

4 neutrino analysis of atmospheric neutrinos

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1. Introduction

(1) sterile neutrinos

- * ν_0 $\Delta m_0^2 \sim 10^{-10} \text{eV}^2$ or 10^{-5}eV^2
- * ν_{atm} $\Delta m_{\text{atm}}^2 \sim 10^{-2.5} \text{eV}^2$
- * ν_{LSND} $\Delta m_{\text{LSND}}^2 \sim 1 \text{eV}^2$

To account for all the anomalies
we need $\nu_{\text{active}} + \nu_s$.

(2) BBN constraints on 4ν scenarios

N. Okada - O.Y. Int. J. Mod. Phys. A12 ('97) 3667

Bilenky - Giunti - Grimus - Schwetz

Astropart. Phys. 11 ('99) 413

If we demand the constraint by Bugey (reactor experiment) and the Big Bang Nucleosynthesis

constraint $N_\nu < 4.0$ then $\theta_{13} = 0$ (\because Bugey)

$$U_{MNS} = \begin{pmatrix} U_{e1} & U_{e2} & U_{e3} & U_{e4} \\ U_{\mu 1} & U_{\mu 2} & U_{\mu 3} & U_{\mu 4} \\ U_{\tau 1} & U_{\tau 2} & U_{\tau 3} & U_{\tau 4} \\ U_{s1} & U_{s2} & U_{s3} & U_{s4} \end{pmatrix} \begin{matrix} e \\ \mu \\ \tau \\ s \end{matrix}$$

$\theta_{13} = 0$ (\because BBN $N_\nu < 4$)

$$\Rightarrow U_{MNS} \approx \begin{pmatrix} c_\theta & s_\theta & \epsilon & \epsilon \\ \epsilon & \epsilon & c_{atm} & s_{atm} \\ \epsilon & \epsilon & -s_{atm} & c_{atm} \\ -s_\theta & c_\theta & \epsilon & \epsilon \end{pmatrix} \begin{matrix} |\theta_\theta| \ll 1 \\ \text{SMA MSW} \\ \theta_{atm} \approx \frac{\pi}{4} \\ \text{maximal mixing} \end{matrix}$$

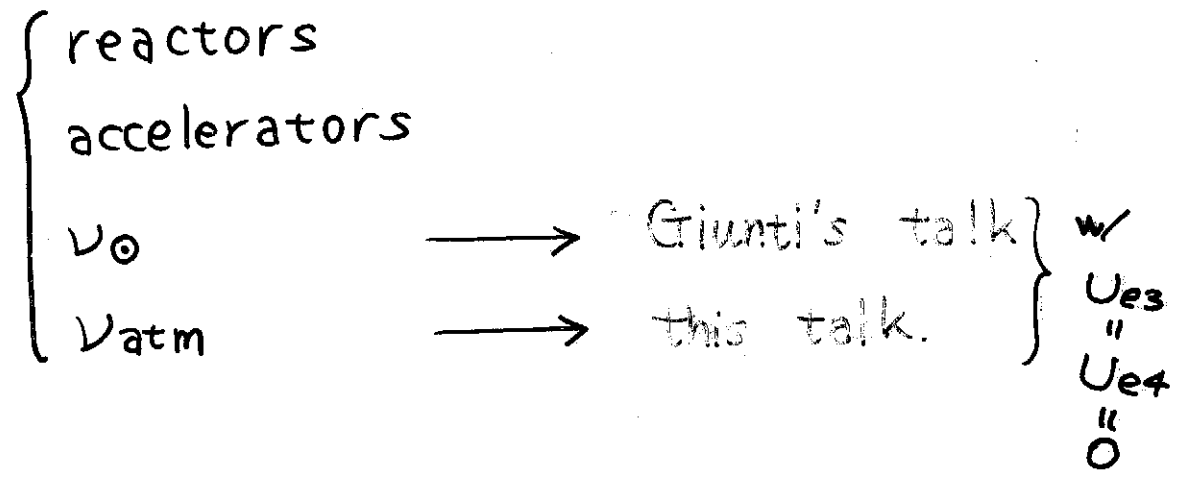
in this case

- $\nu_\theta : \nu_e \leftrightarrow \nu_s$ (SMA MSW)
- $\nu_{atm} : \nu_\mu \leftrightarrow \nu_\tau$ (almost maximal mixing)
- $\nu_{LSND} : \bar{\nu}_\mu \leftrightarrow \bar{\nu}_e$ from small off diagonal components

(3) 4ν scenarios w/o BBN constraints

The cosmological arguments may not be reliable very much.

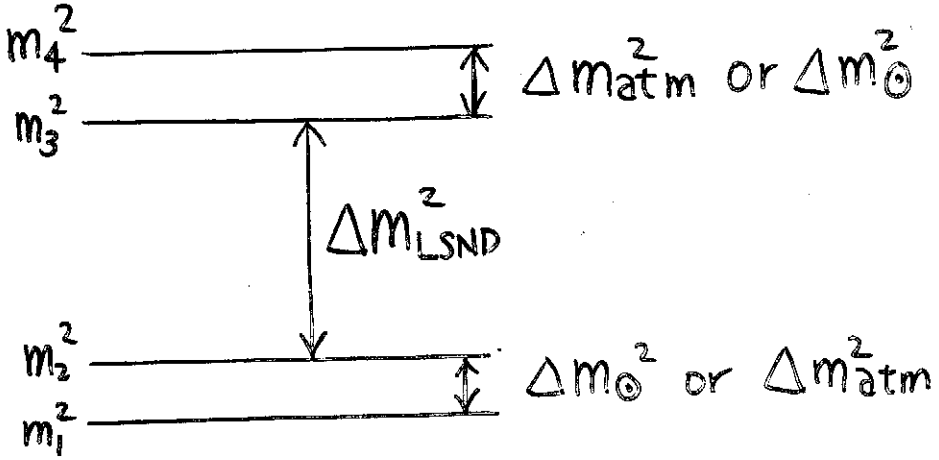
If we lift the BBN constraints the remaining constraints are :



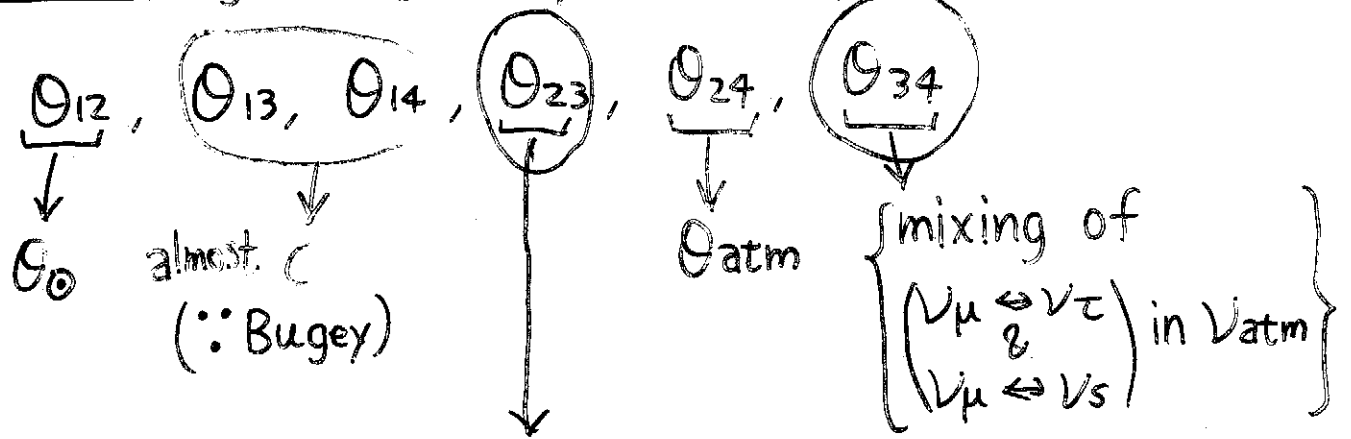
(4) $N_\nu = 4$ mixing matrix $\rightarrow C$ (:: Bugey)

$$U_{MNS} = \begin{pmatrix} U_{e1} & U_{e2} & U_{e3} & U_{e4} \\ U_{\mu 1} & U_{\mu 2} & U_{\mu 3} & U_{\mu 4} \\ U_{\tau 1} & U_{\tau 2} & U_{\tau 3} & U_{\tau 4} \\ U_{s1} & U_{s2} & U_{s3} & U_{s4} \end{pmatrix}$$

$\rightarrow 0$ if BBN $N_\nu < 4$ is assumed

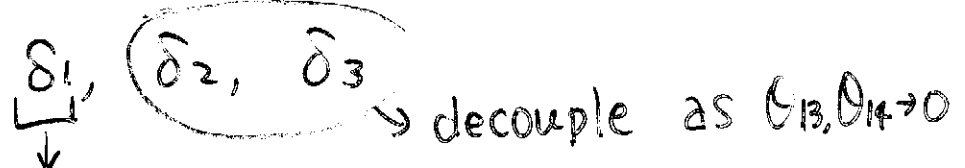


6 mixing angles (if BBN $N_\nu < 4$)

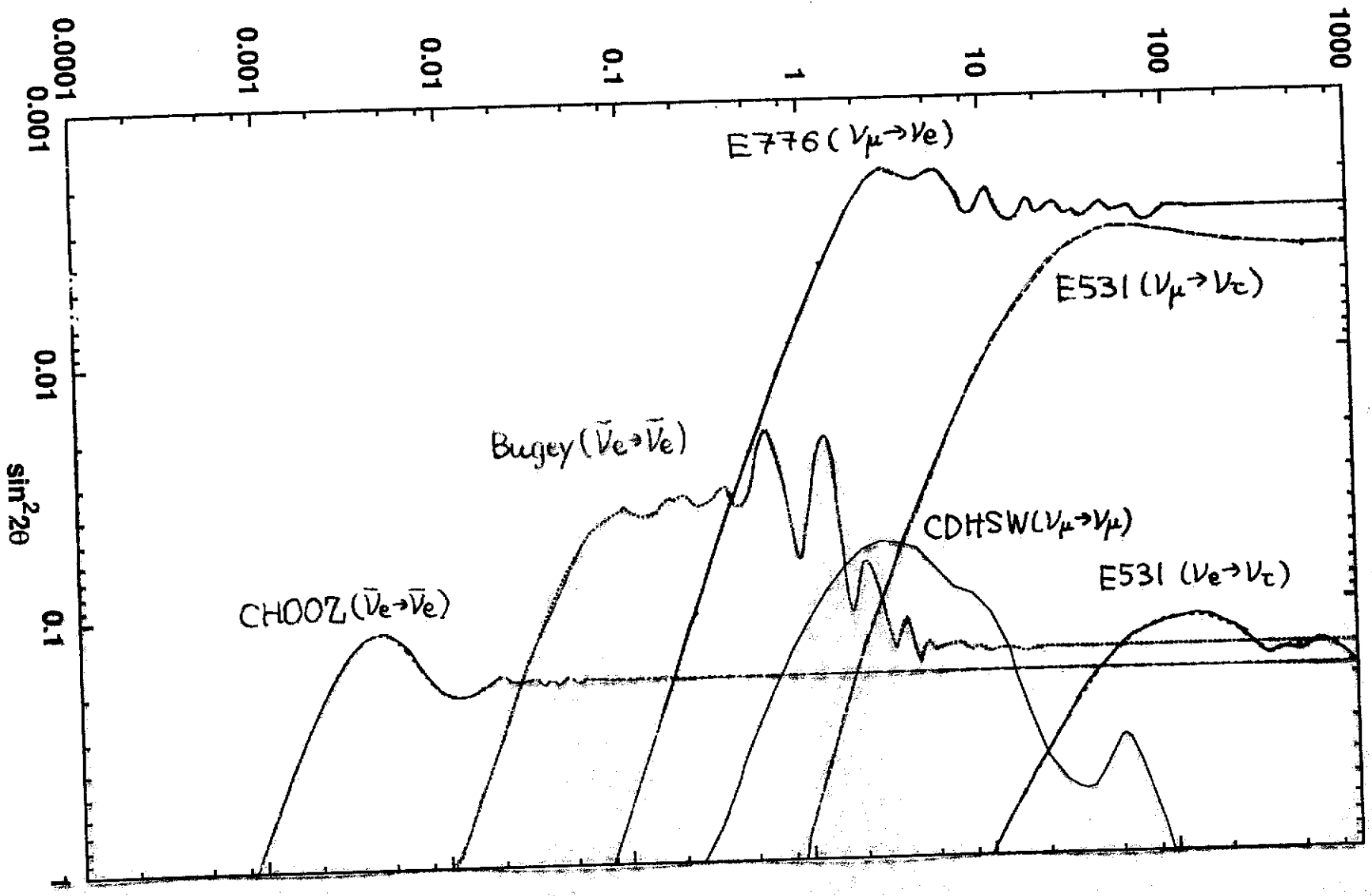


mixing of $\left(\begin{matrix} \sin^2(\Delta m^2_{atm} L/4E) \\ \sin^2(\Delta m^2_{LSNB} L/4E) \end{matrix} \right)$ in ν_{atm}

3 CP phases



$\Delta m^2/eV^2$



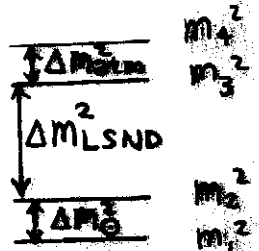
2. $N_\nu = 4$ analysis of ν_{atm} w/o BBN constraints
O.Y.

$$U \text{diag}(-\Delta E_{21}, 0, \Delta E_{32}, \Delta E_{32} + \Delta E_{43}) U^{-1} + \text{diag}(A_{cc}, 0, 0, -A_{nc})$$

$$\Delta E_{jk} = \frac{\Delta m_{jk}^2}{2E}, A_{cc} = \sqrt{2} G_F N_e, A_{nc} = \frac{1}{\sqrt{2}} G_F N_n$$

$$U = R_{34} \left(\frac{\pi}{2} - \theta_{34} \right) R_{24} (\theta_{24}) R_{23} \left(\frac{\pi}{2} \right) e^{i\delta_1 \lambda_{13}} R_{23} (\theta_{23}) e^{-i\delta_1 \lambda_{13}}$$

$$\times \begin{pmatrix} e^{i\delta_3 \lambda_{15}} & & & \\ & R_{14}(\theta_{14}) & & \\ & & e^{i\delta_3 \lambda_{15}} & \\ & & & e^{i\delta_2 \lambda_{18}} & & \\ & & & & R_{15}(\theta_{15}) & \\ & & & & & e^{-i\delta_2 \lambda_{18}} & \\ & & & & & & R_{12}(\theta_{12}) \end{pmatrix}$$



reactor $\Rightarrow \theta_{13} = \theta_{14} = 0$
(Bugey)

$$\Delta E_{21} = \Delta E_0 \rightarrow 0 \Rightarrow \theta_{12} \text{ decouples}$$

Thus

$$U = \begin{pmatrix} 1 & 0 & 0 & 0 \\ 0 & -C_{24} S_{23} e^{i\delta_1} & C_{23} C_{24} & S_{24} \\ 0 & -C_{23} S_{34} + C_{34} S_{23} S_{24} e^{i\delta_1} & -C_{23} C_{34} S_{24} - S_{23} S_{34} e^{-i\delta_1} & C_{24} C_{34} \\ 0 & C_{23} C_{34} + S_{23} S_{24} S_{34} e^{i\delta_1} & -C_{23} S_{34} S_{24} + S_{23} C_{34} e^{-i\delta_1} & C_{24} S_{34} \end{pmatrix}$$

w/ BBN $N_\nu < 4$

$$\begin{cases} |\theta_{23}|, |\theta_{34}| \ll 1 \\ \theta_{24} = \theta_{atm} \approx \frac{\pi}{4} \end{cases}$$

w/o BBN $N_\nu < 4$

$\theta_{24}, \theta_{23}, \theta_{34}, \delta_1$: can be of $O(1)$

$N_\nu = 4$ analysis of ν_0 Giunti-Gonzalez-Garcia-Peña-Gray

$$C_s \equiv |U_{s1}|^2 + |U_{s2}|^2 = |C_{23} C_{34} + S_{23} S_{24} S_{34} e^{i\delta_1}|^2 = "C_{23}^2 C_{24}^2"$$

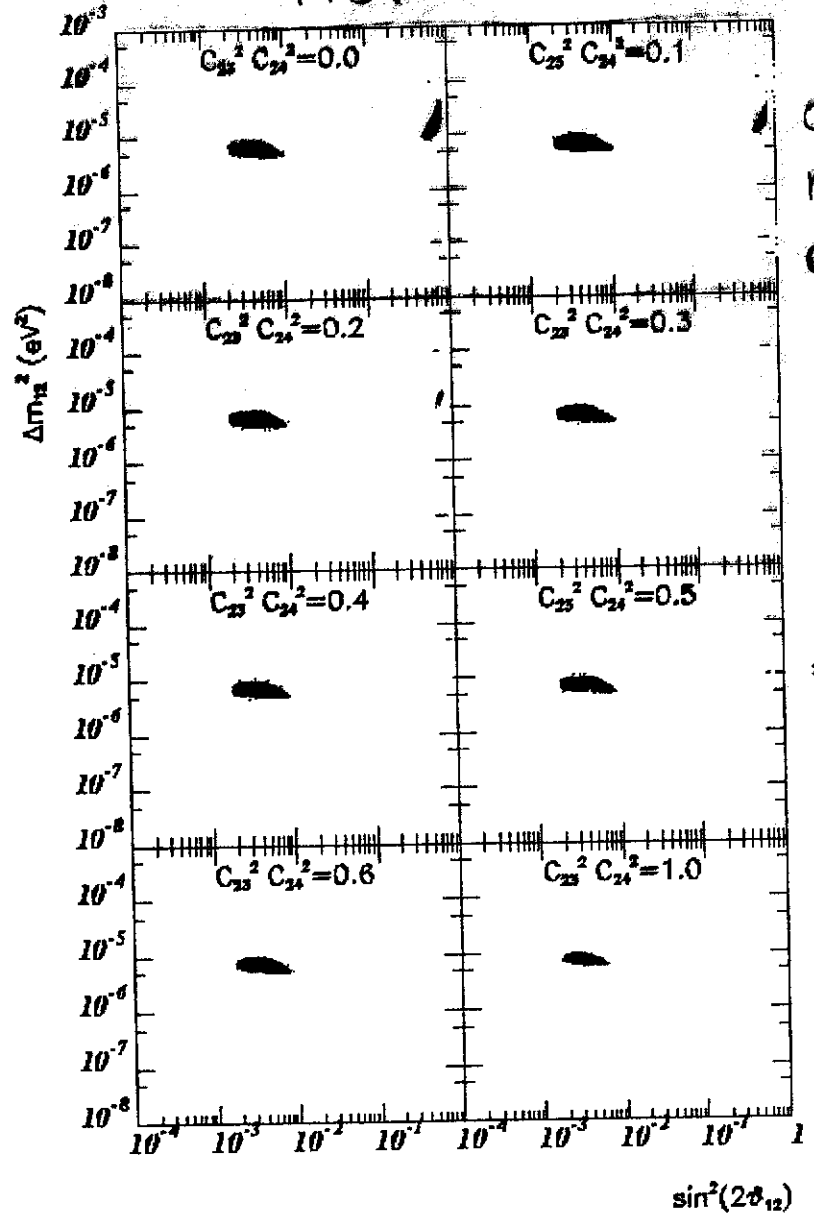
$C_s = 0$ ν_0 : $\nu_e \leftrightarrow \nu_{active}$ only

$C_s = 1$ ν_0 : $\nu_e \leftrightarrow \nu_s$ only

$$\nu_0 \begin{cases} 0 \leq C_s \leq 0.2 & : \text{SMA, } \nu_0, \text{LMA} \\ 0.2 \leq C_s \leq 0.4 & : \text{SMA, } \nu_0 \\ 0.4 \leq C_s \leq 1 & : \text{SMA} \end{cases}$$

7

MSW

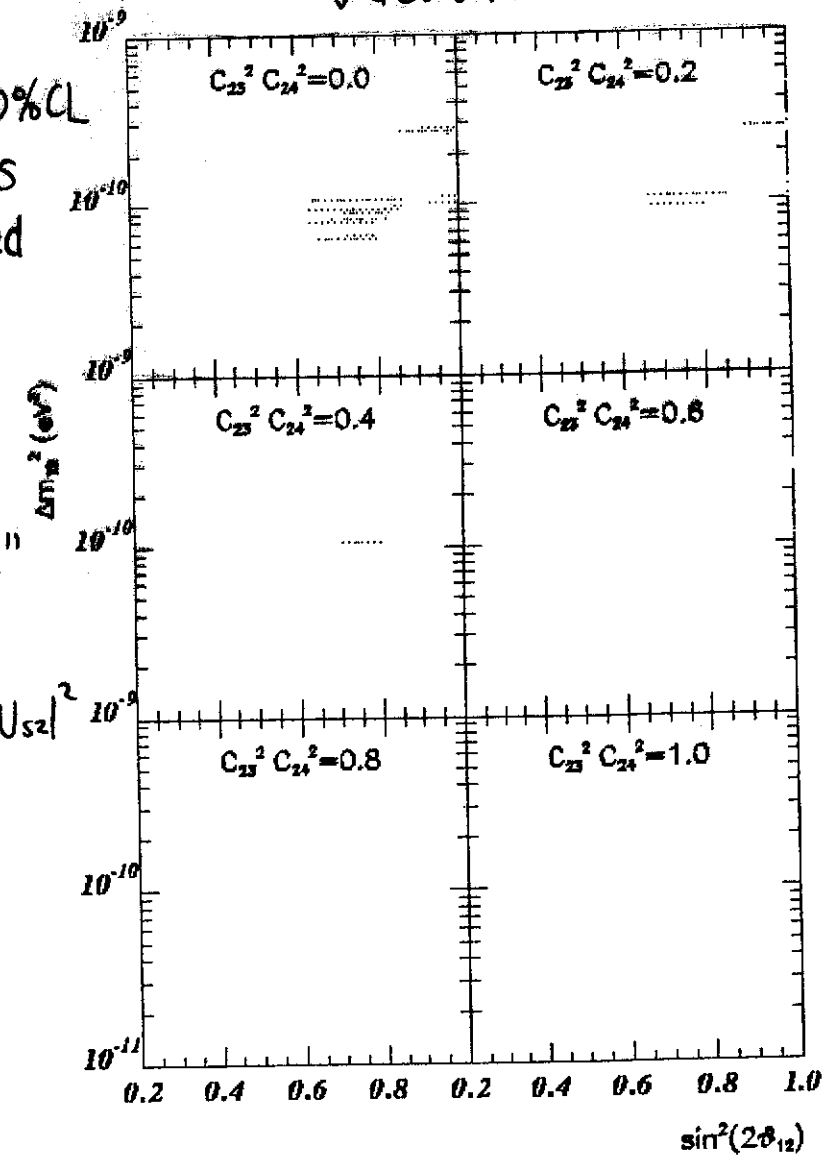


$N_\nu = 4$ analysis of ν_e Giunti-Gonzalez-Garcia-Peña-Gray '00

vacuum

only 90%CL region is displayed

" $C_{23}^2 C_{24}^2$ "
 $\approx C_S$
 $\equiv |U_{S1}|^2 + |U_{S2}|^2$



NB LSND ('95) $\bar{\nu}_\mu \rightarrow \bar{\nu}_e$ $0.2 \text{ eV}^2 \lesssim \Delta m_{\text{LSND}}^2 \lesssim 2.5 \text{ eV}^2$ [8]
 CDHSW ('84) $\nu_\mu \rightarrow \nu_\mu$ $0.3 \text{ eV}^2 \lesssim \Delta m_{\text{CDHSW}}^2 \lesssim 90 \text{ eV}^2$
 disappearance (negative)

If $\Delta m_{\text{LSND}}^2 \geq 0.4 \text{ eV}^2$ and $1 - P(\nu_\mu \rightarrow \nu_\mu) \Big|_{\Delta m_{21}^2 = \Delta m_{\text{LSND}}^2} > 0.3$
 then the scheme doesn't work.

$\Rightarrow \Delta m_{\text{LSND}}^2 = 0.3 \text{ eV}^2$ as reference value here.

results of analysis of ν_{atm}

$$\chi^2 = \chi^2(\text{SK contained}) + \chi^2(\text{SK upward through going } \mu)$$

best fit: $\Delta m_{\text{atm}}^2 = 1.0 \times 10^{-3} \text{ eV}^2$

$$\delta_1 = 0, \theta_{24} = 40^\circ, \theta_{34} = 15^\circ, \theta_{23} = 20^\circ$$

$$\chi^2_{\text{min}} = 44 \quad (\text{d.o.f.} = 45)$$

NB the point $\theta_{24} = \frac{\pi}{4}, \theta_{34} = \frac{\pi}{2}, \theta_{23} = \frac{\pi}{6}$ is not pure $\nu_\mu \leftrightarrow \nu_s$:

$$P(\nu_\mu \rightarrow \nu_e) = \frac{3}{8} \sin^2\left(\frac{\Delta m_{\text{LSND}}^2 L}{4E}\right) \approx \frac{3}{16}$$

$$P(\nu_\mu \rightarrow \nu_s) = \frac{1}{16} \sin^2\left(\frac{\Delta m_{\text{LSND}}^2 L}{4E}\right) + \frac{3}{4} \sin^2\left(\frac{\Delta m_{\text{atm}}^2 L}{4E}\right) \\ \approx \frac{1}{32} + \frac{3}{4} \sin^2\left(\frac{\Delta m_{\text{atm}}^2 L}{4E}\right)$$

NB $\theta_{24} = 30^\circ$ ($\sin^2 2\theta_{24} = 0.75$) is allowed @ 90% CL
 only for $\theta_{23} \sim 20^\circ$.

(cf. De Rújula - Gavela - Hernandez hep-ph/0001124)
 $N_\nu = 3$ "The atmospheric ν anomaly w/o maximal mixing?"

in our case

$$|\Delta E_{32}| \gg |\Delta E_{43}| \approx |A_{\text{Ncl}}|$$

$$(0, (-\Delta E_{32}, 0, 0)) \xrightarrow{\text{matter effect}} (0, (-\Delta E_{32} + \epsilon, \epsilon, \epsilon))$$

degeneracy
 in unperturbed
 system

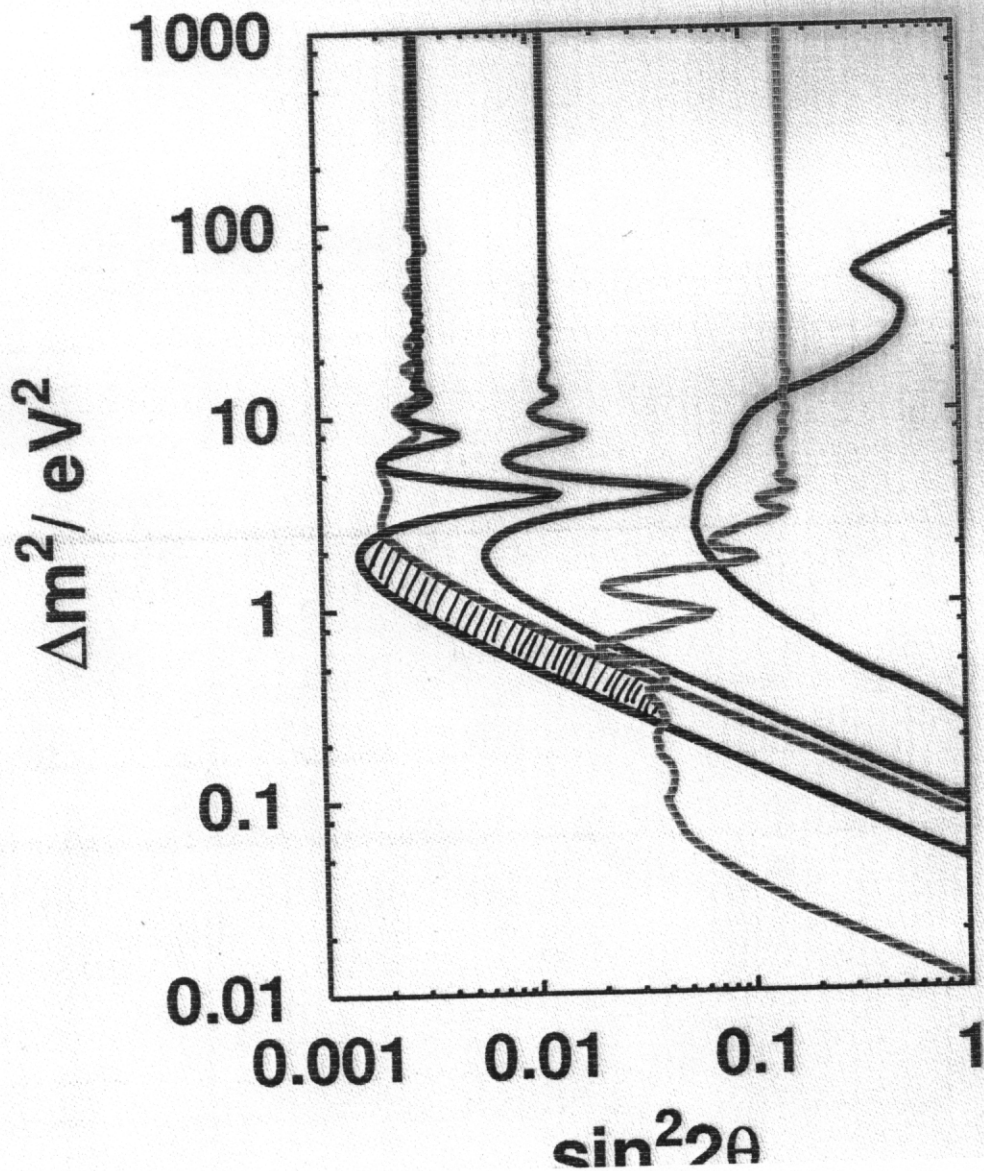
matter
 effect

$$U_M \sim \begin{pmatrix} 1 & 0 & 0 \\ 0 & \cos\theta & -\sin\theta \\ 0 & \sin\theta & \cos\theta \end{pmatrix} \\ \theta \sim O(1)$$

$$\begin{pmatrix} \nu_e \\ \nu_\mu \\ \nu_\tau \\ \nu_s \end{pmatrix} = U \begin{pmatrix} \nu_1 \\ \nu_2 \\ \nu_3 \\ \nu_4 \end{pmatrix}$$

: no strong constraint on U

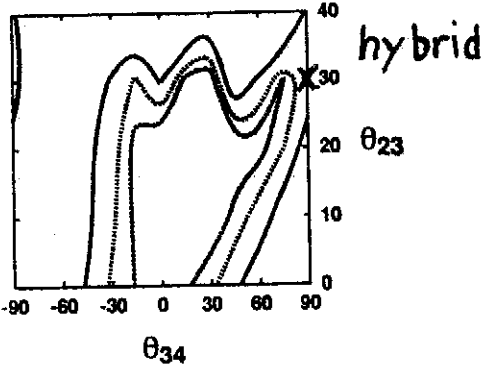
CDSHW	—	$\nu_\mu \rightarrow \nu_\mu$
E776	—	$\nu_\mu \rightarrow \nu_e$
LSND(min)	—	} $\bar{\nu}_\mu \rightarrow \bar{\nu}_e$
LSND(max)	—	
BUGEY	—	$\bar{\nu}_e \rightarrow \bar{\nu}_e$



$\theta_{24} = 50^\circ$

$\theta_{24}=50, \delta=0, \Delta m_{43}^2=10^{-30}/10$

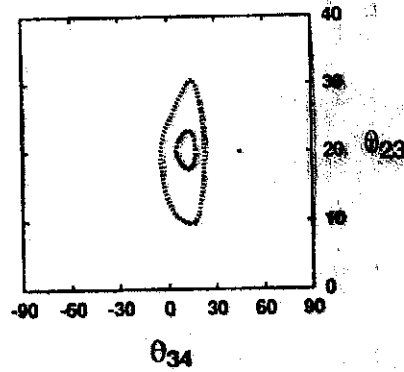
68%CL —
90%CL —
99%CL —



$\theta_{24} = 30^\circ$

$\theta_{24}=30, \delta=0, \Delta m_{43}^2=10^{-30}/10$

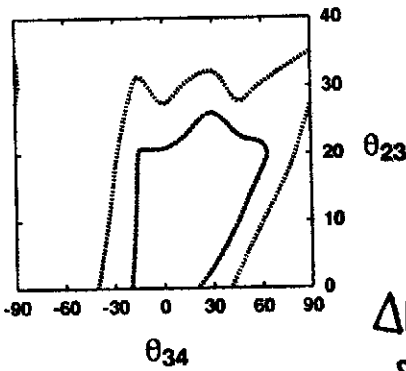
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$\theta_{24} = 55^\circ$

$\theta_{24}=55, \delta=0, \Delta m_{43}^2=10^{-30}/10$

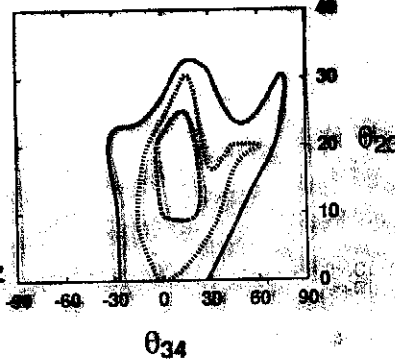
90%CL —
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$\theta_{24} = 35^\circ$

$\theta_{24}=35, \delta=0, \Delta m_{43}^2=10^{-30}/10$

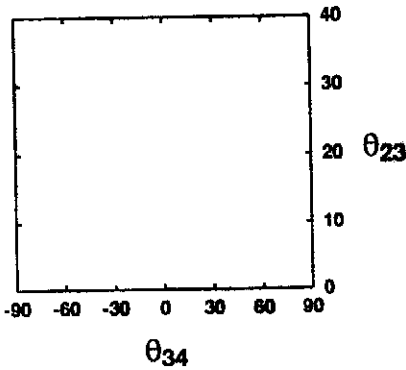
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$\Delta m_{43}^2 = 1 \times 10^{-3} \text{ eV}^2$
 $\delta_1 = 0$

$\theta_{24} = 60^\circ$

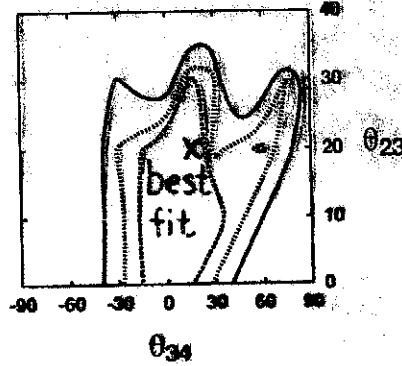
$\theta_{24}=60, \delta=0, \Delta m_{43}^2=10^{-30}/10$



$\theta_{24} = 40^\circ$

$\theta_{24}=40, \delta=0, \Delta m_{43}^2=10^{-30}/10$

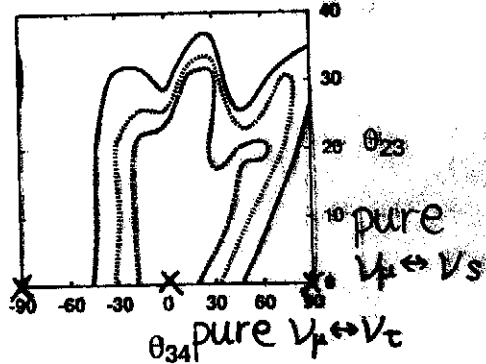
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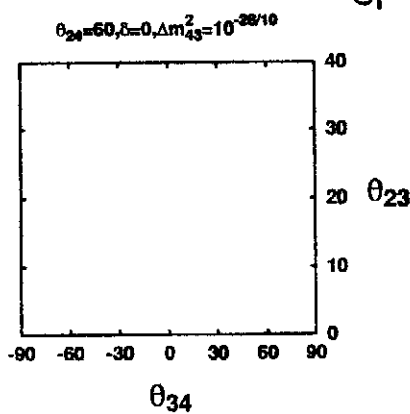
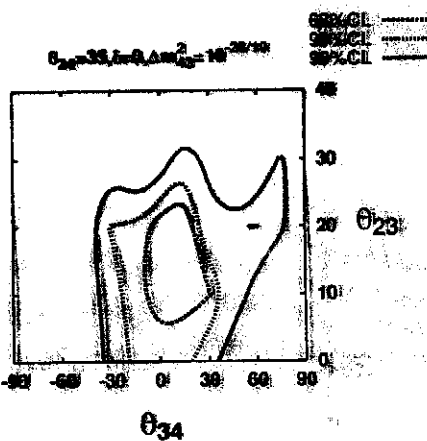
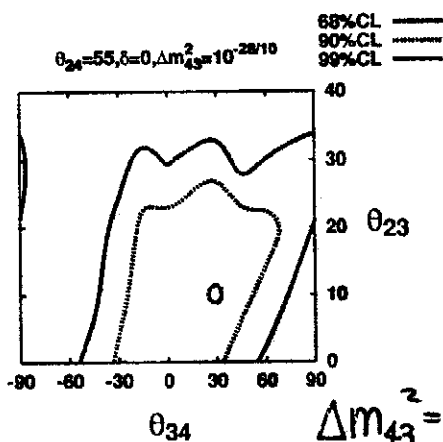
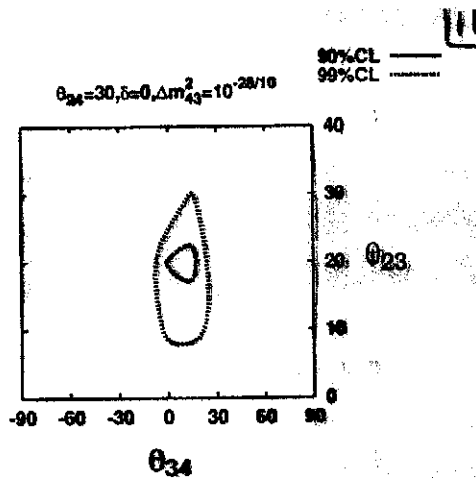
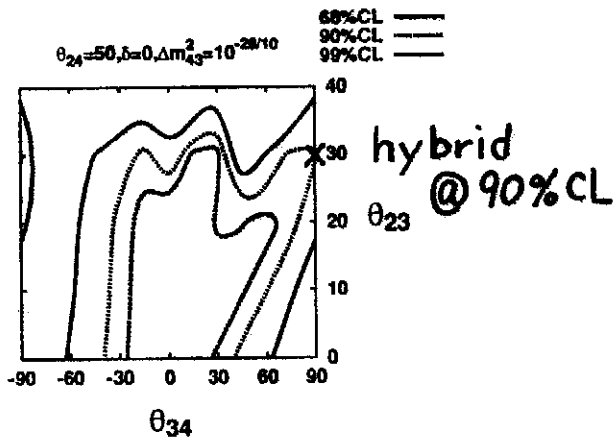


$\theta_{24} = 45^\circ$

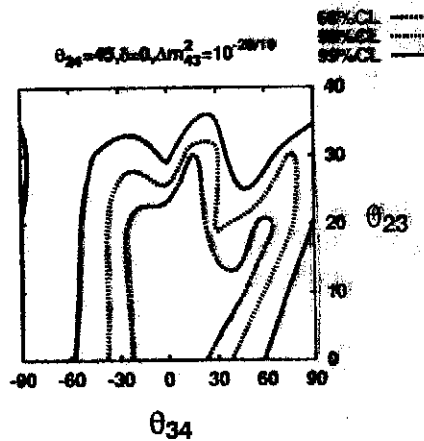
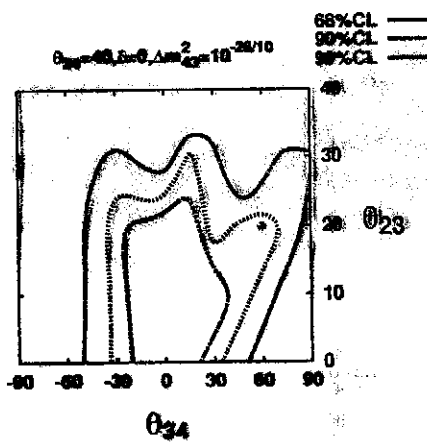
$\theta_{24}=45, \delta=0, \Delta m_{43}^2=10^{-30}/10$

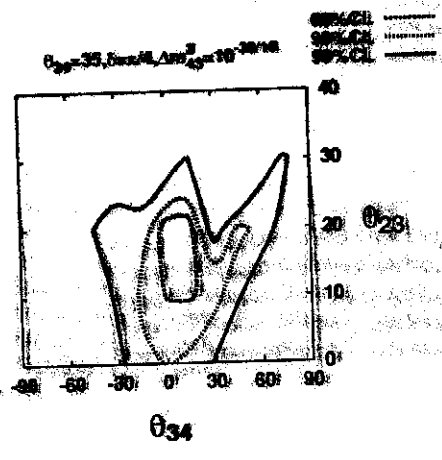
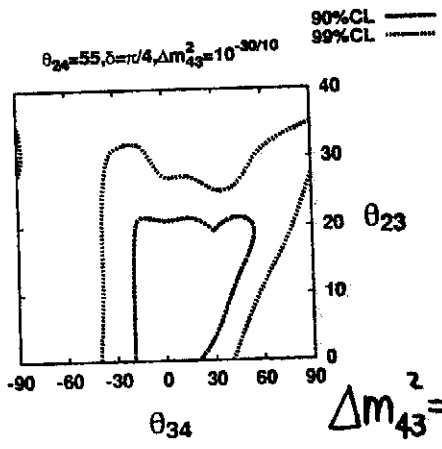
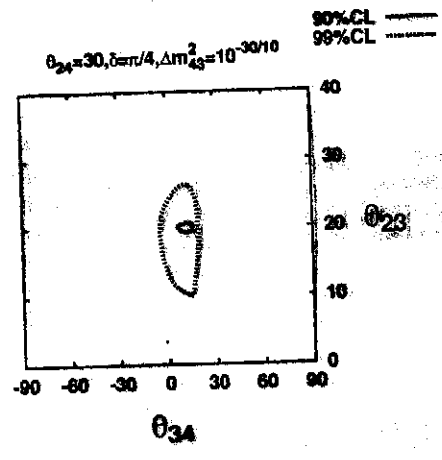
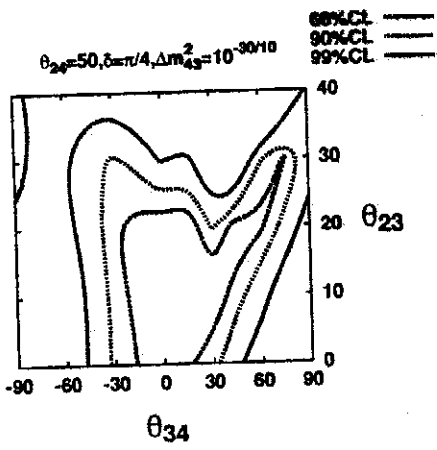
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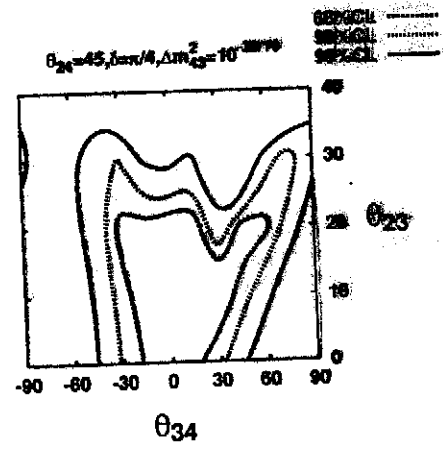
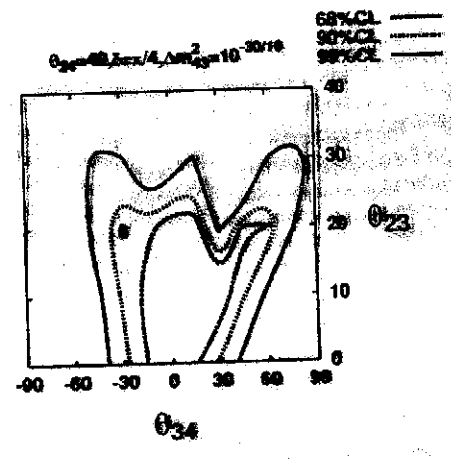
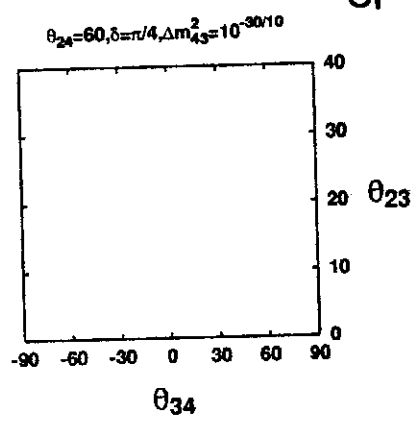


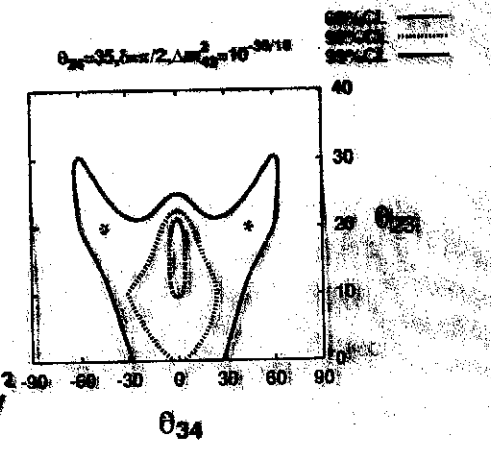
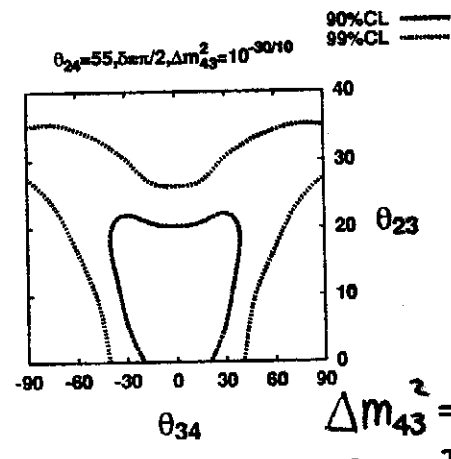
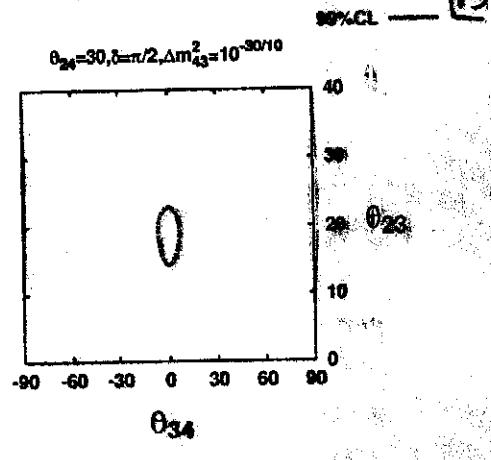
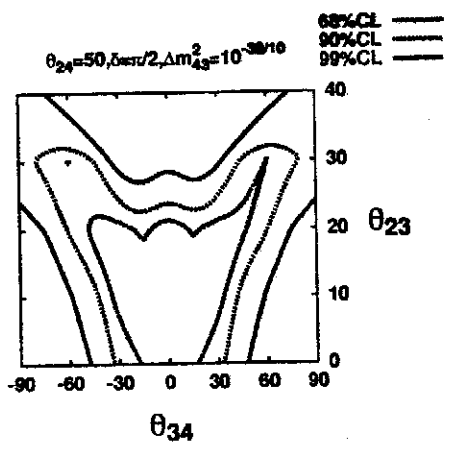
$\Delta m_{43}^2 = 1.6 \times 10^{-3} \text{ eV}^2$
 $\delta_1 = 0$



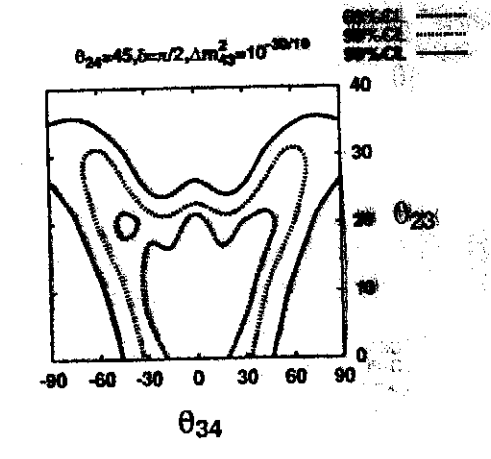
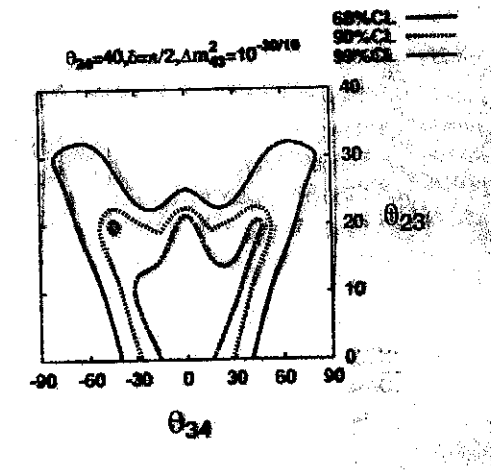
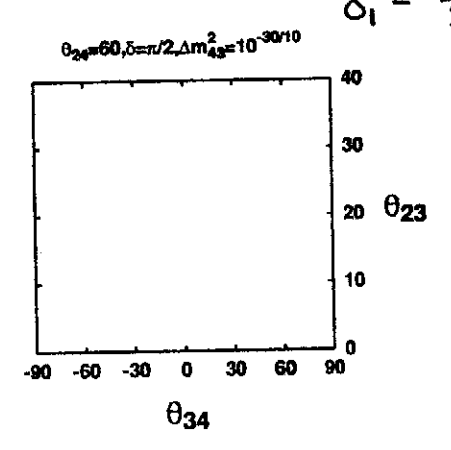


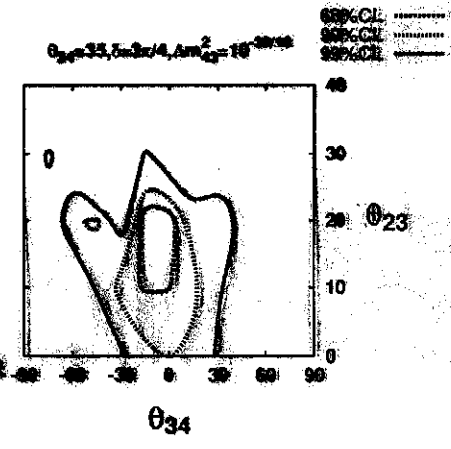
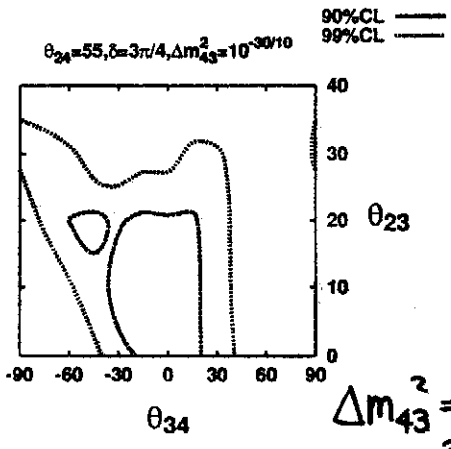
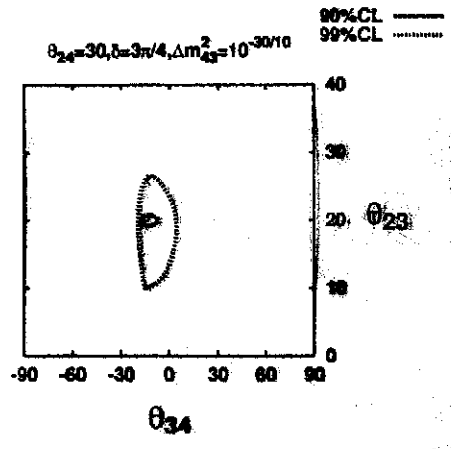
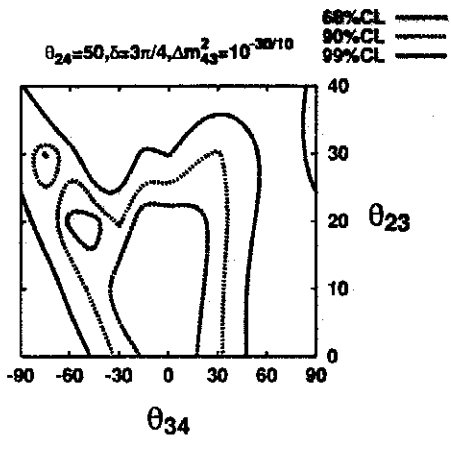
$\Delta m_{43}^2 = 1.0 \times 10^{-3} \text{eV}^2$
 $\delta_1 = \frac{\pi}{4}$



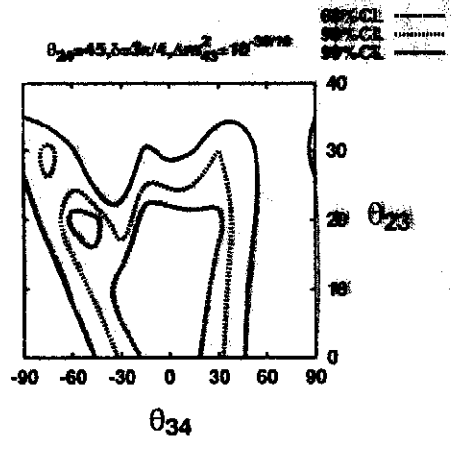
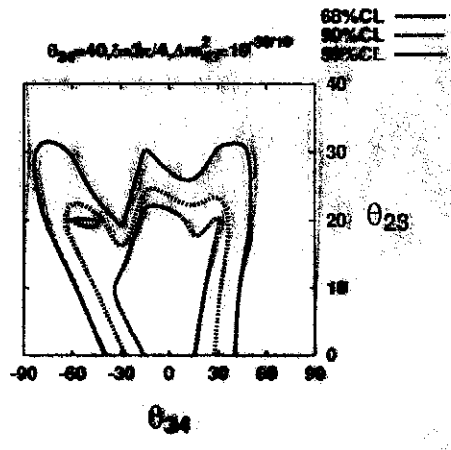
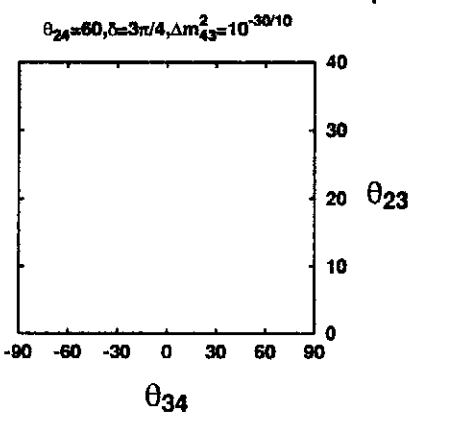


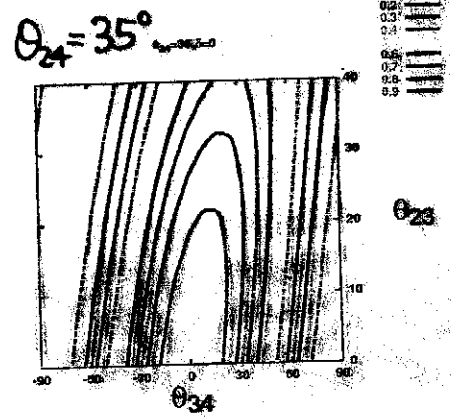
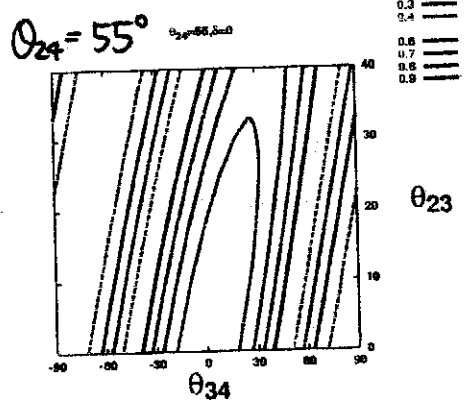
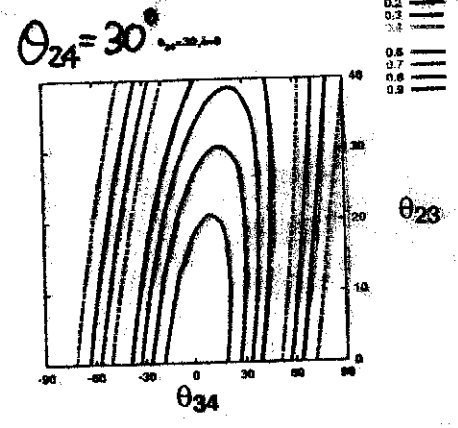
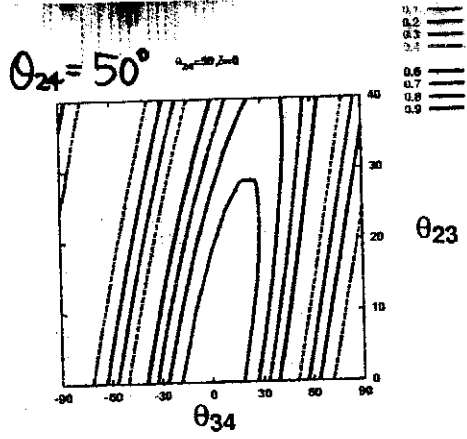
$\Delta m_{43}^2 = 1.0 \times 10^{-3} \text{ eV}^2$
 $\delta_1 = \frac{\pi}{2}$



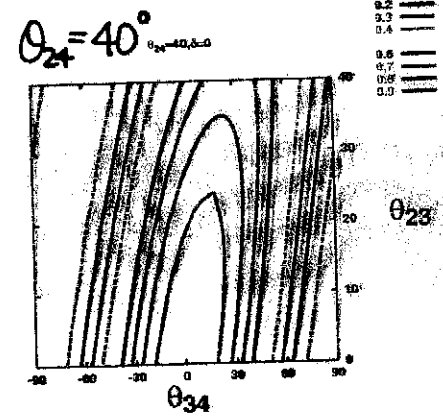
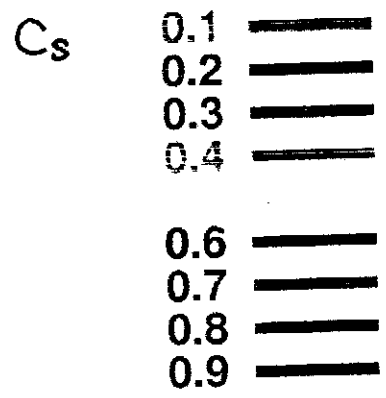


$\Delta m_{43}^2 = 1.0 \times 10^{-3} \text{ eV}^2$
 $\delta_1 = \frac{3}{4} \pi$

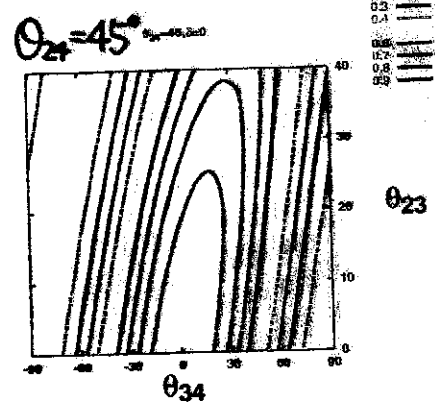


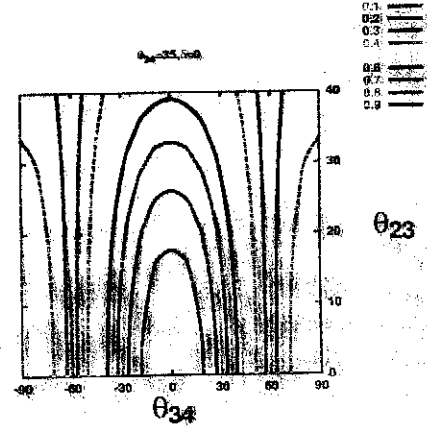
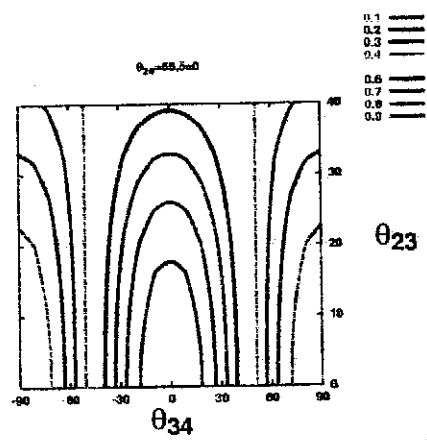
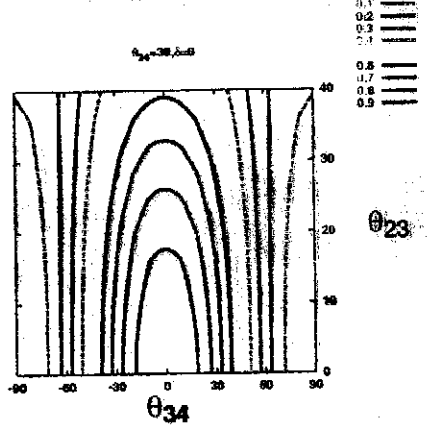
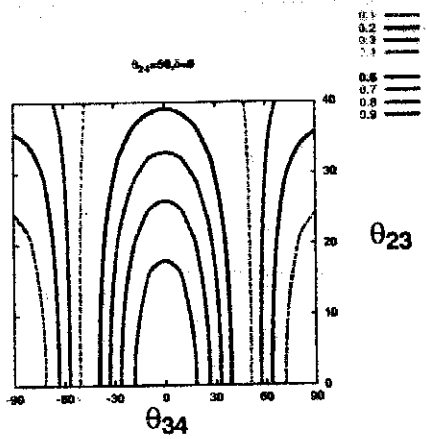


$\delta_1 = 0$

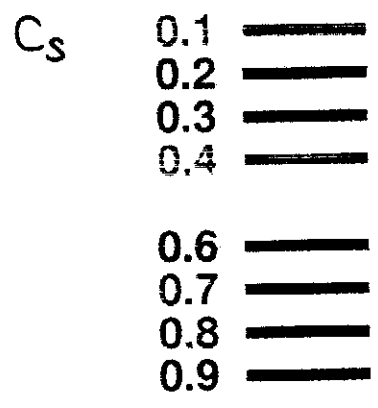


$C_s \equiv |U_{s1}|^2 + |U_{s2}|^2$

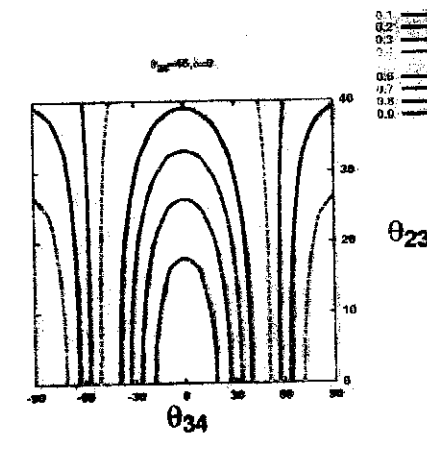
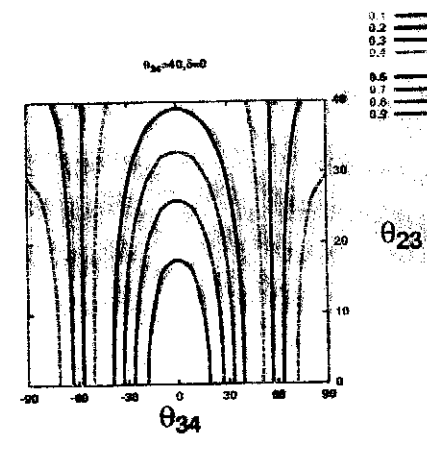




$$\delta_1 = \frac{\pi}{2}$$

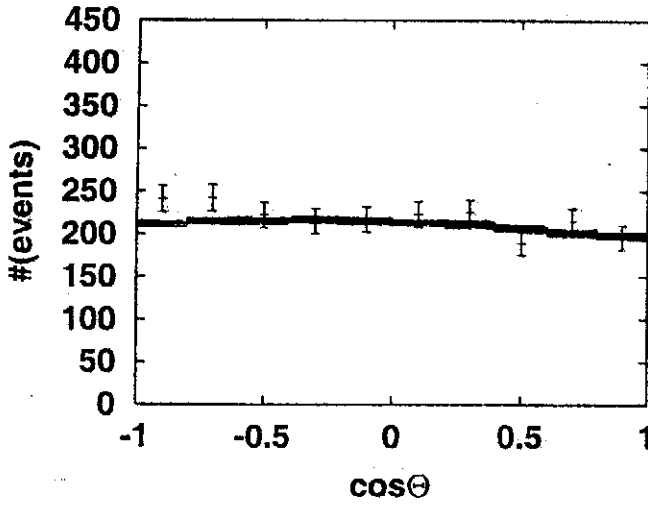


$$C_s = |U_{s1}|^2 + |U_{s2}|^2$$

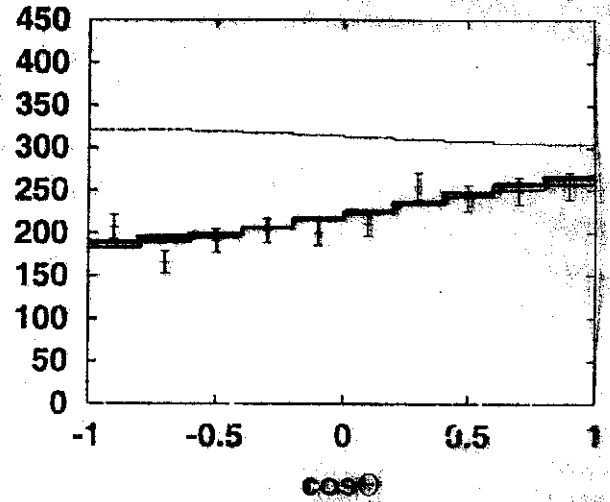


contained events

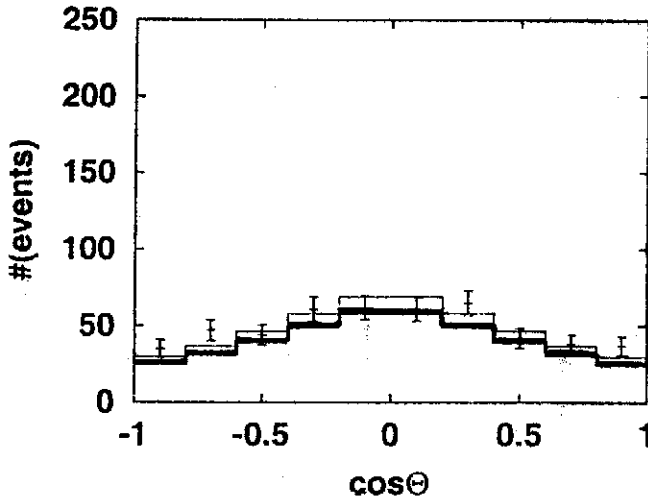
sub-GeV e-like



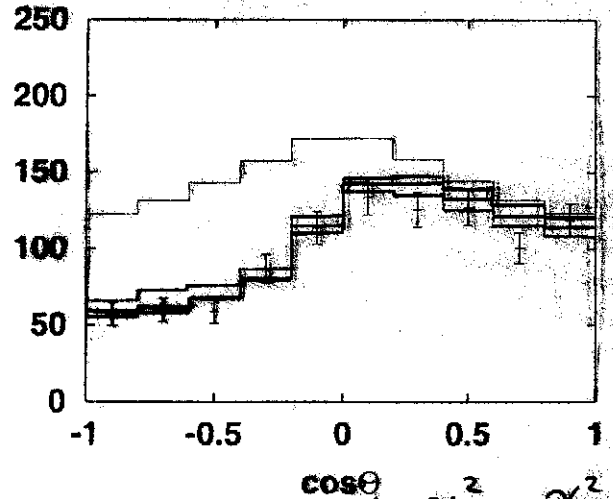
sub-GeV μ -like



multi-GeV e-like



multi-GeV μ -like



$\Delta m_{43}^2 = 10^{-29/10} \text{ eV}^2, \theta_{24} = 50^\circ, \theta_{34} = 90^\circ, \theta_{23} = 30^\circ$

$\Delta m_{43}^2 = 10^{-30/10} \text{ eV}^2, \theta_{24} = 40^\circ, \theta_{34} = 15^\circ, \theta_{23} = 20^\circ$

$\Delta m_{43}^2 = 10^{-26/10} \text{ eV}^2, \theta_{24} = 45^\circ, \theta_{34} = 0^\circ, \theta_{23} = 0^\circ$

no oscillation

	χ_{sub}^2	χ_{mult}^2
	19.0	17.0
	19.0	14.1
	19.1	19.7

$\chi^2_{total} = 48.8$ (best fit among pure $\nu_{\mu} \leftrightarrow \nu_{\tau}$)

$\chi^2_{total} = 44.7$ (best fit)

$\chi^2_{total} = 51.0$ (allowed at 90% cL)

no oscillation

$\Delta m^2_{43} = 10^{-29/10} \text{ eV}^2, \theta_{24} = 50^\circ, \theta_{34} = 90^\circ, \theta_{23} = 30^\circ$

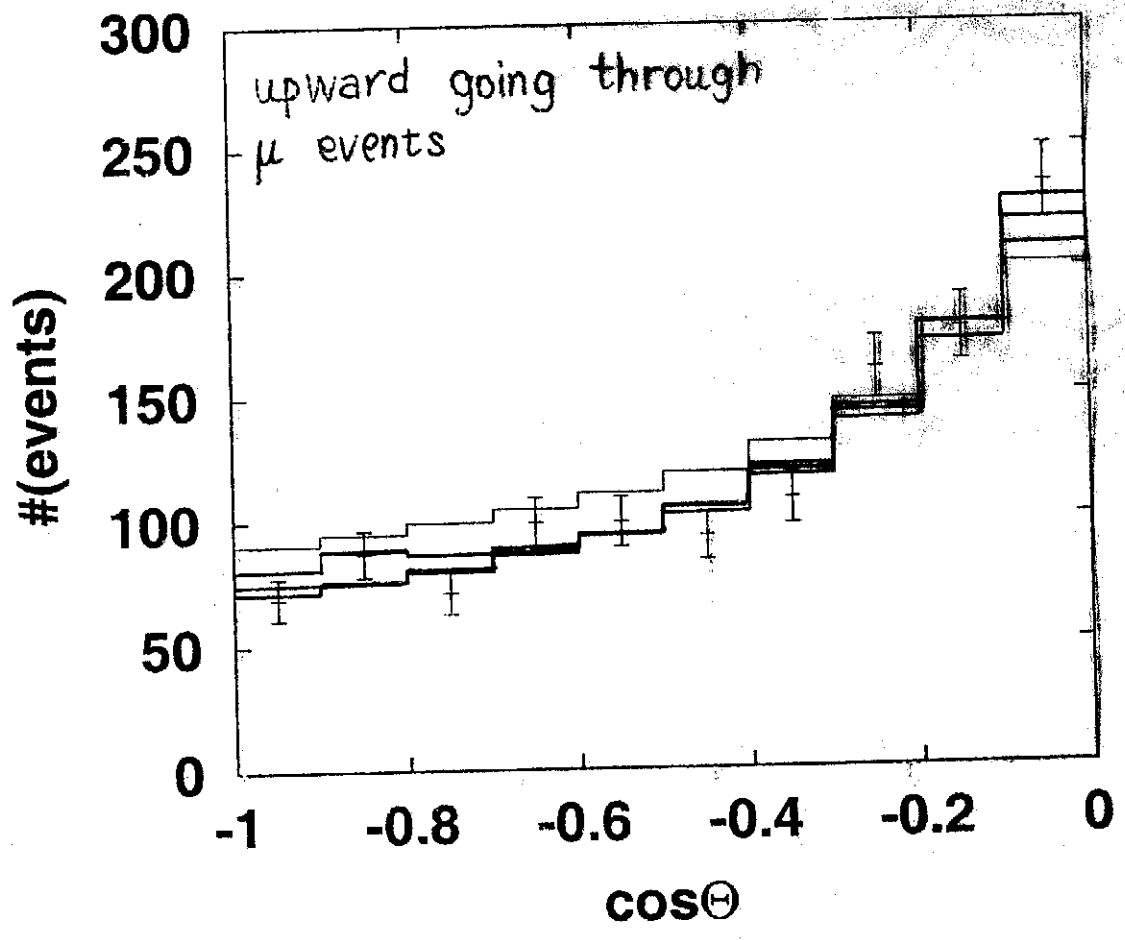
$\chi^2_{up} = 15.0$

$\Delta m^2_{43} = 10^{-30/10} \text{ eV}^2, \theta_{24} = 40^\circ, \theta_{34} = 15^\circ, \theta_{23} = 20^\circ$

$\chi^2_{up} = 11.6$

$\Delta m^2_{43} = 10^{-26/10} \text{ eV}^2, \theta_{24} = 45^\circ, \theta_{34} = 0, \theta_{23} = 0$

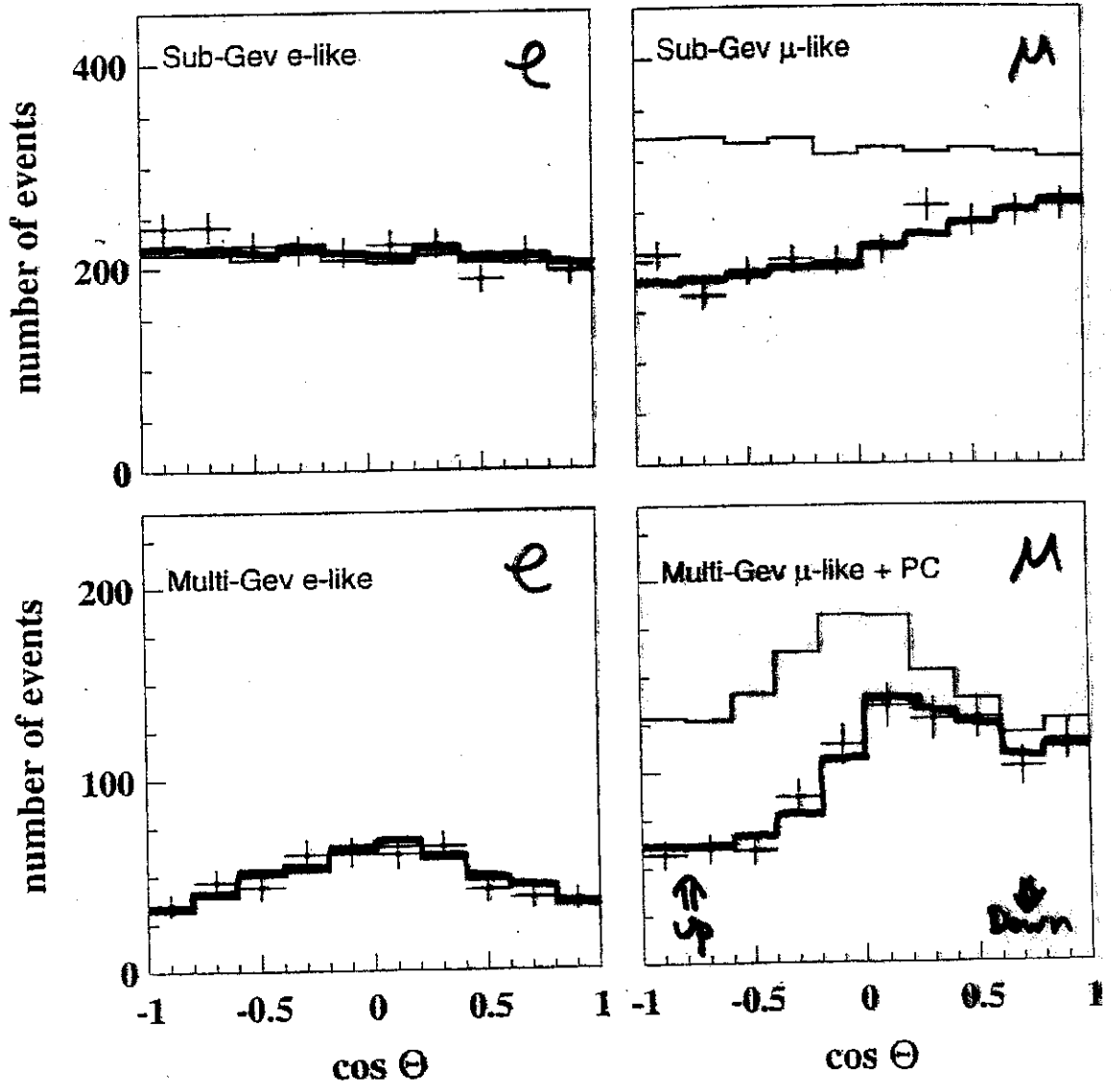
$\chi^2_{up} = 10.0$

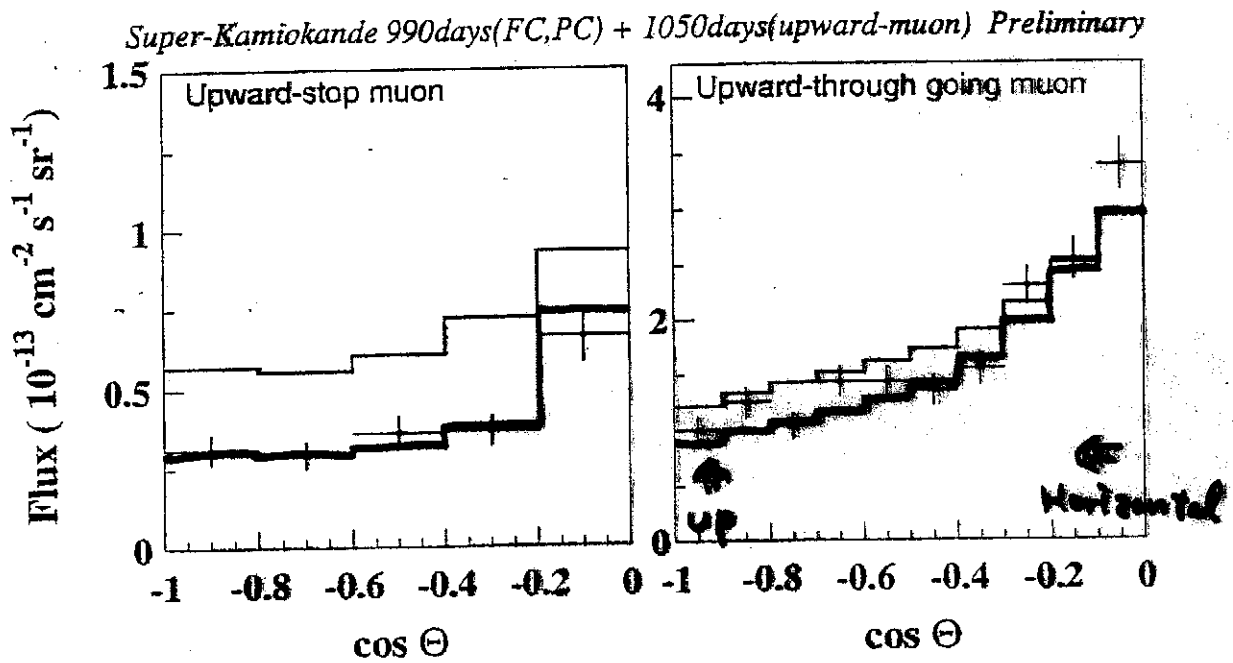


Zenith angle

$\nu_{\mu} \rightarrow \nu_{\tau} \left(\begin{array}{l} \Delta M^2 = 2.8 \times 10^{-3} \text{ eV}^2 \\ \sin^2 2\theta = 1 \end{array} \right)$

Super-Kamiokande 990days(FC,PC) + 1050days(upward-muon) Preliminary





$$\chi^2 (\text{best fit}) = 61.1 / 82 \text{ d.o.f}$$

$$(\sin^2 2\theta, \Delta m^2) = (1.00, 2.8 \times 10^3 \text{ eV}^2) (\text{including unphysical region})$$

$$\chi^2 (\text{no osc.}) = 232.5 / 84 \text{ d.o.f}$$

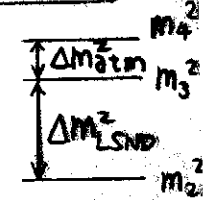
3. Discussions

(1) CP violation

Since $\theta_{12} = \theta_{01}|_{SMA} \ll 1$, effectively

$$U_{MNS} \sim \begin{pmatrix} 1 & 0 & 0 & 0 \\ 0 & -c_{atm} s_{23} e^{i\delta_1} & c_{23} c_{atm} & s_{atm} \\ 0 & -c_{23} s_{34} + c_{34} s_{23} s_{atm} e^{i\delta_1} & -c_{23} c_{34} s_{atm} - s_{23} s_{34} e^{-i\delta_1} & c_{atm} c_{34} \\ 0 & c_{23} c_{34} + s_{23} s_{34} s_{atm} e^{i\delta_1} & -c_{23} s_{34} s_{atm} - s_{23} c_{34} e^{-i\delta_1} & c_{atm} s_{34} \end{pmatrix}$$

→ full mixing between $\begin{pmatrix} \nu_\mu \\ \nu_e \\ \nu_s \end{pmatrix}$ & $\begin{pmatrix} \nu_2 \\ \nu_3 \\ \nu_4 \end{pmatrix}$



$$\cancel{CP} \quad P(\nu_\mu \rightarrow \nu_e) - P(\bar{\nu}_\mu \rightarrow \bar{\nu}_e) \approx \frac{1}{8} \left[c_{atm} \sin 2\theta_{atm} \sin 2\theta_{23} \sin 2\theta_{34} \right] \sin\left(\frac{\Delta m_{atm}^2 L}{2E}\right) \sin \delta_1$$

→ could be O(1)

measurement of \cancel{CP} in this channel may be a nice test of

- $N_\nu = 4$ scenario
- BBN constraint $N_\nu < 4$
- LSND

although this is off the main idea of ν factories.

(2) Fate of sterile ν scenarios

"standard"

$$U_{MNS} \sim \begin{pmatrix} c_0 & s_0 & 0 & 0 \\ 0 & 0 & c_{atm} & s_{atm} \\ 0 & 0 & -s_{atm} & c_{atm} \\ -s_0 & c_0 & 0 & 0 \end{pmatrix} \quad \theta_0: \text{SMA} \\ \text{MSW}$$

consistent with $\left\{ \begin{array}{l} \bullet \nu_0 \\ \bullet \nu_{atm} \\ \bullet \nu_{LSND} \\ \bullet \text{BBN } N_\nu < 4 \end{array} \right.$ $\begin{array}{l} \nu_e \leftrightarrow \nu_s \text{ SMA} \\ \nu_\mu \leftrightarrow \nu_c \end{array}$

This will die if SNO & SK prove that ν_0 is $\nu_e \leftrightarrow \nu_{active}$

more general

$$U_{MNS} \sim \begin{pmatrix} c_0 & s_0 & 0 & 0 \\ s_0 c_{atm} s_{23} & -c_0 c_{atm} s_{23} e^{i\delta_1} & c_{atm} c_{23} & s_{atm} \\ s_0 w & -c_0 w & U_{\tau 3} & c_{atm} c_{34} \\ -s_0 z & c_0 z & U_{\nu 3} & c_{atm} s_{34} \end{pmatrix}$$

$$w \equiv c_{23} s_{34} - c_{34} s_{23} s_{atm} e^{i\delta_1}, \quad z \equiv c_{23} c_{34} - s_{34} s_{23} s_{atm} e^{i\delta_1}$$

$$U_{\tau 3} \equiv -c_{23} c_{34} s_{atm} - s_{23} s_{34} e^{-i\delta_1}, \quad U_{\nu 3} \equiv -c_{23} s_{34} s_{atm} - s_{23} c_{34} e^{-i\delta_1}$$

consistent with $\left\{ \begin{array}{l} \bullet \nu_0 \text{ mixtures of } \nu_e \leftrightarrow \nu_{active}, \nu_e \leftrightarrow \nu_s \\ \bullet \nu_{atm} \text{ mixtures of } \nu_\mu \leftrightarrow \nu_c, \nu_\mu \leftrightarrow \nu_s \\ \bullet \nu_{LSND} \end{array} \right.$

not consistent with BBN $N_\nu < 4$

If we forget about BBN constraint, this scenario won't die until it is shown that ν_0 is almost of $\nu_e \leftrightarrow \nu_{active}$ and high statistics of upward going μ requires $\theta_{23} \approx 0$, or that ν_{LSND} is wrong.

Maybe they'll survive for a couple more years!

4. Conclusions

4 ν scenario w/o BBN constraint ($N_\nu < 4$)

→ ν_{atm} is accounted for wide range of parameters :

$$30^\circ \lesssim \theta_{24} \equiv \theta_{\text{atm}} \lesssim 55^\circ$$

$$6 \times 10^{-4} \text{eV}^2 \lesssim \Delta m_{43}^2 \lesssim 7 \times 10^{-3} \text{eV}^2$$

$$-45^\circ \lesssim \theta_{34} \lesssim 90^\circ$$

$$0 \leq \theta_{23} \lesssim 30^\circ$$

$$0 \leq \delta_1 \leq 2\pi$$

The parameter region near pure $\nu_\mu \leftrightarrow \nu_s$ is excluded. \iff { consistent with SK analysis }

$$1 \geq C_s \equiv |U_{s1}|^2 + |U_{s2}|^2 \gtrsim 0.2$$

↑
VO, LMA sol. may be possible