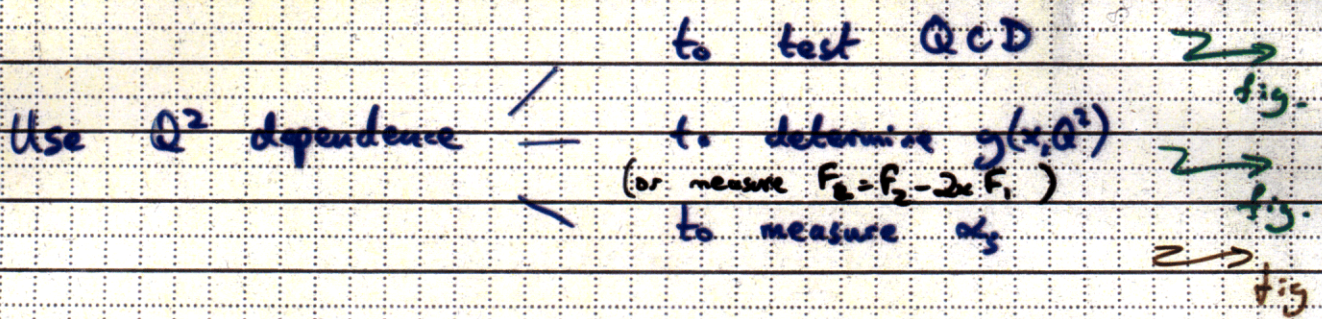
Singlet: 
$$\frac{d}{d \ln Q^2} \begin{pmatrix} \Sigma \\ g \end{pmatrix} = \begin{pmatrix} P_{qq} & P_{qg} \\ P_{gq} & P_{gg} \end{pmatrix} \otimes \begin{pmatrix} \Sigma \\ g \end{pmatrix}$$
 ie mixing with gluon.

Non-singlet: 
$$\frac{d}{d \ln Q^2} q_i^+ = P_+ \otimes q_i^+ \quad i = 3, 8, 15$$

Valence: 
$$\frac{d}{d \ln Q^2} q_i^- = P_- \otimes q_i^- \quad i = 1, 3, 8, 15.$$

Compute spl. fns in further th:  $P = \alpha_s P^0 + \alpha_s^2 P^1 + \dots$   
LO NLO

At LO  $P_{qq} = P_+ = P_-$ , but not at NLO.



Sum Rules:

$$\int_0^1 dx (u - \bar{u}) = 2 \quad \int_0^1 dx (d - \bar{d}) = 1 \quad \text{Valence (Adler)}$$

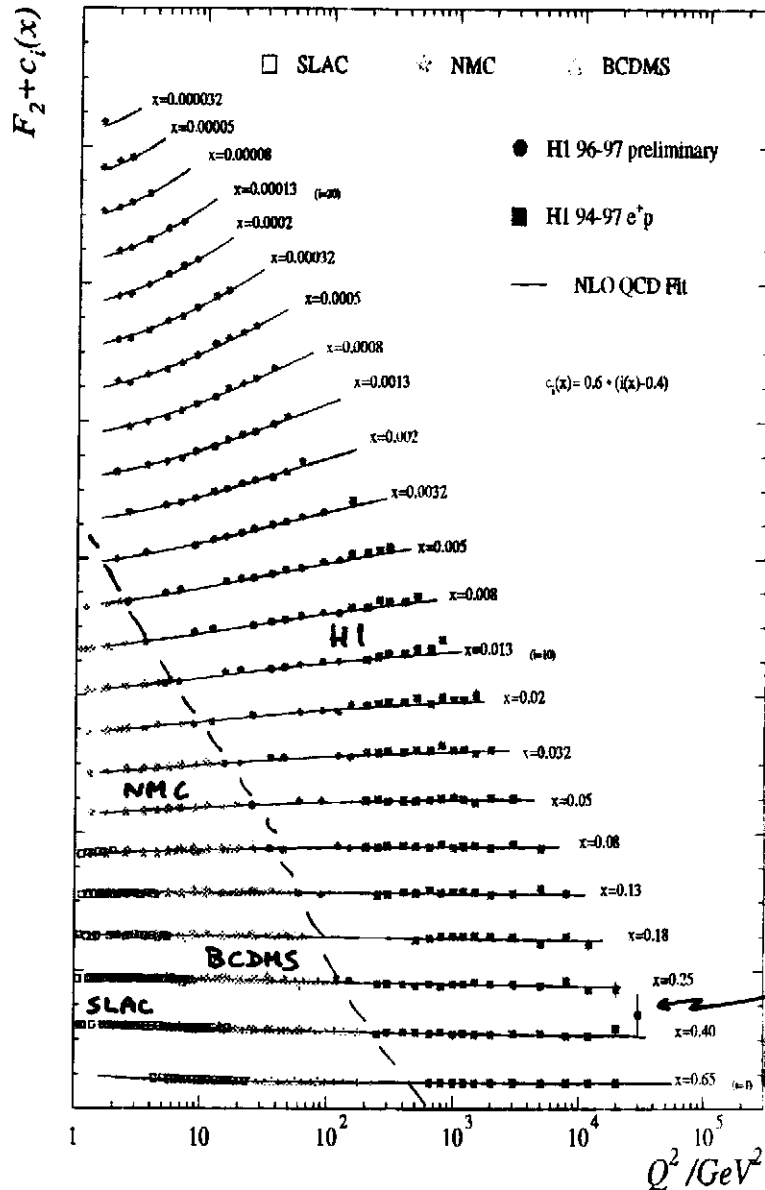
$$\int_0^1 dx (s - \bar{s}) = 0 \quad \int_0^1 dx (c - \bar{c}) = 0 \quad \text{CVC}$$

$$\int_0^1 dx (x\Sigma + xg) = 1 \quad \text{Momentum.}$$

# The "State of the Art":

## NLO evolution of $F_2^P$

huge kinematic coverage:  $\sim 1500$  data points



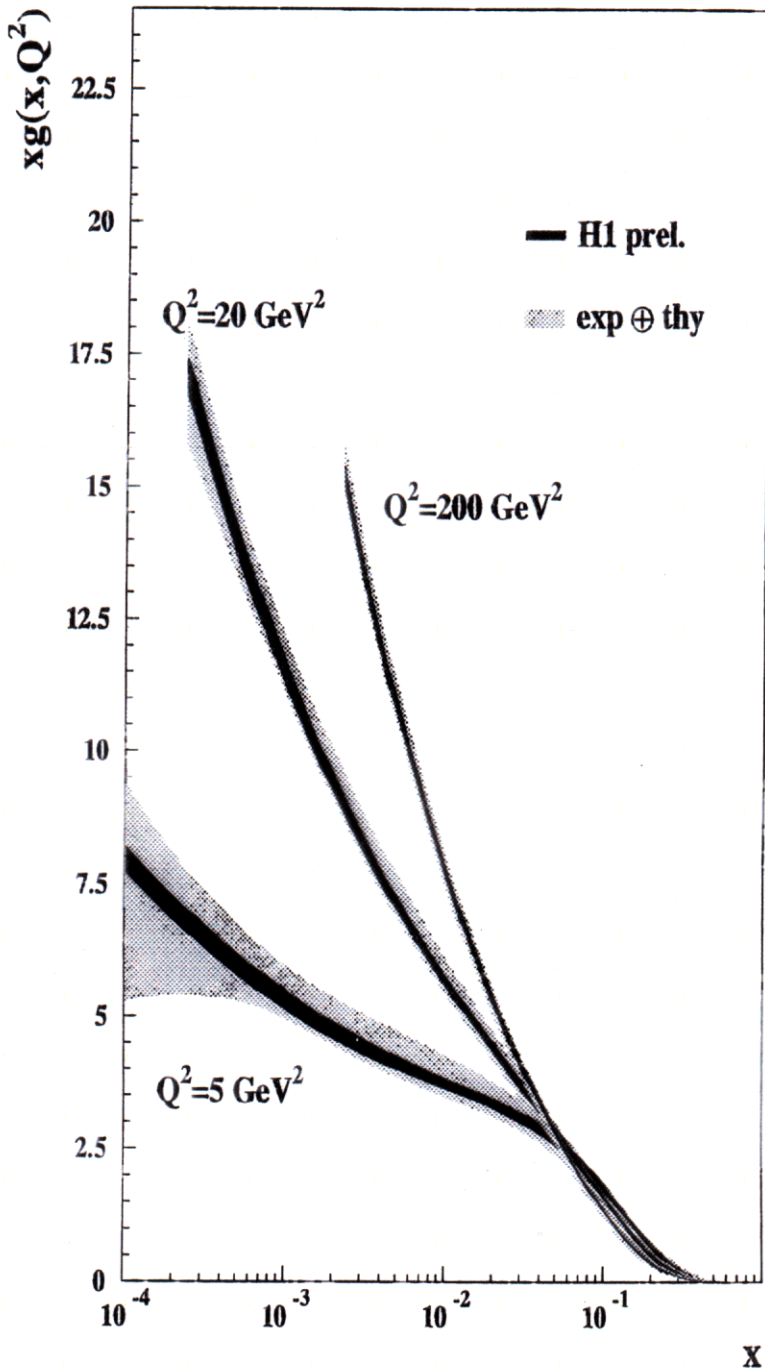
This is not merely a fit: QCD is predictive!

Pure NLO pQCD amazingly accurate over whole kinematic range

$$\chi^2 / \text{d.o.f.} = 0.99 \quad (\text{with correlated errors})$$

# Determination of the gluon (from scaling violations)

H1 96-97



Gluon determined very precisely without need for data from less inclusive processes except at large  $x$  ( $\geq 0.1$ )  
(here need prompt  $\gamma$ , jets, etc.....)

## From xsec to pdfs.

To determine pdfs need to do global pQCD fit to xsecs or structure fn data.

### Desiderata:

- accurate treatment of data & their errors

(in particular correlations of exptl systematics must be included, & propagated through into errors on pdfs)

- accurate treatment of theory

- NLO, preferably NNLO....

- heavy quarks, particularly near threshold.

- resummation of threshold logs. (at large  $x$ )

- resummation of small  $x$  logs.

- higher twist

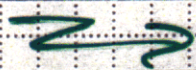
- TMC

- nuclear corrections

etc.

- accurate methodology

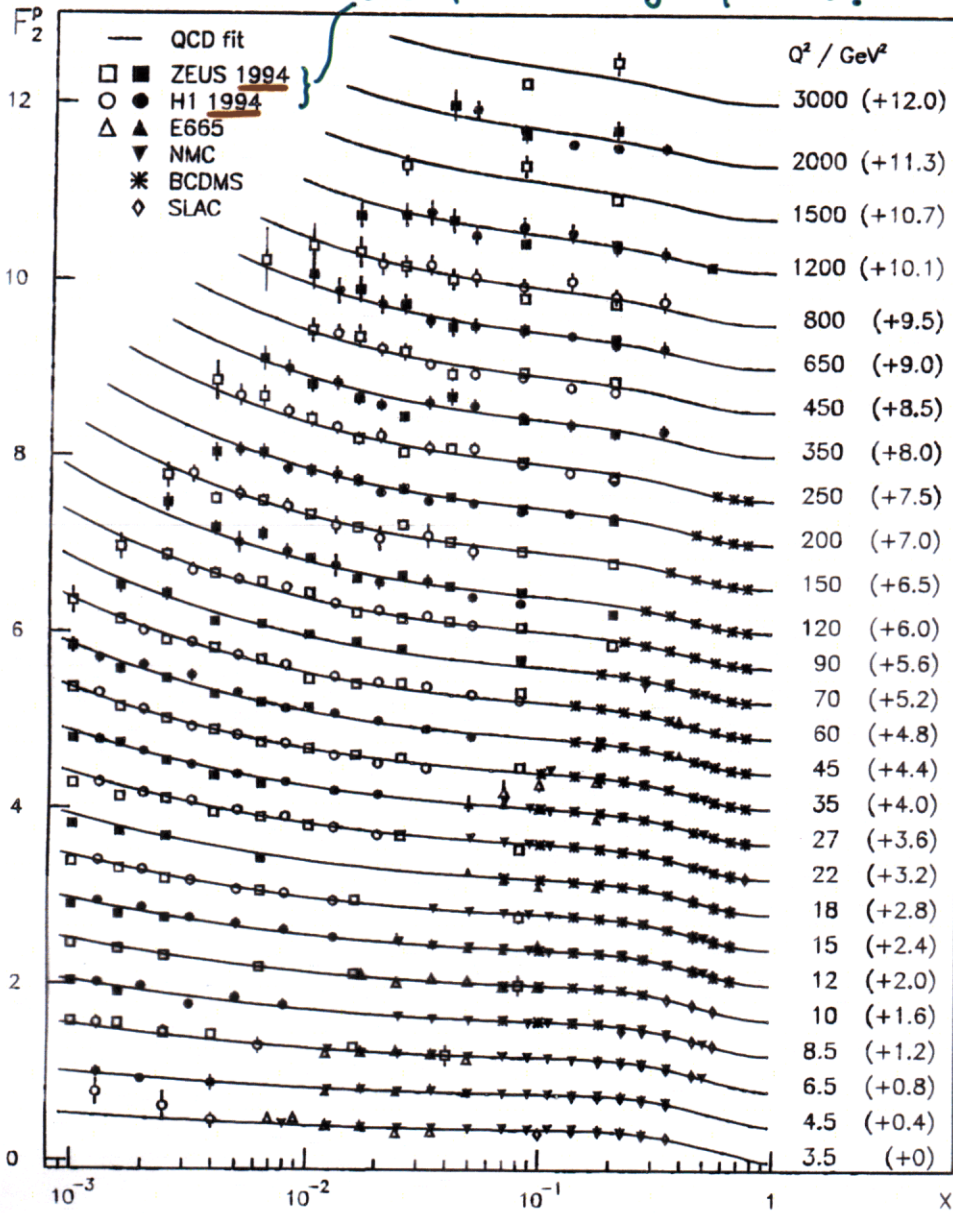
(in particular the results should not depend on the choice of parametrization of the input distbn, or assumptions about the nature of the error propagation)



# A Global fit to determine pdfs with errors. I

M. Botje hep-ph/9912439  
(ZEUS)

data after 1994 not yet published!



+ E866 (DY in pp → pd)

+ F<sub>2</sub><sup>d</sup> (SLAC, BCDMS, NMC)

+ F<sub>3</sub><sup>νFe</sup> (CCFR) ▲ (s +  $\bar{s}$ ) = k(u +  $\bar{d}$ )

Cuts : x > 10<sup>-3</sup> (avoid need for proper treatment of charm)

Q<sup>2</sup> > 3 GeV<sup>2</sup>, W<sup>2</sup> > 7 GeV<sup>2</sup>

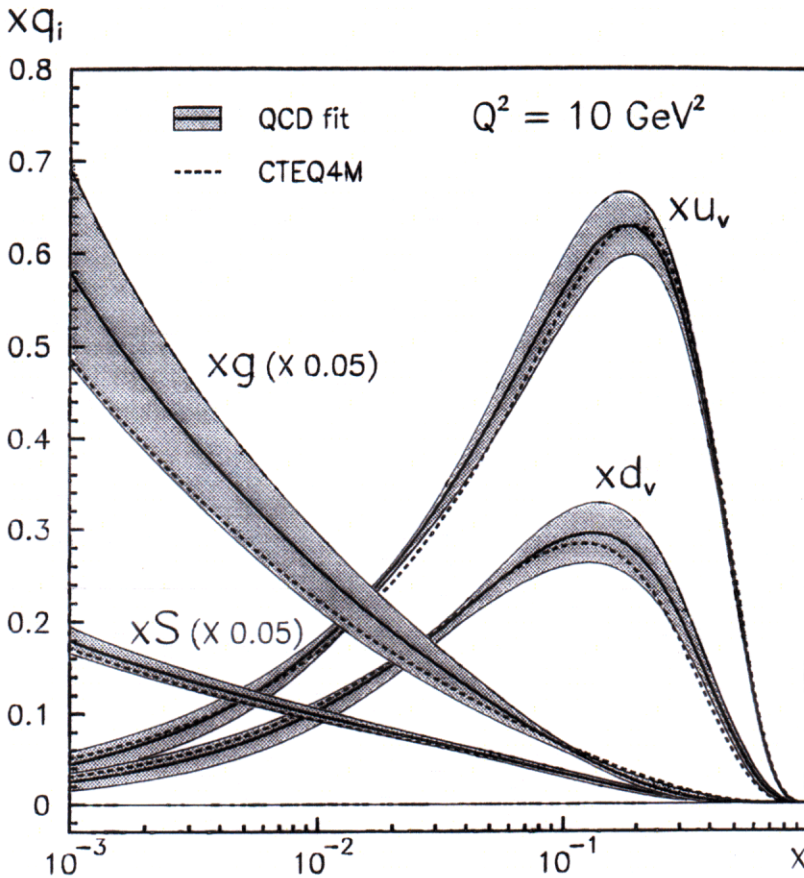
Include HT contribution in fit.

Correct d > Fe data for nuclear effects.



The pdfs + their errors.

M. Botje  
hep-ph/9912439

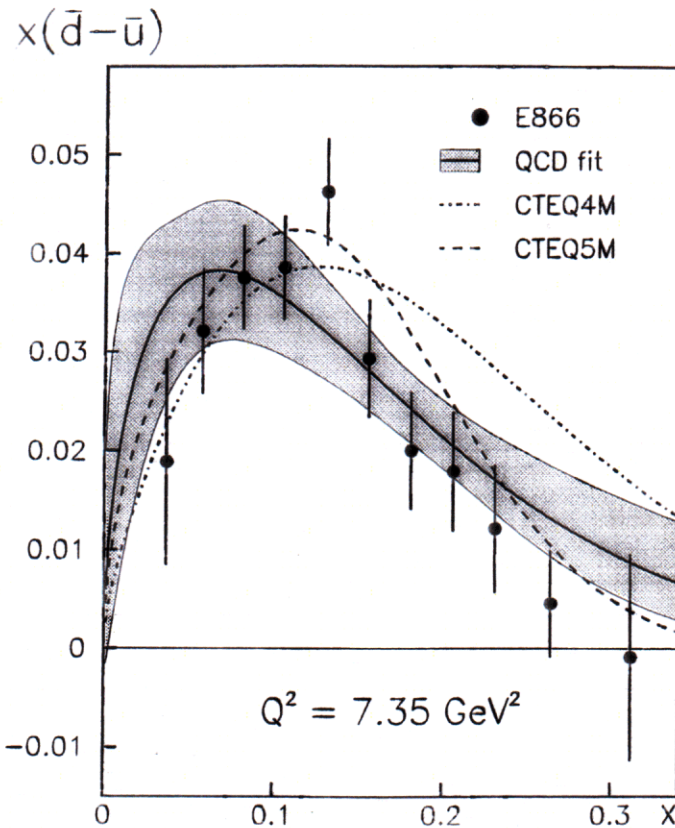


$$u_v \equiv \bar{u} - u$$

$$d_v \equiv \bar{d} - d$$

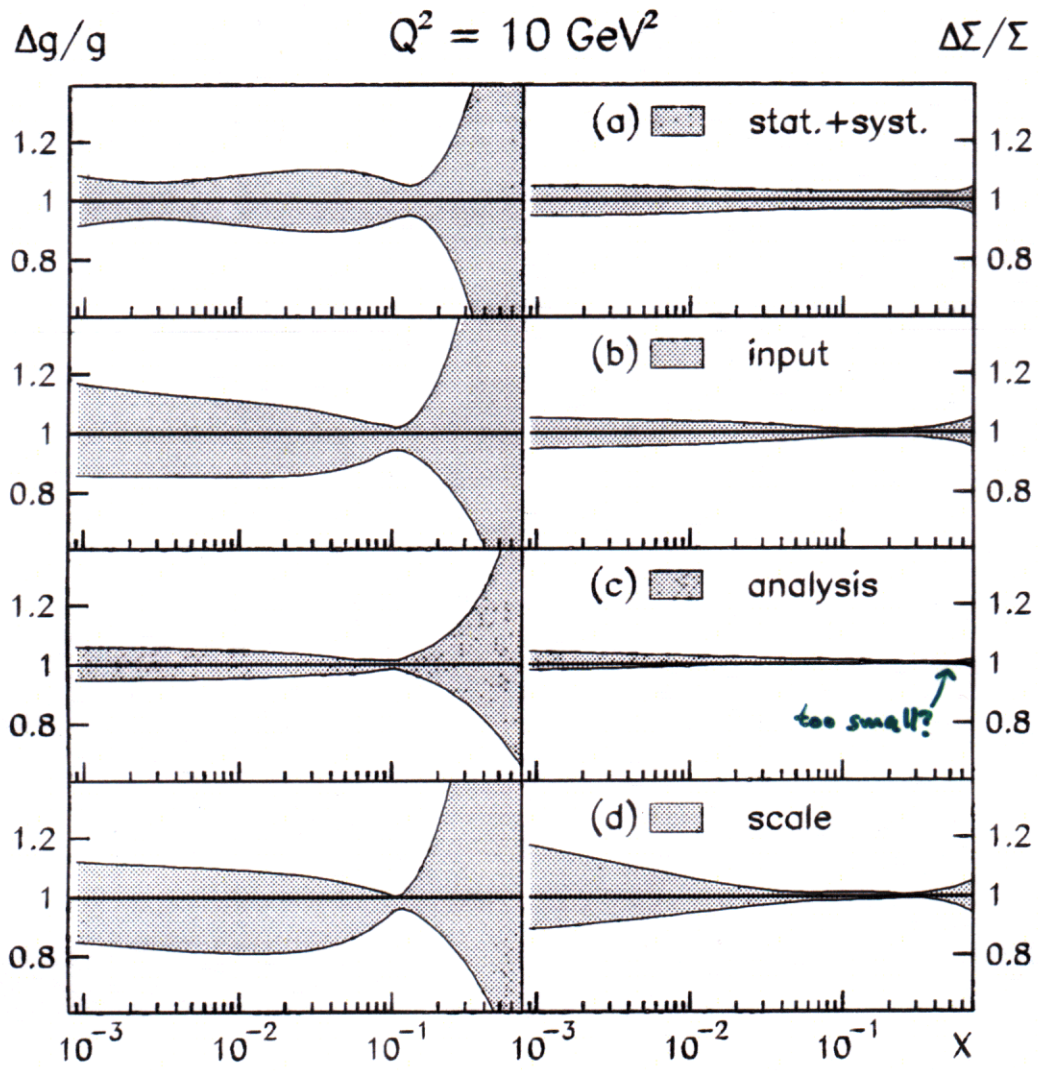
$$S \equiv \Sigma = \sum_f \bar{q} + \bar{q}$$

Currently the 'best' global pdfs in the world!



# Determining the error bands

Experimental errors (statistical + correlated systematics) propagated through to pdfs assuming all errors Gaussian.



Experimental

$\alpha_s = 0.118 \pm 0.001$   
 $\Delta K_S = 0.03$   
 Nuclear corrections  
 Charm threshold.

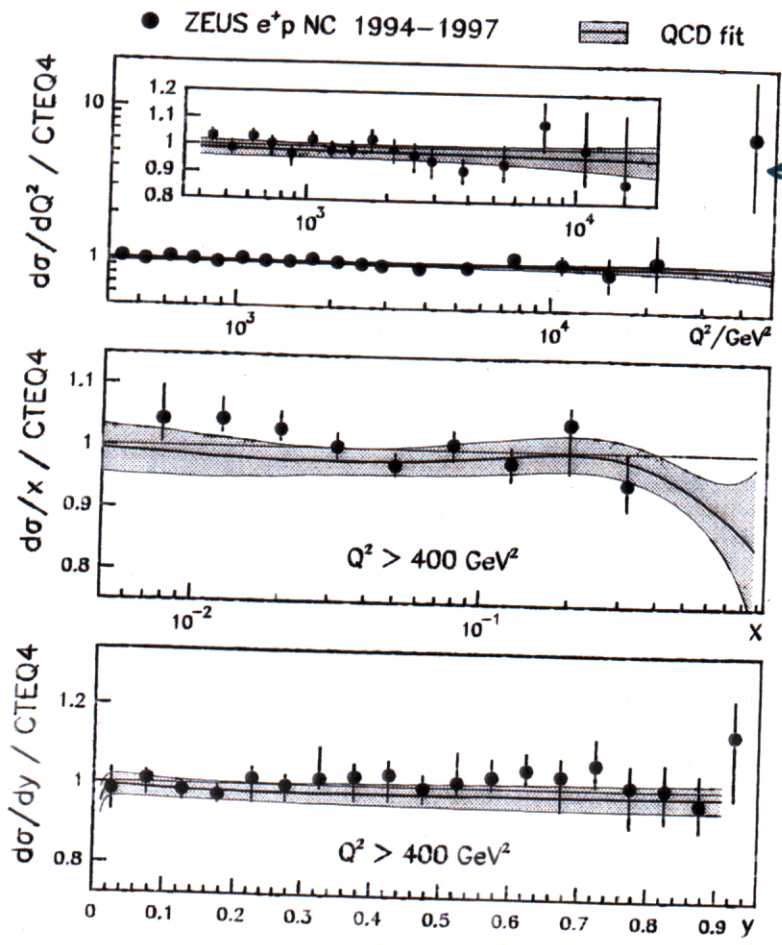
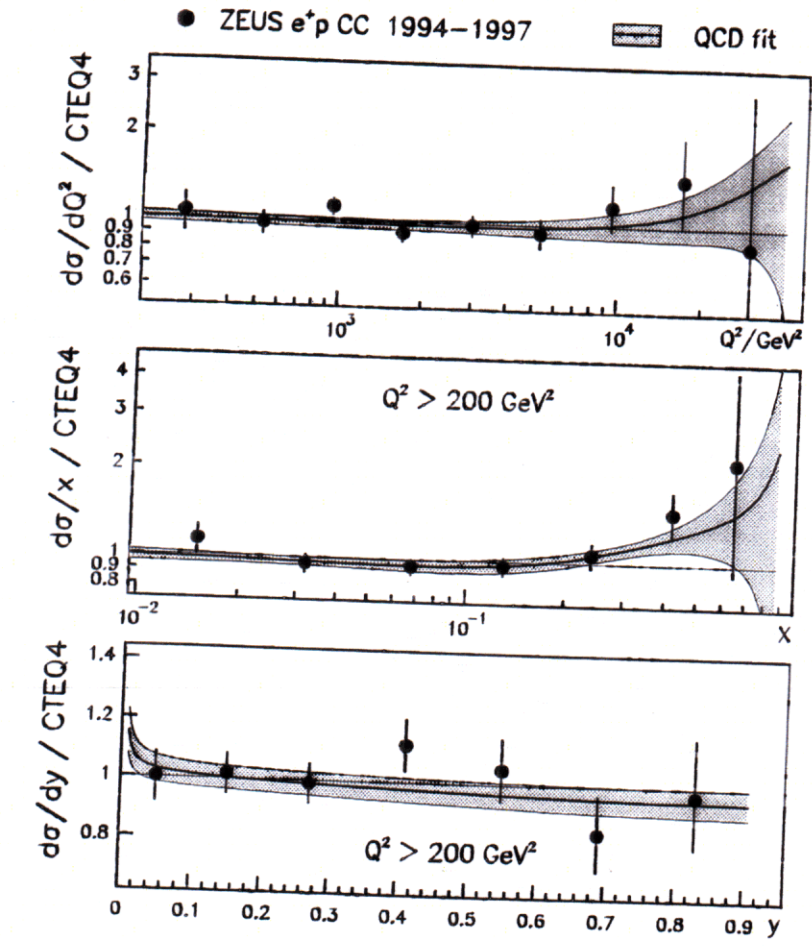
Vary cuts,  
 input scale,  
 some variation  
 of parametrization

$\mu^2 \rightarrow \begin{cases} 2\mu^2 \\ \frac{1}{2}\mu^2 \end{cases}$   
 Probably underestimated  
 error from  
 NNLO.

These added in quadrature for final error band.

Most complete treatment of pdf errors to date.

Using these pdfs to predict HERA  $x$ sec at high  $Q^2$

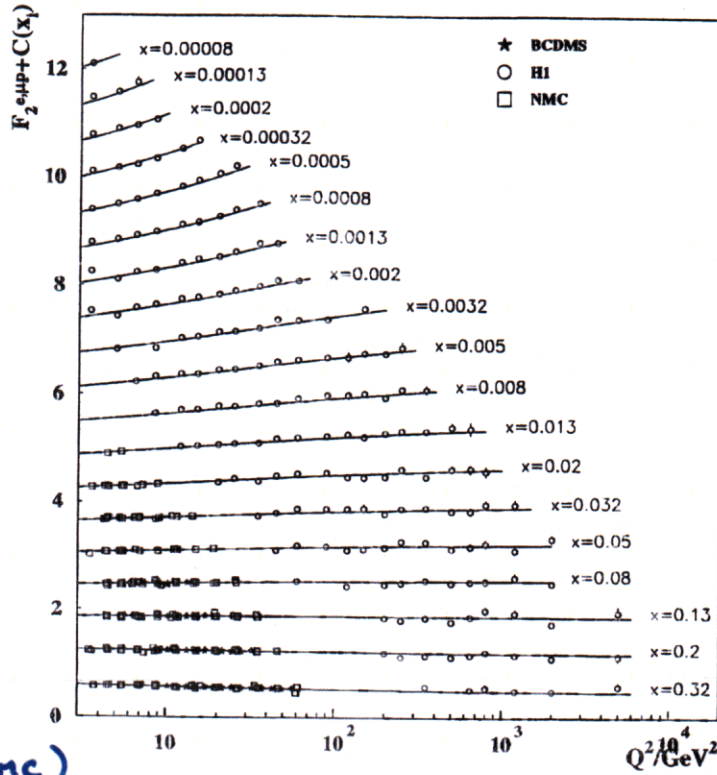


← the "high  $Q^2$  anomaly"

# A Global pdf fit with errors II

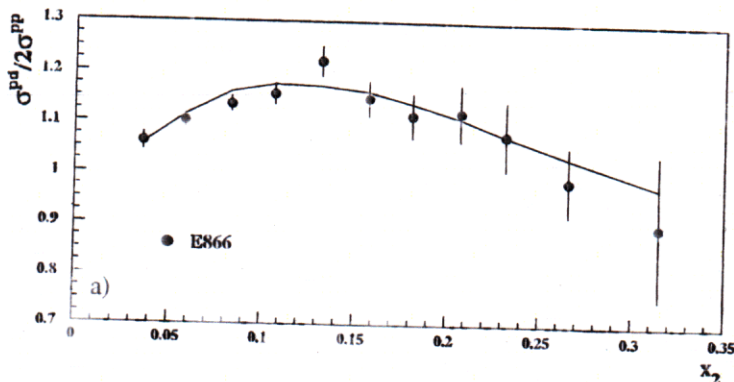
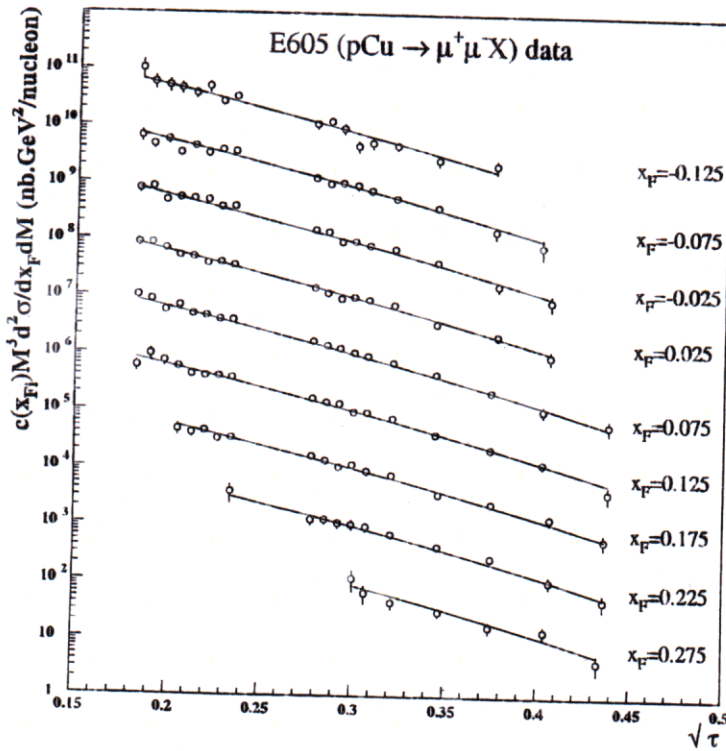
Barnes  
Pascaud } hep-ph/970751  
Zomer

$F_2^p$



(+  $F_2^D$  BCDMS - NMC)

DY :



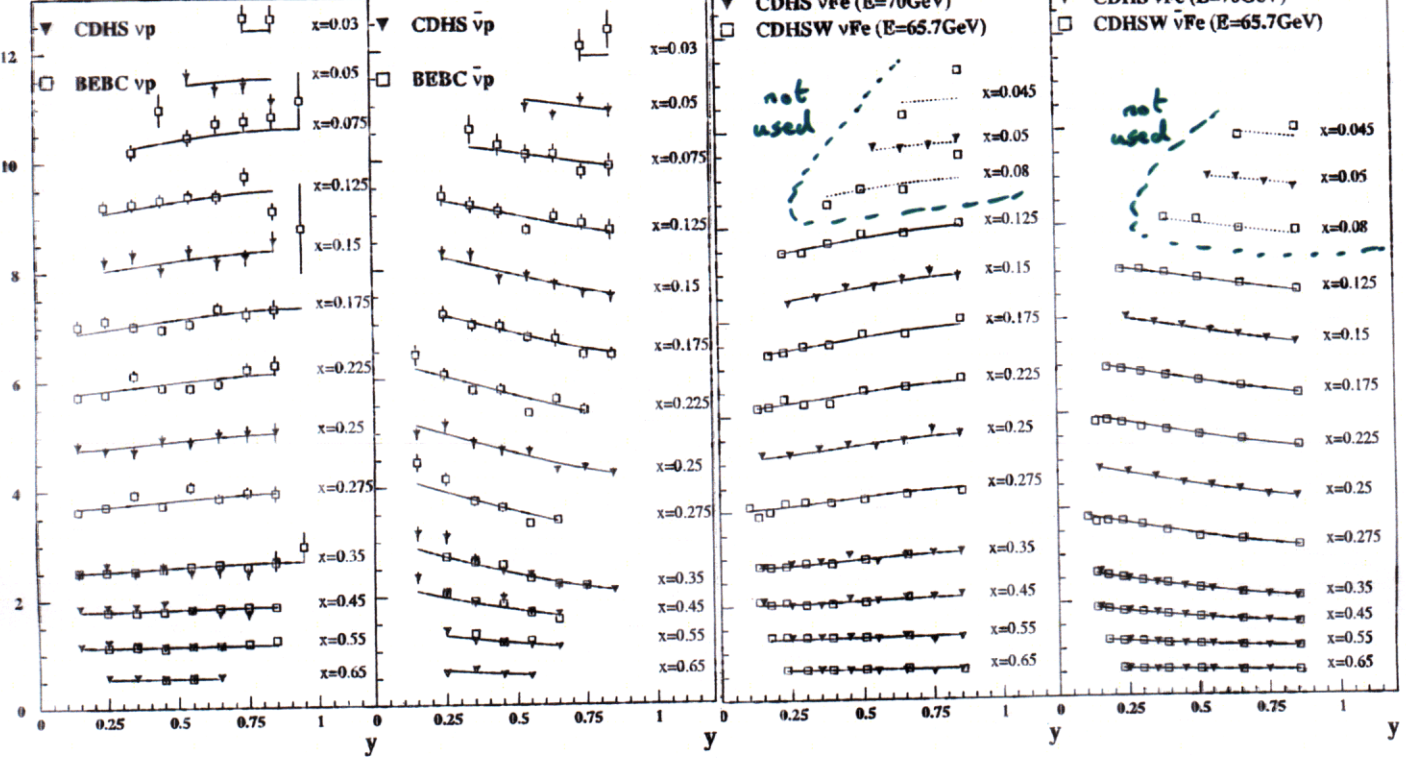
+  $\nu$ -DIS

CDHS  
CDHSW  
BEBC

$\nu$  -  $\bar{\nu}$  cross-sections on H, D & Fe targets.

E=80GeV

E=70GeV



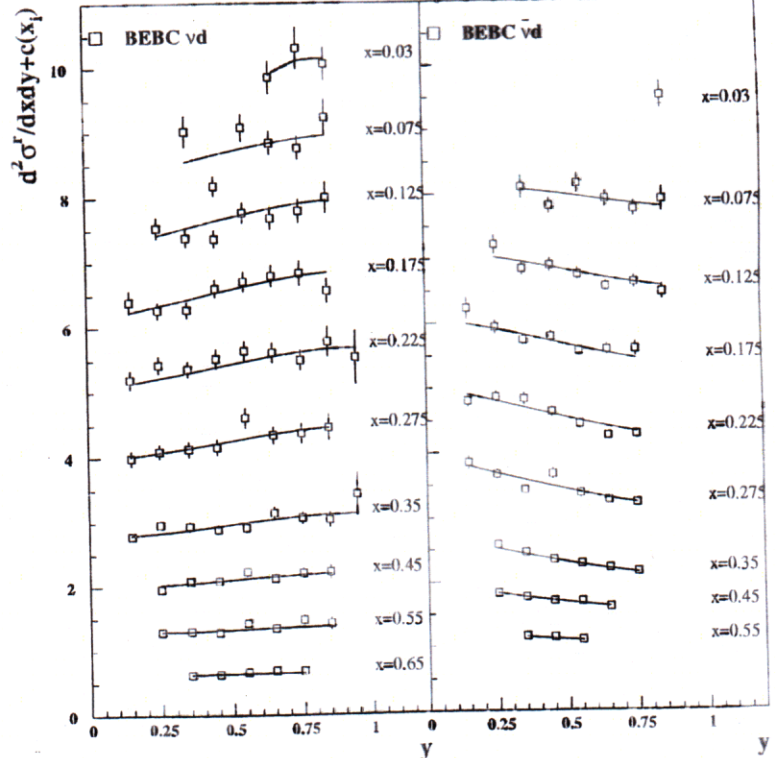
Cuts:  $Q^2 > 3.56 \text{ GeV}^2$   
 $W^2 > 10 \text{ GeV}^2$   
and  $x > 0.1$  for Fe data.

Systematic errors > nuclear corrections re-evaluated for all the  $\nu$ - $\bar{\nu}$  sec.

CCFR data not used because x-sec unpublished

$\Gamma$  published  $F_2^{\nu\bar{\nu}}$ ,  $F_3^{\nu\bar{\nu}}$  are unreliable .... see below ↓

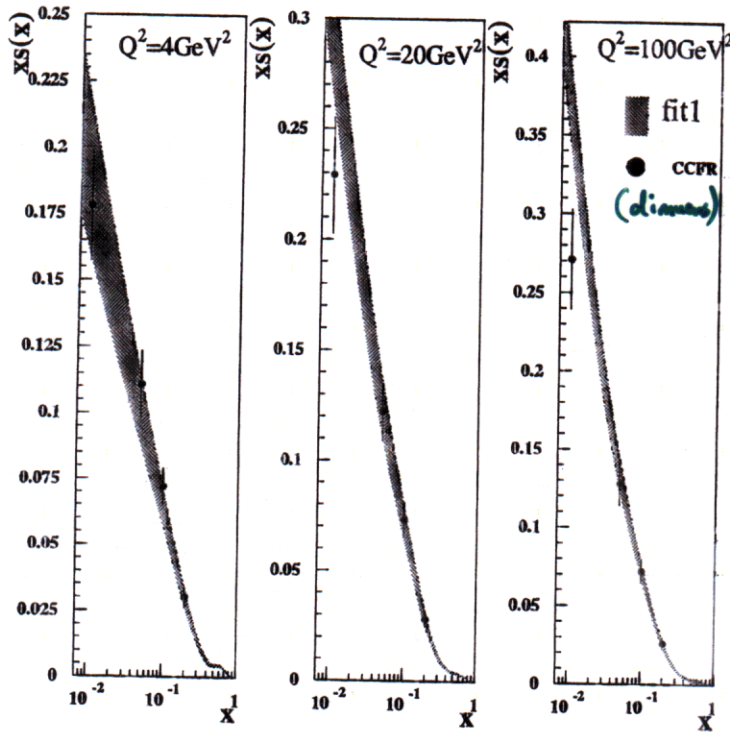
E=70GeV



# Strange pdfs (with errors)

hep-ph/9907512

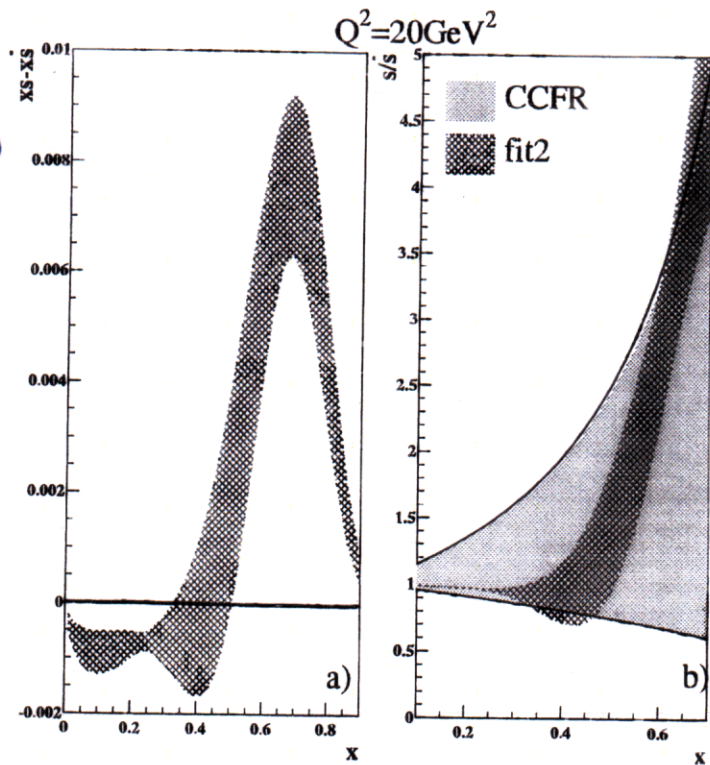
$xS$



Fit with  $s+\bar{s}$  free,  $s=\bar{s}$  :  $\chi^2 = 2430.8$   
 [ Fit with  $(s+\bar{s}) = \kappa(\bar{u}+\bar{d})$ ,  $\kappa$  free,  $s=\bar{s}$  :  $\chi^2 = 2492.4$  ]

(2657 data pts, 44 params)

$x(s-\bar{s})$



$s/\bar{s}$

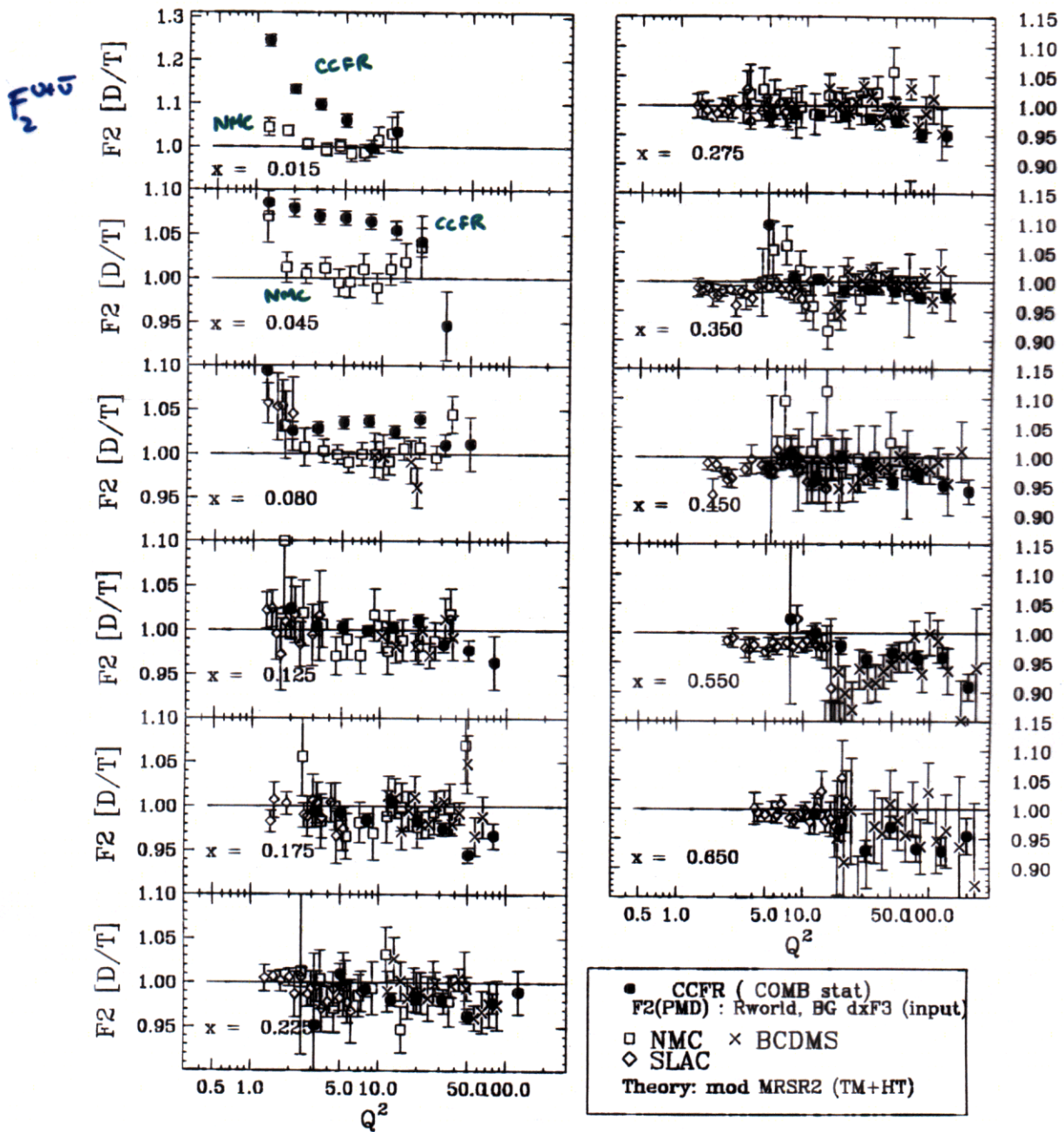
First Evidence for intrinsic strangeness ? :

Fit with  $s+\bar{s}$  and  $s-\bar{s}$  free :  $\chi^2 = 2405.0$

[ due to CERN  $\nu$ -data at high  $y$  ]

## Problems with CCFR $F_2^{un}$ (1997)

At low  $x$  the  $F_2^{un}$  extracted from the  $\nu$ -DIS  $xsec$  is inconsistent with NMC.

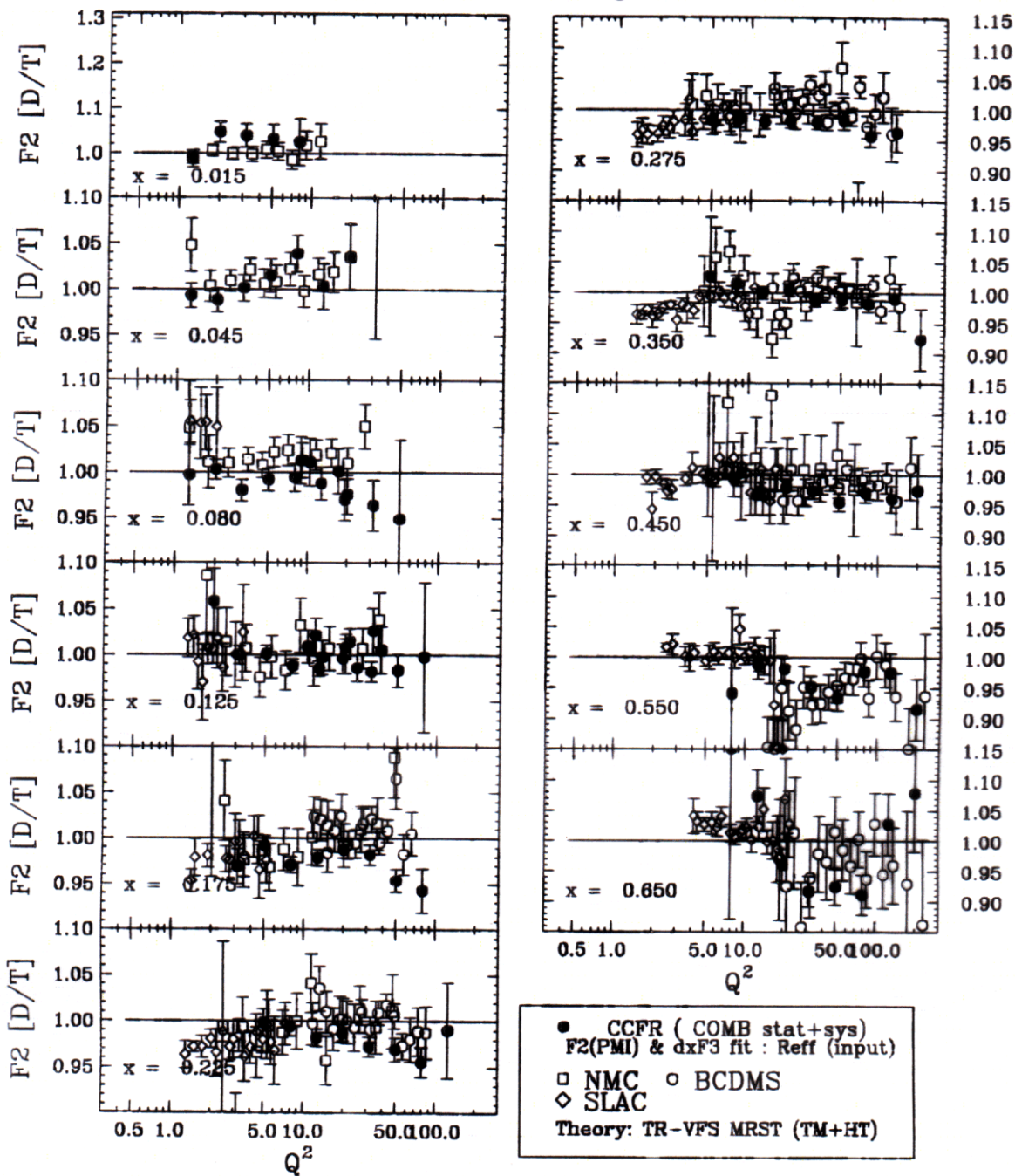


This analysis used various 'model dependent' assumptions:

- a particular a "slow rescaling correction" for charm
- a 'LO charm production model'.

The New CCFR  $F_2^{DIS}$  (2000)

$F_2 \rightarrow F_3$  extracted from  $xsec$  in 'model independent' way, using 2 parameter fit to  $y$  distrib.



- good news : CCFR now consistent with NMC
  - bad news : CCFR  $F_2$  changed in all  $x$  bins
  - ▲ analyses (eg  $\alpha_s$  determination) with old CCFR data unreliable. ↖ 1997
- CCFR  $xsec$  are still unpublished....



## Advantages of $\nu$ -DIS from $\nu$ -factory

- High intensity  $\nu$  beams  $\Rightarrow$  high statistics  
Can use  $H_2 \rightarrow D_2$  targets, rather than Fe  
(large nuclear effects  $\Rightarrow$  large systematic errors)
- Clean incoming  $\nu$  spectrum: reduces systematic error from beam energy scale (currently troublesome)
- Equal statistics for  $\nu$  and  $\bar{\nu}$   
(currently have  $\# \text{ of } \bar{\nu} \approx \frac{1}{3} \# \text{ of } \nu$ )
- $\nu \rightarrow \bar{\nu}$  beams naturally polarised ( $\because \mu^\pm$  beams pol.)  
— would allow polarised DIS expts with  $\nu$ 's.  
(currently all polarised DIS is eN)

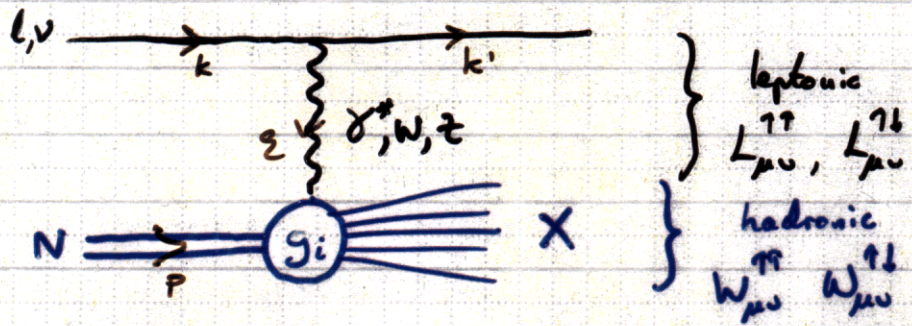
Need quantitative studies.

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# Polarized DIS x-sections



Asymmetry:

$$\frac{i}{2}(W_{\mu\nu}^{\uparrow\uparrow} - W_{\mu\nu}^{\uparrow\downarrow}) = i \epsilon_{\mu\nu\rho\sigma} \xi^\rho \left[ \frac{s^\sigma}{p \cdot \xi} g_1(x, Q^2) + \frac{s^\sigma p \cdot \xi - p^\sigma s \cdot \xi}{(p \cdot \xi)^2} g_2(x, Q^2) \right] \quad \text{parity conserving (C odd)}$$

$$+ \frac{p^\mu s^\nu + s^\mu p^\nu}{2p \cdot s} g_3(x, Q^2) - \frac{s \cdot \xi}{(p \cdot \xi)^2} p^\mu p^\nu g_4(x, Q^2) - \frac{s \cdot \xi}{p \cdot \xi} g^{\mu\nu} g_5(x, Q^2) \quad \text{parity violating (C odd)}$$

▲ Notation for  $g_3, g_4, g_5$

Then

$$\frac{d^2 \sigma_{\uparrow\uparrow}^{\text{eN}}}{dx dy} - \frac{d^2 \sigma_{\uparrow\downarrow}^{\text{eN}}}{dx dy} \sim xy(2-y) g_1$$

$$\frac{d^2 \sigma_{\uparrow\uparrow}^{\text{vN}}}{dx dy} - \frac{d^2 \sigma_{\uparrow\downarrow}^{\text{vN}}}{dx dy} \sim xy(2-y) g_1^v + (1-y)(g_3^v + g_4^v) + xy^2 g_5^v$$

$$\frac{d^2 \sigma_{\uparrow\uparrow}^{\text{uN}}}{dx dy} - \frac{d^2 \sigma_{\uparrow\downarrow}^{\text{uN}}}{dx dy} \sim -xy(2-y) g_1^{\bar{v}} + (1-y)(g_3^{\bar{v}} + g_4^{\bar{v}}) + xy^2 g_5^{\bar{v}}$$

Also transverse asymmetries, needed to determine  $g_2, g_3, g_4$ , but these suppressed by  $m/Q$ ,  $\rightarrow$  hard to measure.

But

$$g_2(x) = -g_1(x) + \int_y^1 \frac{dy}{y} g_1(y) + \text{h.t.} \quad \text{Wandzura-Wilceck}$$

$$g_3(x) = 2x \int_y^1 \frac{dy}{y} g_5(y) + \text{h.t.}$$

$$g_4(x) = 2x \left[ g_1(x) - \int_y^1 \frac{dy}{y} g_1(y) \right] + \text{h.t.}$$

} so  $g_3 + g_4 = 2x g_1$   
} of Callan-Gross.

So at leading twist (parton) level sufficient to measure  $g_1, g_1^v, g_1^{\bar{v}}, g_5^v, g_5^{\bar{v}}$

# Spin - Flavor Decomposition (parton model)

Assume  $n_f = 4$ .

$$g_1^{eP} = \sum_i e_i^2 (\Delta q_i + \Delta \bar{q}_i)$$

$$g_1^{vP} = \Delta \bar{u} + \Delta d + \Delta s + \Delta \bar{c}$$

$$\Delta \Sigma \equiv q^\uparrow - q^\downarrow$$

$$g_1^{sP} = -\Delta \bar{u} + \Delta d + \Delta s - \Delta \bar{c}$$

→ then for  $u \leftrightarrow \bar{u} : \Delta q \leftrightarrow \pm \Delta \bar{q} \quad (\text{c.c.})$   
 $p \leftrightarrow n : \Delta u \leftrightarrow \Delta d \quad (\text{isospin})$

Decompose :

$$\Delta \Sigma = \Delta u + \Delta \bar{u} + \Delta d + \Delta \bar{d} + \Delta s + \Delta \bar{s} + \Delta c + \Delta \bar{c}$$

$$\Delta \Sigma^- = (\Delta u - \Delta \bar{u}) + (\Delta d - \Delta \bar{d}) + (\Delta s - \Delta \bar{s}) + (\Delta c - \Delta \bar{c})$$

$$\Delta \Sigma_3^\pm = (\Delta u \pm \Delta \bar{u}) - (\Delta d \pm \Delta \bar{d})$$

$$\Delta \Sigma_8^\pm = (\Delta u \pm \Delta \bar{u}) + (\Delta d \pm \Delta \bar{d}) - 2(\Delta s \pm \Delta \bar{s})$$

$$\Delta \Sigma_{15}^\pm = (\Delta u \pm \Delta \bar{u}) + (\Delta d \pm \Delta \bar{d}) + (\Delta s \pm \Delta \bar{s}) - 3(\Delta c \pm \Delta \bar{c})$$

Then

$$g_1 = \frac{7}{18} \Delta \Sigma \pm \frac{1}{6} \Delta \Sigma_3^\pm - \frac{5}{54} \Delta \Sigma_8^\pm - \frac{1}{54} \Delta \Sigma_{15}^\pm \quad \pm : p \text{ or } n.$$

$$g_1^v = \frac{1}{2} \Delta \Sigma \mp \frac{1}{2} \Delta \Sigma_3^- - \frac{1}{6} (\Delta \Sigma_8^- - \Delta \Sigma_{15}^-)$$

$$g_1^{\bar{v}} = \frac{1}{2} \Delta \Sigma \pm \frac{1}{2} \Delta \Sigma_3^- + \frac{1}{6} (\Delta \Sigma_8^- - \Delta \Sigma_{15}^-)$$

$$g_1^s = \frac{1}{2} \Delta \Sigma_1^- \mp \frac{1}{2} \Delta \Sigma_3^\pm - \frac{1}{6} (\Delta \Sigma_8^\pm - \Delta \Sigma_{15}^\pm)$$

$$g_1^{\bar{s}} = +\frac{1}{2} \Delta \Sigma_1^- \pm \frac{1}{2} \Delta \Sigma_3^\pm + \frac{1}{6} (\Delta \Sigma_8^\pm - \Delta \Sigma_{15}^\pm)$$

So can determine (in principle) all the quark → antiquark polarization densities by long. polarized DIS with  $[e], u, \bar{u}$  beams on  $p \rightarrow n$  targets

except the "intrinsic" charm polarization  $\Delta c - \Delta \bar{c}$   
 (use tag or calor)

## pQCD evolution (polarized)

Dependence of  $\Delta_S$ ,  $\Delta_{\bar{S}}$ ,  $\Delta_g$  on  $Q^2$  predicted by pQCD

Singlet:  $\frac{d}{d \ln Q^2} \begin{pmatrix} \Delta_S \\ \Delta_g \end{pmatrix} = \begin{pmatrix} \Delta P_{22} & \Delta P_{23} \\ \Delta P_{32} & \Delta P_{33} \end{pmatrix} \otimes \begin{pmatrix} \Delta_S \\ \Delta_g \end{pmatrix}$  ie mixing with  $\Delta_g$ .

Nonsinglet:  $\frac{d}{d \ln Q^2} \Delta_{q_i^+} = \Delta P_- \otimes \Delta_{q_i^+}$   $i = 3, 8, 15$

Valence:  $\frac{d}{d \ln Q^2} \Delta_{q_i^-} = \Delta P_+ \otimes \Delta_{q_i^-}$   $i = 1, 3, 8, 15$

Compute splitting fns in parton th.:  $P = \alpha_s P_0 + \alpha_s^2 P_1 + \dots$

At LO  $P_{22} = P_+ = P_-$  but not at NLO.

Sum rules:  $\int_0^1 dx \Delta_{q_3^+} = g_3^A$  Independent of  $Q^2$  - pQCD  
Measured in p-decay.

$\int_0^1 dx \Delta_{q_8^+} = g_8^A$  Independent of  $Q^2$   
Measured in hyperon decay.

Can choose factorization schemes (AB schemes) in which  $\int_0^1 dx \Delta_S$  is also independent of  $Q^2$ ; can then unambiguously give a flavor decomposition of first moments of  $\Delta_S + \Delta_{\bar{S}}$ .

But then  $\alpha_s \Delta_g$  is also large,  $\therefore$  of the axial anomaly,  $\tau$

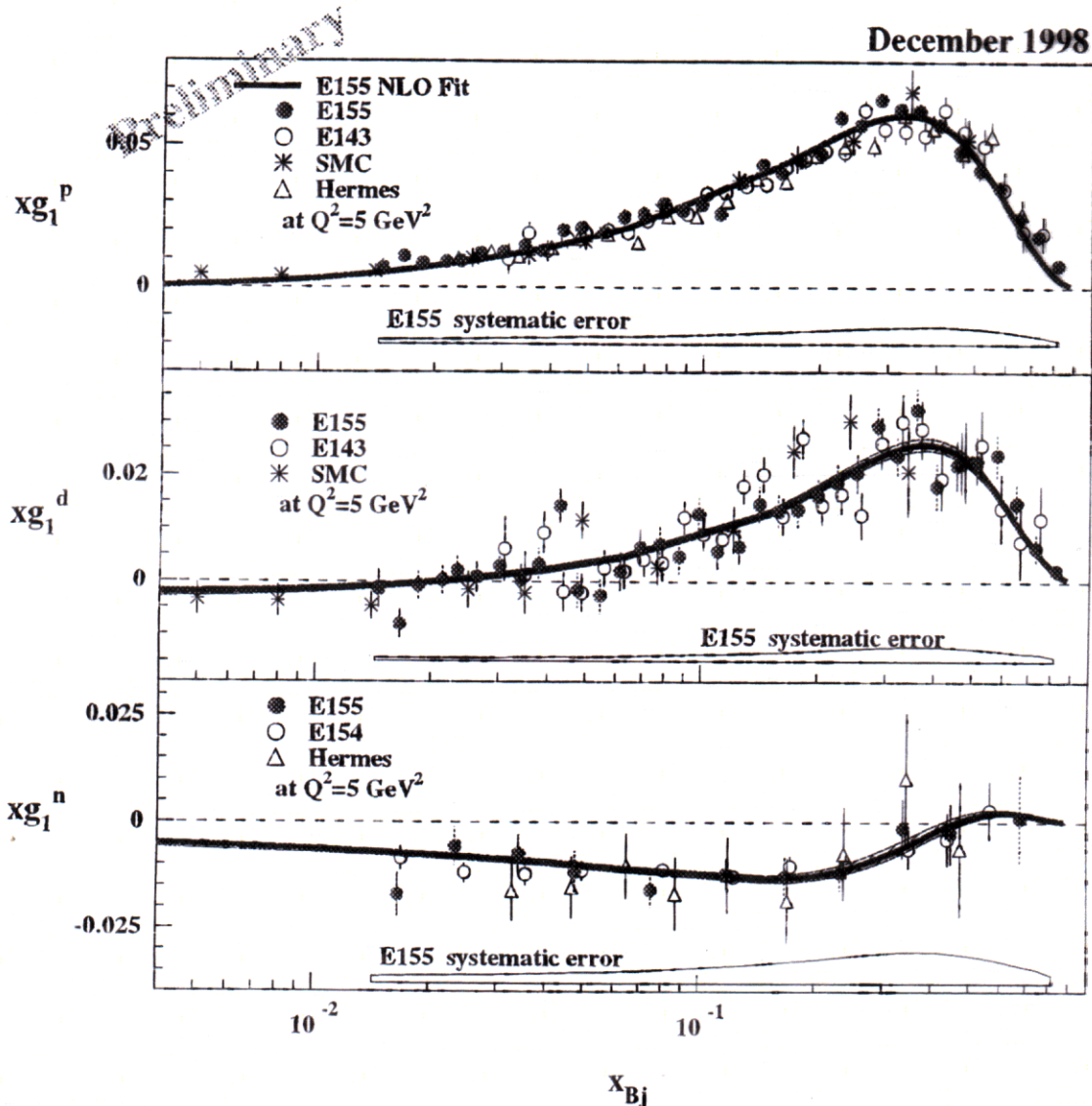
$$a_0(Q^2) = \int_0^1 dx (\Delta_S - \alpha_s \Delta_g)$$

is renormalized multiplicatively.

Smallness of  $a_0$ : "Spin Crisis"

World Pol. Data for  $g_1$

[ Currently  $\nexists$  data for  $g_1^{u,v}$  or  $g_5$ : no pol.  $\nu N$  ]

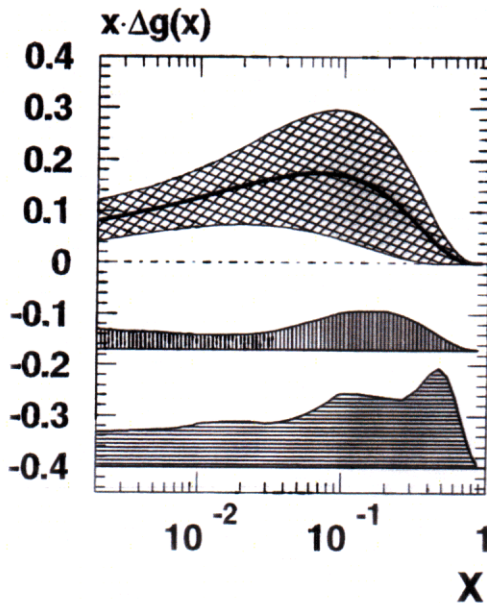
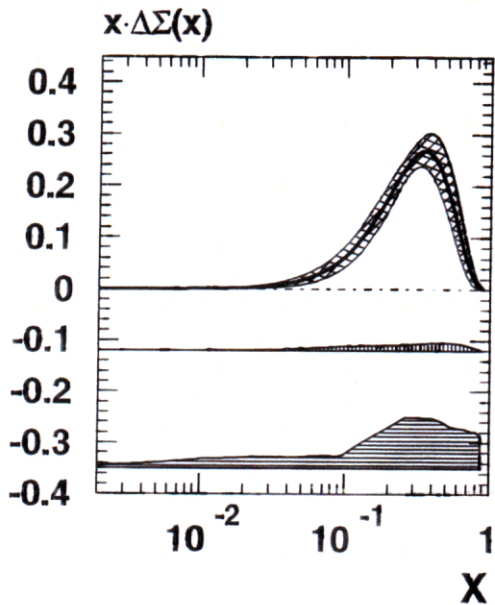


Should eventually have its polarized DY  $\rightarrow$  prompt- $\gamma$  data from polarized RHIC,  $\rightarrow$  charm data from COMPASS.

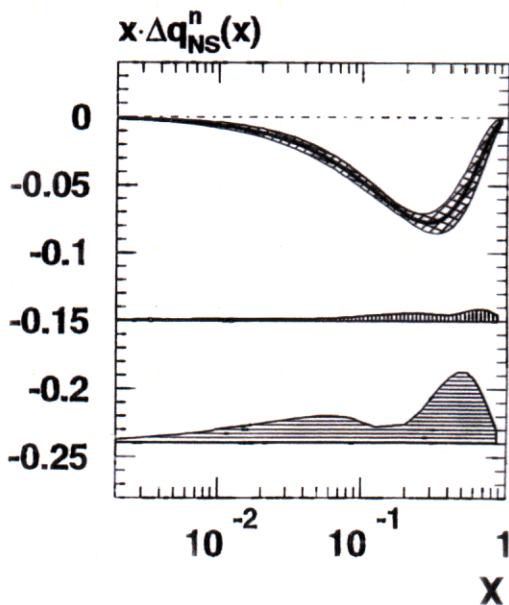
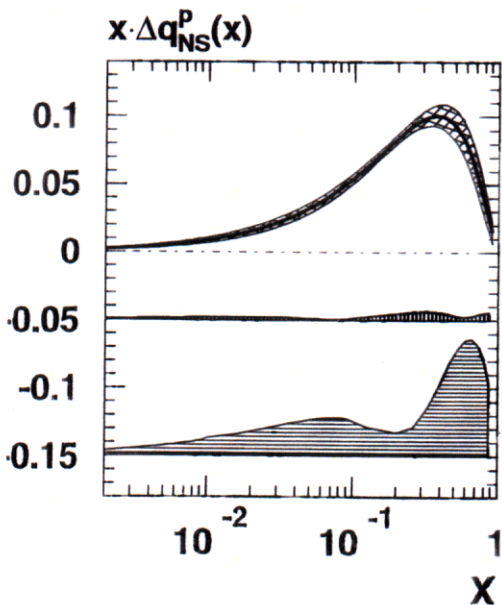
# Polarized Parton Distributions

from global NLO fit (SMC '98)

Singlet/non-singlet from  $p$  vs  $n$ : gluon from scaling violations



$\Delta g > 0$   
 ← stat. error  
 ← syst. error  
 ← th. error



$\Delta q_{NS}^p \approx -\Delta q_{NS}^n$

$\Delta \Sigma$  and  $\Delta q_3^+$  are well determined.

$\Delta g$  is poorly determined, but positive.

ABFR '98.

Not possible to determine

- $\Delta q_3^+$  (ie strange polarization)
- sea/valence separation.

$Q_0 = 0.10^{+0.17}_{-0.11}$  : consistent with zero (but large errors)

# Advantages of polarized $\nu$ -DIS

- First moment  $\int_0^1 dx (g_1^{\nu} + g_1^{\bar{\nu}}) = a_0$

ie direct measure of  $a_0$

(compare  $\gamma^*$ -DIS: about 90% of  $g_1^{\nu}$  is nonsinglet

$\Rightarrow$  10% error on 1st moment  $\rightarrow$  100% error on  $a_0$ :

$$\Gamma_1 \sim 0.1 \pm 0.01 \Rightarrow a_0 = 0.1 \pm 0.1$$

- First moment  $\int_0^1 dx (g_5^{\nu} - g_5^{\bar{\nu}}) = \mp a_3 + \frac{1}{3}(a_8 - a_{15})$

ie (combining  $p \rightarrow n$ ) direct measure of  $a_8$ , thus  $\Delta S + \Delta \bar{S}$ .  $\uparrow$  small, calculable

- ~~First~~  $g_5^{\nu} + g_5^{\bar{\nu}} = \Delta q_1 = (\Delta u - \Delta \bar{u}) + (\Delta d - \Delta \bar{d}) + \dots \xrightarrow{f_3}$

$$g_1^{\nu} - g_1^{\bar{\nu}} = \mp \Delta q_3 - \frac{1}{3}(\Delta q_8 - \Delta q_{15})$$

ie can determine valence quark polarizations

(these cannot be measured <sup>inclusive</sup> in  $\gamma^*$ -DIS)

In particular, measure  $\Delta S - \Delta \bar{S}$ : 'intrinsic' strange polarization.

$\Delta S \rightarrow \Delta \bar{S}$  could also be measured by tagging charm in the final state.

But need quantitative studies.

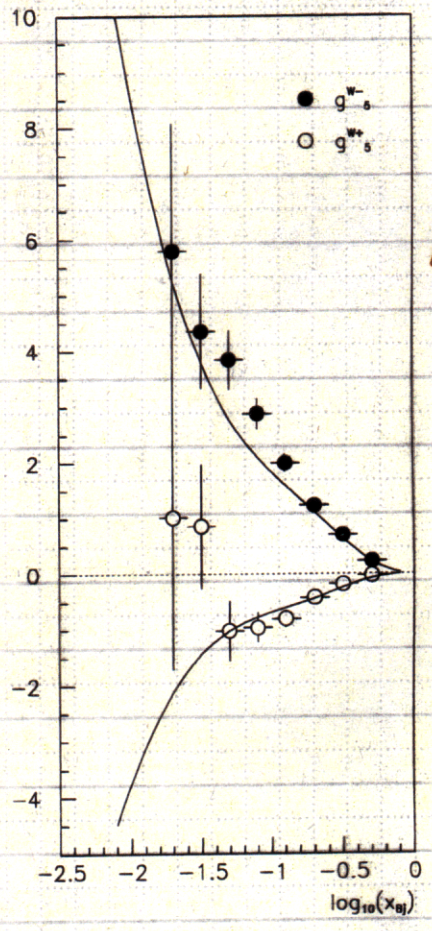
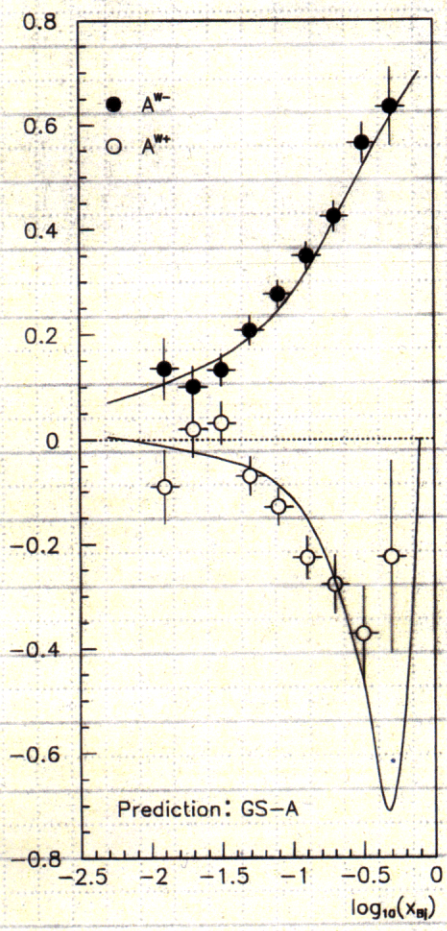


# Flavor Decomposition at polarized HERA

At high  $Q^2$  ( $\approx 225 \text{ GeV}^2$ ) can extract

$$g_5^{W^-} \approx \Delta u - \Delta \bar{d} + \Delta c - \Delta \bar{s} - \Delta \bar{b}$$

$$g_5^{W^+} \approx \Delta d - \Delta \bar{u} + \Delta s - \Delta \bar{c} + \Delta \bar{b}$$



$L = 500, pb^{-1}$   
 very optimistic  
 still waiting for  $F_3$  from CE HERA

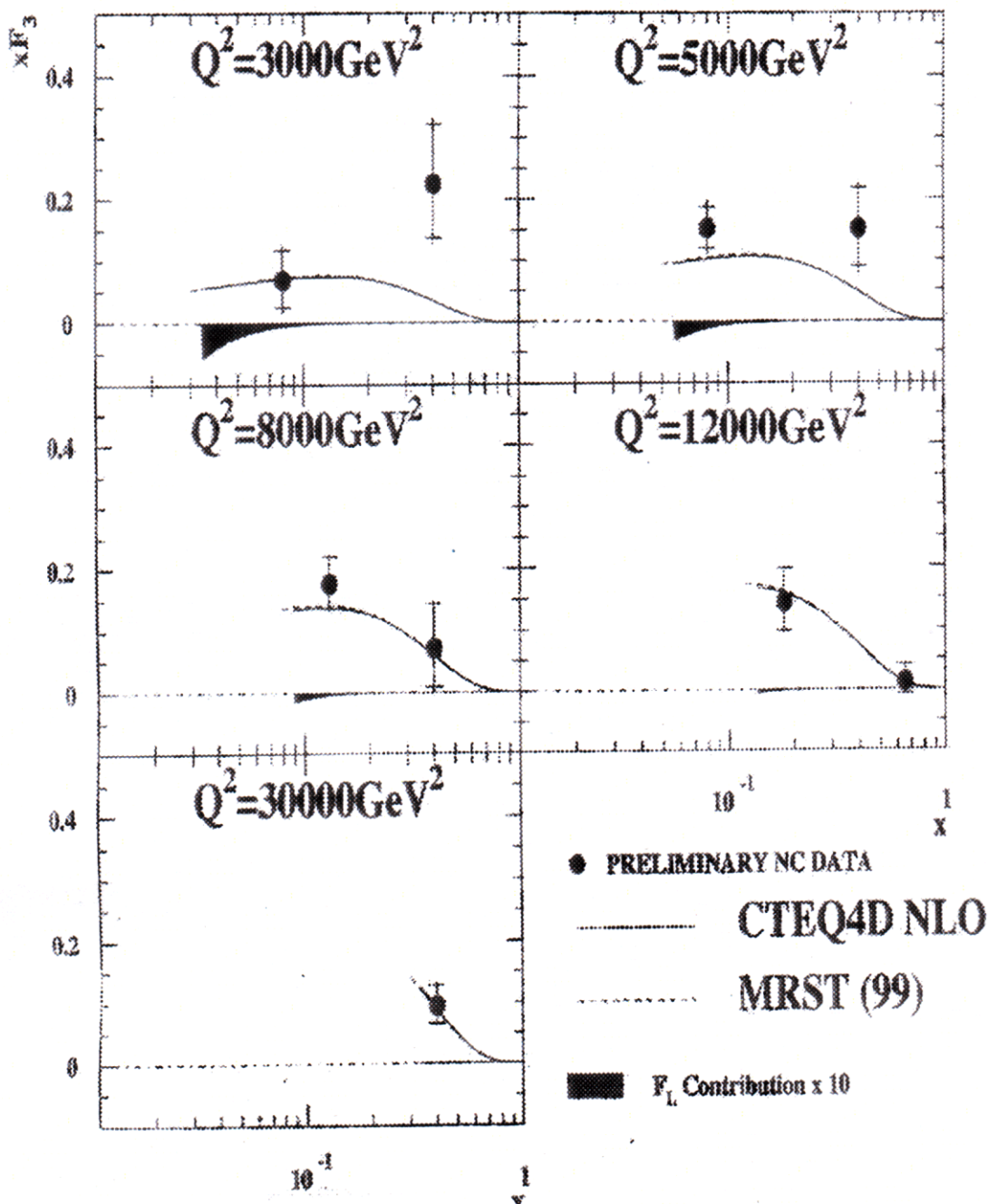
Combine with  $g_1$  to extract  $\Delta u_v, \Delta d_v$  etc at NLO

- Also Drell-Yan / vector boson production at RHIC (numerical studies?)

Full flavor decomposition of first moments always has arbitrarily large scheme uncertainty (because  $\Delta \Sigma$  not well defined)  
 No miracles!

First measurement of  $xF_3$ ! *at HERA*

ZEUS NC 1996-99



*Still a long way to go.....*

## Summary

- A  $v$ -factory is an ideal partonometer
- We already have the theoretical tools to analyse  
→ exploit the data from  $v$ -DIS.

[ and we're getting better all the time :

- NNLO
- resummations
- parton Monte Carlo

;

]

- Can we please have one soon?